

FORM **SSV-2**
(4-6-2012)**SURVEY OF SEXUAL VIOLENCE, 2011**
— State Prison Systems
Summary FormU.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Administration
U.S. CENSUS BUREAU**DATA SUPPLIED BY**

Name Bill Byars		Title Director		
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SC002
E%w8843341 0 000 000 07 00000 041 00
South Carolina Department of
Corrections

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All State-operated confinement facilities that are intended for adults but sometimes hold juveniles.

- INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners.
- INCLUDE State-operated local detention facilities in Alaska, Connecticut, Delaware, Hawaii, Rhode Island, and Vermont.
- **EXCLUDE privately operated facilities and facilities operated and administered by local governments. (These facilities will be contacted directly for data on sexual violence.)**
- EXCLUDE facilities that hold only juveniles. (These facilities will be contacted directly for data on sexual violence.)

What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2011, and December 31, 2011.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- **EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions.**

Reporting instructions:

- Please complete the entire SSV-2 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "zero," mark the box () provided.

Substantiated incidents of sexual violence:

- Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.

Returning forms:

- If you need assistance, please call **Greta Clark** at the **U.S. Census Bureau** toll-free at **1-800-253-2078**, or e-mail **govs.ssv@census.gov**
- **Please return your completed questionnaire and substantiated incident forms by June 15, 2012.**
- **If you prefer, you may also return these forms by mail or fax.**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-891-2099**

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

Section I - INMATE-ON-INMATE SEXUAL VIOLENCE

DEFINITION OF SEXUAL VIOLENCE

The definition of "rape" as required under the Prison Rape Elimination Act of 2003 will be operationalized by disaggregating sexual assault into two categories of inmate-on-inmate SEXUAL VIOLENCE. These categories reflect uniform definitions formulated by the National Center for Injury Prevention and Control, in "Sexual Violence Surveillance: Uniform Definitions and Recommended Data Elements," Centers for Disease Control and Prevention. The categories of inmate-on-inmate SEXUAL VIOLENCE are:

NONCONSENSUAL SEXUAL ACTS:

Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Contact between the penis and the vagina or the penis and the anus including penetration, however slight;

OR

- Contact between the mouth and the penis, vagina, or anus;

OR

- Penetration of the anal or genital opening of another person by a hand, finger, or other object.

ABUSIVE SEXUAL CONTACTS:

Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person;
- Exclude incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

1. Does your State prison system record allegations of inmate-on-inmate NONCONSENSUAL SEXUAL ACTS?

01 Yes → **a. Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

b. Do you record attempted NONCONSENSUAL SEXUAL ACTS or only completed ones?

01 Both attempted and completed

02 Completed only

02 No → Please provide the definition used by your State prison system for inmate-on-inmate NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete Items 2 and 3.

2. Between January 1, 2011, and December 31, 2011, how many allegations of inmate-on-inmate NONCONSENSUAL SEXUAL ACTS were reported?

Number reported None

- If an allegation involved multiple victimizations, count only once.

3. Of the allegations reported in Item 2, how many were — (Please contact the agency or office responsible for investigating allegations of sexual violence in order to fully complete this form.)

a. Substantiated None

- The event was investigated and determined to have occurred.

b. Unsubstantiated None

- Evidence was insufficient to make a final determination that the event occurred.

c. Unfounded None

- The event was determined NOT to have occurred.

d. Investigation ongoing None

- A final determination has not yet been made as to whether the event occurred.

e. TOTAL (Sum of Items 3a through 3d) None

4. Does your State prison system record allegations of inmate-on-inmate ABUSIVE SEXUAL CONTACTS? (See definitions on page 2.)

01 Yes → Can these be counted separately from allegations of NONCONSENSUAL SEXUAL ACTS?

01 Yes
02 No → Skip to Item 7.

02 No → Please provide an explanation in the space below and then skip to Item 7.

5. Between January 1, 2011, and December 31, 2011, how many allegations of inmate-on-inmate ABUSIVE SEXUAL CONTACTS were reported?

Number reported None

- If an allegation involved multiple victimizations, count only once.

6. Of the allegations reported in Item 5, how many were — (Please contact the agency or office responsible for investigating allegations of sexual violence in order to fully complete this form.)

a. Substantiated None

b. Unsubstantiated None

c. Unfounded None

d. Investigation ongoing None

e. TOTAL (Sum of Items 6a through 6d) None

Section II – STAFF SEXUAL MISCONDUCT AND HARASSMENT

DEFINITION OF STAFF SEXUAL MISCONDUCT

The definition of STAFF SEXUAL MISCONDUCT is based on "Training for Investigators of Staff Sexual Misconduct," prepared by the National Institute of Corrections. STAFF SEXUAL MISCONDUCT includes any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors). Sexual relationships of a romantic nature between staff and inmates are included in this definition.

Consensual or nonconsensual sexual acts including:

- Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;

OR

- Completed, attempted, threatened, or requested sexual acts;

OR

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

DEFINITION OF STAFF SEXUAL HARASSMENT

The definition of STAFF SEXUAL HARASSMENT was also developed by the National Institute of Corrections. STAFF SEXUAL HARASSMENT includes repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).

- Demeaning references to gender or derogatory comments about body or clothing;

OR

- Repeated profane or obscene language or gestures.

7. Does your State prison system record allegations of STAFF SEXUAL MISCONDUCT?

01 Yes → Do you record all reported occurrences, or only substantiated ones?

01 All
02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Item 10.

8. Between January 1, 2011, and December 31, 2011, how many allegations of STAFF SEXUAL MISCONDUCT were reported?

Number reported 2 None

- If an allegation involved multiple victims or staff, count only once.

9. Of the allegations reported in Item 8, how many were — (Please contact the agency or office responsible for investigating allegations of sexual violence in order to fully complete this form.)

a. Substantiated 2 None

b. Unsubstantiated None

c. Unfounded None

d. Investigation ongoing None

e. TOTAL (Sum of Items 9a through 9d) 2 None

10. Does your State prison system record allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)

01 Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?

01 Yes

02 No → Skip to Item 13.

02 No → Please provide an explanation in the space below and then skip to Item 13.

[Empty space for explanation]

11. Between January 1, 2011, and December 31, 2011, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported None

- If an allegation involved multiple victims or staff, count only once.

12. Of the allegations reported in Item 11, how many were — (Please contact the agency or office responsible for investigating allegations of sexual violence in order to fully complete this form.)

a. Substantiated None

b. Unsubstantiated None

c. Unfounded None

d. Investigation ongoing None

e. TOTAL (Sum of Items 12a through 12d) None

Section III - PRIVATE AND LOCAL ALLEGATIONS

13. Did any of the allegations reported in items 2, 5, 8, or 11 occur in a privately operated facility?

01 Yes

02 No

14. Did any of the allegations reported in items 2, 5, 8, or 11 occur in a facility operated and administered by local governments?

01 Yes

02 No

Section IV - TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VIOLENCE

15. What is the total number of substantiated incidents reported in Items 3a, 6a, 9a, and 12a?

Total substantiated incidents 2 None

→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.

NOTES

[Empty space for notes]

FORM **SSV-IA**
(3-13-2012)**SURVEY OF SEXUAL VIOLENCE, 2011**

- Incident Form (Adult)

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
and ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Admin.
U.S. CENSUS BUREAU

Incident Number ____ out of ____

1. On what date did the incident occur?

(If more than one date, report the most recent.)

Month	Day	Year
06	14	2011

2. In what facility did the incident occur?

Name

Perry Correctional Institution

City/Place

Pelzer, SC

3. Where did the incident occur? (Mark (X)) all that apply.)

- 01 In the victim's cell/room (if the victim and perpetrator share a cell/room, count as the victim's cell)
- 02 In the perpetrator's cell/room
- 03 In a dormitory or other multiple housing unit
- 04 In a common area within a cell block (shower, dayroom)
- 05 In a temporary holding cell within the facility
- 06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)
- 07 Outside the facility
- 08 While in transit
- 09 Other - Specify

unknown

4. What time did the incident occur? (Mark (X)) all that apply.)

- 01 Morning (6 a.m. to noon)
- 02 Afternoon (noon to 6 p.m.)
- 03 Evening (6 p.m. to midnight)
- 04 Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?Number of victims . . . 1

- If no victims (only perpetrators) were involved, report "0" above, and go to item 13.
- If more than two victims were reported, report these characteristics in Notes.

6. Victim #1: What was the victim's gender?

- 01 Male 02 Female

7. Victim #1: What was the victim's age at the time of the incident?

- 01 Under age 18 05 35 - 39
- 02 18 - 24 06 40 - 44
- 03 25 - 29 07 45 or older
- 04 30 - 34

8. Victim #1: What was the victim's race/ethnic origin? (Mark (X)) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 Other racial category in your information system - Specify

9. Victim #2: What was the victim's gender?

- 01 Male 02 Female

10. Victim #2: What was the victim's age at the time of the incident?

- 01 Under age 18 05 35 - 39
- 02 18 - 24 06 40 - 44
- 03 25 - 29 07 45 or older
- 04 30 - 34

11. Victim #2: What was the victim's race/ethnic origin? (Mark (X)) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 Other racial category in your information system - Specify

Burden Statement

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12. Did the victim(s) sustain any physical injury during the incident?

- 01 Yes → **a. What injuries occurred?**
(Mark (X) all that apply for all victims.)
- 01 Knife or stab wounds
 - 02 Broken bones
 - 03 Anal or vaginal tearing
 - 04 Chipped or knocked out teeth
 - 05 Internal injuries
 - 06 Knocked unconscious
 - 07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
 - 08 Other – Specify _____

b. Did the victim(s) receive medical treatment for these injuries?

- 01 Yes
02 No
- 02 No (No injury sustained)

13. Who reported the incident?
(Mark (X) all that apply.)

- 01 Victim
- 02 Another inmate (non-victim)
- 03 Family of victim
- 04 Correctional officer/front line staff
- 05 Administrative staff
- 06 Medical/healthcare staff
- 07 Instructor/teacher
- 08 Counselor
- 09 Chaplain or other religious official
- 10 Other – Specify _____

unknown

14. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- 01 Given a medical examination
- 02 Administered a rape kit
- 03 Tested for HIV/AIDS
- 04 Tested for other sexually transmitted diseases
- 05 Provided with counseling or mental health treatment
- 06 None of the above
- 07 No victim (voluntary sexual contact)

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- 01 Placed in administrative segregation/protective custody
- 02 Placed in a medical unit, ward, or hospital
- 03 Confined to own cell/room
- 04 Given a higher custody level within the facility
- 05 Transferred to another facility
- 06 Other – Specify _____

- 07 None of the above
08 No victim (voluntary sexual contact)

16. What type of sexual violence was involved in the incident? (See definitions below)

- 01 Inmate-on-inmate nonconsensual sexual act → Complete Section A
- 02 Inmate-on-inmate abusive sexual contact → Complete Section A
- 05 Voluntary sexual contact between adults → Complete Section A
- 03 Staff sexual misconduct → Complete Section B
- 04 Staff sexual harassment → Complete Section B

Definitions of Sexual Violence

Nonconsensual Sexual Acts: Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vagina or the penis and the anus including penetration, however slight; OR Contact between the mouth and the penis, vagina, or anus;

OR

Penetration of the anal or genital opening of another person by the hand, finger, or other object.

Abusive Sexual Contact: (less severe) Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDES

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

Staff Sexual Misconduct: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts including: Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

Staff Sexual Harassment: Repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors). Demeaning references to gender or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Section A – INMATE-ON-INMATE SEXUAL VIOLENCE

17. How many perpetrators were involved in the incident?

Number of perpetrators . . . _____

→ If more than two perpetrators were involved, report these characteristics in Notes.

18. Perpetrator #1: What was the perpetrator's gender?

- 01 Male 02 Female

19. Perpetrator #1: What was the perpetrator's age at the time of the incident?

- 01 Under age 18 04 30-34 07 45 or older
02 18-24 05 35-39
03 25-29 06 40-44

20. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 Other racial category in your information system - Specify

21. Perpetrator #2: What was the perpetrator's gender?

- 01 Male 02 Female

22. Perpetrator #2: What was the perpetrator's age at the time of the incident?

- 01 Under age 18 04 30-34 07 45 or older
02 18-24 05 35-39
03 25-29 06 40-44

23. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 Other racial category in your information system - Specify

24. What was the nature of the incident? (Mark (X) all that apply.)

- 01 Voluntary sexual contact between adults
02 Unwanted touching for sexual gratification
03 Pressure/coercion (without force) resulting in a nonconsensual sexual act
04 Physical force (or the threat of force) resulting in a nonconsensual sexual act
05 Other - Specify

25. What type of pressure or physical force was used by the perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)

- 01 Persuasion or talked into sexual activity
02 Bribery or blackmail
03 Gave victim drugs or alcohol
04 Offered protection from other inmates
05 Threatened with physical harm
06 Physically held victim down or restrained in some way
07 Physically harmed or injured
08 Threatened with a weapon
09 Other - Specify

- 10 None
11 No victim (voluntary sexual contact)

26. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)

- 01 Placed in solitary confinement or disciplinary segregation
02 Confined to own cell/room
03 Placed in higher custody level within same facility
04 Transferred to another facility
05 Loss of "good/gain" time or increase in "bad" time
06 Given extra work
07 Loss of privileges
08 Arrested
09 Referred for prosecution
10 Given new sentence
11 Other - Specify

Section B - STAFF SEXUAL MISCONDUCT AND HARASSMENT

27. What was the nature of the incident? (Mark (X) all that apply.)

- 01 Physical force resulting in a nonconsensual sexual act
02 Pressure or abuse of power resulting in a nonconsensual sexual act
03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 Unwanted touching for sexual gratification
05 Sexual harassment or repeated verbal statements of a sexual nature by staff
06 Sexual relationship between inmate and staff that appeared to be willing
07 Other - Specify

- 08 Level of coercion unknown

28. How many staff were involved in the incident?

Number of staff . . . 1

→ If more than two staff were involved, report these characteristics in Notes.

29. Staff #1: What was the gender of the staff?

- 01 Male 02 Female

30. Staff #1: What was the age of the staff at the time of the incident?

- 01 24 or younger 05 40 - 44
02 25 - 29 06 45 - 54
03 30 - 34 07 55 or older
04 35 - 39

31. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system - Specify

32. Staff #2: What was the gender of the staff?

- 01 Male 02 Female

33. Staff #2: What was the age of the staff at the time of the incident?

- 01 24 or younger 05 40 - 44
02 25 - 29 06 45 - 54
03 30 - 34 07 55 or older
04 35 - 39

34. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system - Specify

35. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark) all that apply for all staff involved.)

- 01 Full or part-time paid employee
02 Contract employee or vendor
03 Volunteer or intern
04 Other - Specify

36. What was the primary position description of the staff involved in the incident? (Mark) all that apply for all staff involved.)

- 01 Administrator, including wardens, superintendents, assistants and others in administrative positions
02 Correctional officer/supervision staff
03 Clerical including secretaries, clerks, receptionists, and other administrative support
04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 Education staff, including instructors, teachers, librarians, and education assistants
07 Other program staff
08 Other staff - Specify

37. What sanction was imposed on the staff? (Mark) all that apply for all staff involved.)

- 01 Reprimanded or disciplined
02 Demoted or diminished responsibilities
03 Transferred to another facility
04 Arrested
05 Referred for prosecution
06 Discharged
07 Staff resigned (prior to completion of investigation)
08 Staff resigned (after investigation was completed)
09 Other - Specify

NOTES

FORM **SSV-IA**
(3-13-2012)**SURVEY OF SEXUAL VIOLENCE, 2011**

- Incident Form (Adult)

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
and ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Admin.
U.S. CENSUS BUREAU

Incident Number ____ out of ____

1. On what date did the incident occur?

(If more than one date, report the most recent.)

 Month Day Year
 09 23 2011
2. In what facility did the incident occur?

Name

MacDougall Correctional Institution

City/Place

Ridgeville, SC

3. Where did the incident occur? (Mark (X) all that apply.)

- 01 In the victim's cell/room (if the victim and perpetrator share a cell/room, count as the victim's cell)
- 02 In the perpetrator's cell/room
- 03 In a dormitory or other multiple housing unit
- 04 In a common area within a cell block (shower, dayroom)
- 05 In a temporary holding cell within the facility
- 06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)
- 07 Outside the facility
- 08 While in transit
- 09 Other - Specify unknown

4. What time did the incident occur? (Mark (X) all that apply.)

- 01 Morning (6 a.m. to noon)
- 02 Afternoon (noon to 6 p.m.)
- 03 Evening (6 p.m. to midnight)
- 04 Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?Number of victims . . . 1

- If no victims (only perpetrators) were involved, report "0" above, and go to item 13.
- If more than two victims were reported, report these characteristics in Notes.

6. Victim #1: What was the victim's gender?

- 01 Male 02 Female

7. Victim #1: What was the victim's age at the time of the incident?

- 01 Under age 18 05 35 - 39
- 02 18 - 24 06 40 - 44
- 03 25 - 29 07 45 or older
- 04 30 - 34

8. Victim #1: What was the victim's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 Other racial category in your information system - Specify

9. Victim #2: What was the victim's gender?

- 01 Male 02 Female

10. Victim #2: What was the victim's age at the time of the incident?

- 01 Under age 18 05 35 - 39
- 02 18 - 24 06 40 - 44
- 03 25 - 29 07 45 or older
- 04 30 - 34

11. Victim #2: What was the victim's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 Other racial category in your information system - Specify

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12. Did the victim(s) sustain any physical injury during the incident?

- 01 Yes → a. **What injuries occurred?**
(Mark (X) all that apply for all victims.)
- 01 Knife or stab wounds
 - 02 Broken bones
 - 03 Anal or vaginal tearing
 - 04 Chipped or knocked out teeth
 - 05 Internal injuries
 - 06 Knocked unconscious
 - 07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
 - 08 Other – Specify _____

b. Did the victim(s) receive medical treatment for these injuries?

- 01 Yes
 02 No
 02 No (No injury sustained)

13. Who reported the incident?
(Mark (X) all that apply.)

- 01 Victim
- 02 Another inmate (non-victim)
- 03 Family of victim
- 04 Correctional officer/front line staff
- 05 Administrative staff
- 06 Medical/healthcare staff
- 07 Instructor/teacher
- 08 Counselor
- 09 Chaplain or other religious official
- 10 Other – Specify _____

_____ officer admitted relationship

14. After the incident was reported, was the victim(s) – *(Mark (X) all that apply for all victims.)*

- 01 Given a medical examination
- 02 Administered a rape kit
- 03 Tested for HIV/AIDS
- 04 Tested for other sexually transmitted diseases
- 05 Provided with counseling or mental health treatment
- 06 None of the above
- 07 No victim (voluntary sexual contact)

15. After the incident was reported, was the victim(s) – *(Mark (X) all that apply for all victims.)*

- 01 Placed in administrative segregation/protective custody
- 02 Placed in a medical unit, ward, or hospital
- 03 Confined to own cell/room
- 04 Given a higher custody level within the facility
- 05 Transferred to another facility
- 06 Other – Specify _____

- 07 None of the above
 08 No victim (voluntary sexual contact)

16. What type of sexual violence was involved in the incident? *(See definitions below)*

- 01 Inmate-on-inmate nonconsensual sexual act → Complete Section A
- 02 Inmate-on-inmate abusive sexual contact → Complete Section A
- 05 Voluntary sexual contact between adults → Complete Section A
- 03 Staff sexual misconduct → Complete Section B
- 04 Staff sexual harassment → Complete Section B

Definitions of Sexual Violence

Nonconsensual Sexual Acts: Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vagina or the penis and the anus including penetration, however slight; OR Contact between the mouth and the penis, vagina, or anus;

OR

Penetration of the anal or genital opening of another person by the hand, finger, or other object.

Abusive Sexual Contact: (less severe) Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDES

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

Staff Sexual Misconduct: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts including: Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

Staff Sexual Harassment: Repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors). Demeaning references to gender or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Section A – INMATE-ON-INMATE SEXUAL VIOLENCE

17. How many perpetrators were involved in the incident?

Number of perpetrators . . . _____

→ If more than two perpetrators were involved, report these characteristics in Notes.

18. Perpetrator #1: What was the perpetrator's gender?

- 01 Male 02 Female

19. Perpetrator #1: What was the perpetrator's age at the time of the incident?

- 01 Under age 18 04 30-34 07 45 or older
02 18-24 05 35-39
03 25-29 06 40-44

20. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 Other racial category in your information system - Specify

21. Perpetrator #2: What was the perpetrator's gender?

- 01 Male 02 Female

22. Perpetrator #2: What was the perpetrator's age at the time of the incident?

- 01 Under age 18 04 30-34 07 45 or older
02 18-24 05 35-39
03 25-29 06 40-44

23. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 Other racial category in your information system - Specify

24. What was the nature of the incident? (Mark (X) all that apply.)

- 01 Voluntary sexual contact between adults
02 Unwanted touching for sexual gratification
03 Pressure/coercion (without force) resulting in a nonconsensual sexual act
04 Physical force (or the threat of force) resulting in a nonconsensual sexual act
05 Other - Specify

25. What type of pressure or physical force was used by the perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)

- 01 Persuasion or talked into sexual activity
02 Bribery or blackmail
03 Gave victim drugs or alcohol
04 Offered protection from other inmates
05 Threatened with physical harm
06 Physically held victim down or restrained in some way
07 Physically harmed or injured
08 Threatened with a weapon
09 Other - Specify

- 10 None
11 No victim (voluntary sexual contact)

26. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)

- 01 Placed in solitary confinement or disciplinary segregation
02 Confined to own cell/room
03 Placed in higher custody level within same facility
04 Transferred to another facility
05 Loss of "good/gain" time or increase in "bad" time
06 Given extra work
07 Loss of privileges
08 Arrested
09 Referred for prosecution
10 Given new sentence
11 Other - Specify

Section B - STAFF SEXUAL MISCONDUCT AND HARASSMENT

27. What was the nature of the incident? (Mark (X) all that apply.)

- 01 Physical force resulting in a nonconsensual sexual act
02 Pressure or abuse of power resulting in a nonconsensual sexual act
03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 Unwanted touching for sexual gratification
05 Sexual harassment or repeated verbal statements of a sexual nature by staff
06 Sexual relationship between inmate and staff that appeared to be willing
07 Other - Specify

- 08 Level of coercion unknown

28. How many staff were involved in the incident?

Number of staff . . . 1

→ If more than two staff were involved, report these characteristics in Notes.

29. Staff #1: What was the gender of the staff?

- 01 Male 02 Female

30. Staff #1: What was the age of the staff at the time of the incident?

- 01 24 or younger 05 40 - 44
02 25 - 29 06 45 - 54
03 30 - 34 07 55 or older
04 35 - 39

31. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system - Specify

32. Staff #2: What was the gender of the staff?

- 01 Male 02 Female

33. Staff #2: What was the age of the staff at the time of the incident?

- 01 24 or younger 05 40 - 44
02 25 - 29 06 45 - 54
03 30 - 34 07 55 or older
04 35 - 39

34. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system - Specify

35. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark) all that apply for all staff involved.)

- 01 Full or part-time paid employee
02 Contract employee or vendor
03 Volunteer or intern
04 Other - Specify

36. What was the primary position description of the staff involved in the incident? (Mark) all that apply for all staff involved.)

- 01 Administrator, including wardens, superintendents, assistants and others in administrative positions
02 Correctional officer/supervision staff
03 Clerical including secretaries, clerks, receptionists, and other administrative support
04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 Education staff, including instructors, teachers, librarians, and education assistants
07 Other program staff
08 Other staff - Specify

37. What sanction was imposed on the staff? (Mark) all that apply for all staff involved.)

- 01 Reprimanded or disciplined
02 Demoted or diminished responsibilities
03 Transferred to another facility
04 Arrested
05 Referred for prosecution
06 Discharged
07 Staff resigned (prior to completion of investigation)
08 Staff resigned (after investigation was completed)
09 Other - Specify

NOTES
