FORM **SSV-2** (07-17-2024)



#### **SURVEY OF SEXUAL VICTIMIZATION: 2023**

State Prison Systems Summary Form U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS AND ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

**DATA SUPPLIED BY** Title Name Agency PREA Coordinator Tracy Webb Number and street or P.O. Box/Route Number ZIP Code **OFFICIAL** City State **ADDRESS** 4444 Broad River Roa Columbia SC 29210 Area Code Area code Number Number **FAX TELEPHONE** NUMBER E-MAIL webb.tracy@doc.sc.gov **ADDRESS** 

(Please correct any error in name, mailing address, and ZIP Code)

#### What facilities are included in this data collection?

All State-operated confinement facilities that are intended for adults but sometimes hold juveniles.

- INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners.
- INCLUDE State-operated local detention facilities in Alaska, Connecticut, Delaware, Hawaii, Rhode Island, and Vermont
- EXCLUDE privately operated facilities and facilities operated and administered by local governments. (These facilities will be contacted directly for data on sexual victimization.)
- EXCLUDE facilities that hold only juveniles. (These facilities will be contacted directly for data on sexual victimization.)

# What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2023, and December 31, 2023.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions.

## **Reporting instructions:**

- Please complete the entire SSV-2 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "0," write "0" in the space provided.

#### Substantiated incidents of sexual victimization:

 Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

#### **Returning forms:**

- If you need assistance, please call Greta Clark at the U.S. Census Bureau toll-free at 1-800-253-2078, or e-mail greta.b.clark@census.gov
- Please return your completed summary and substantiated incident forms by December 20, 2024.
- You may complete these forms online at: https://respond.census.gov/ssv
- MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- FAX (TOLL FREE): 1-888-262-3974

#### **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

#### Section I - INMATE-ON-INMATE SEXUAL VICTIMIZATION

#### **DEFINITIONS**

This survey utilizes the PREA standard definitions for types of sexual victimization. These categories are:

#### **SEXUAL ABUSE**

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus:
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

#### **SEXUAL HARASSMENT**

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

1.		r State prison sy: ns of inmate-on-ii ABUSE?		rd
	<sub>01</sub> ■ Yes <del>-</del>	Do you record a occurrences, o ones?	all reporte r only sub	d stantiated
		01 <b>A</b> II		
		02 Substantiated	d only	
	02 □ No <del>-1</del>	Please provide an agency does not r <b>SEXUAL ABUS</b> below, and skip to	ecord inmat <b>E</b> allegations	e-on-inmate
2.	Between how man	January 1, 2023, y allegations of i	, and Dece nmate-on-	mber 31, 2023, inmate
	SEXUAL	ABUSE were repo		
	Number i	eported	110	
	perpetra included	egation involved multors, count only once repeated abuse wit petrator/s involved, or	e. If an alleg h the same	ation victim/s
	<ul> <li>Exclude consens</li> </ul>	any allegations that	were report	ed as
3. Of the allegations reported in Item 2, how many were — (Please contact the agency or offic responsible for investigating allegations of sexual victimization in order to fully complete this form.)			cy or office sexual	
			1	
	a. Substa			
	have	event was investigate occurred, based on nce (28 C.F.R. §115	a preponder	rance of the
	h 11		47	
		stantiated nvestigation conclud		- ango was
	insuff	icient to determine v	vhether or no	ot the event
	c. Unfour		46	
		nvestigation determi	ned that the	event did NOT
	occui	gation ongoing	16	
		gation ongoing ence is still being gat a final determination	hered, proce	- essed or evaluated been made
		(Sum of Items	110	
	3a thro	ugh 3d)		

• The total should equal the number reported in Item 2.

4. Does your State prison system record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)		
01 ■ Yes → Do you record all reported allegations or only substantiated ones?		
01 ■ All 02 □ Substantiated only		
02 ☐ No → Please provide an explanation as to why your agency does not record inmate-on-inmate SEXUAL HARASSMENT allegations, in the space below, and skip to Section II.		
5. Between January 1, 2023, and December 31, 2023, how many allegations of inmate on inmate SEXUAL HARASSMENT were		

Number reported ..... 106

reported?

- If the allegation involved multiple victims and/or perpetrators, count only once. If an allegation included repeated harassment with the same victim/s and perpetrator/s involved, count only once.
- Exclude any allegations that were reported as consensual.

6. Of the allegations reported in Item 5, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated ......

b. Unsubstantiated . . . .

c. Unfounded .........

d. Investigation ongoing

e. TOTAL (Sum of Items 6a through 6d) . . . . . . .

• The total should equal the number reported in Item 5

#### Section II - STAFF-ON-INMATE SEXUAL VICTIMIZATION

#### **DEFINITIONS**

#### **STAFF SEXUAL ABUSE**

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus:
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire:
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

#### **STAFF SEXUAL HARASSMENT**

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

Does your State prison system record allegations of STAFF SEXUAL ABUSE?		
	01 ■ Yes → Do you record a occurrences, or ones?	ll reported only substantiated
	01 ■ All 02 □ Substantiated	only
	02 ☐ No → Please provide an e your agency does n SEXUAL ABUSE below, and skip to h	ot record <b>STAFF</b> allegations, in the space
3.	Between January 1, 2023, December 31, 2023, how m STAFF SEXUAL ABUSE we	nany allegations of
	Number reported  If the allegation involved multiperpetrators, count only once repeated abuse with the same involved, count only once.	. If an allegation included
).	Of the allegations reported many were — (Please contact responsible for investigating alle victimization in order to fully com-	t the agency or office gations of sexual
	a. Substantiated	8
	b. Unsubstantiated	42
	c. Unfounded	49
	d. Investigation ongoing	1

• The total should equal the number reported in

e. TOTAL (Sum of Items 9a through 9d)

Item 8.

10. Does your State prison systematical	em record	Section III - PRIVATE AND LOCAL ALLEGATIONS
allegations of STAFF SEXUA (See definitions on page 4.)	AL HARASSMENT?	13. Did any of the allegations reported in Items 2,
(Gee delinidons on page 4.)		5, 8, or 11 occur in a privately operated facility?
01 ■ Yes → Can these allega	ations be counted	01 ☐ Yes
separately from STAFF SEXUAL	allegations of	o₂ ■ No
_	ADOSE.	
01 ■ Yes		14. Did any of the allegations reported in Items 2, 5, 8, or 11 occur in a facility operated and
02 ☐ No → Skip to	Item 13.	administered by local governments?
02 ☐ No → Please provide an ex	planation as to why	01 ☐ Yes
your agency does no	ot record <b>STAFF</b>	02 <b>N</b> o
the space below, and	MENT allegations, in diskip to Item 13.	Cootion IV TOTAL CURCUANTIATED
		Section IV – TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION
		15. What is the total number of substantiated incidents reported in Items 3a, 6a, 9a, and 12a?
		Total substantiated incidents25
		→ Please complete a Substantiated Incident
		Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.
11. Between January 1, 2023, a	ind	
December 31, 2023, how ma of STAFF SEXUAL HARASSN	any allegations MENT were	NOTES
reported?		
Number reported	68	
If the allegation involved multiple	le victims and/or	
perpetrators, count only once. I	If an allegation included	
repeated harassment with the s perpetrator/s involved, count or		
40 Of the allegations remarked	in Ham 44 ham	
<b>12. Of the allegations reported</b> imany were — (Please contact in	the agency or office	
responsible for investigating alleg victimization in order to fully comp	lations of sexual	
victimization in order to faily comp		
	10	
a. Substantiated		
	26	
b. Unsubstantiated	20	
	31	
c. Unfounded	31	
<u> </u>	1	
d. Investigation ongoing	I	
a. investigation ongoing .		
TOTAL (Come of House	68	
e. TOTAL (Sum of Items 12a through 12d)	00	
<ul> <li>The total should equal the n</li> </ul>	umber reported in	
Item 11.	and reported in	





14 Location not applicable (e.g., involved written, phone,

or virtual communication)

#### **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	Incident Number out of
1. Did the incident include repeated behaviors?  01 ☐ Yes 02 ☐ No  If "Yes" → a. On approximately, how many different dates did it occur?	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01 ☐ Yes 03 ☐ Unknown 02 ☑ No  5. What time did the incident occur?
recent incident occur?  Month Day Year	<ul> <li>(Mark all that apply.)</li> <li>01 ✓ Morning (6 a.m. to 11:59 a.m.)</li> <li>02 ☐ Afternoon (noon to 5:59 p.m.)</li> <li>03 ☐ Evening (6 p.m. to 11:59 p.m.)</li> <li>04 ☐ Overnight (midnight to 5:59 a.m.)</li> <li>05 ☐ Time unknown</li> <li>6. Who reported the incident? (Mark all that apply.)</li> </ul>
Name Turbeville  City State Turbeville  SC  State  State  State  SC  State  State  SC  State  SC  State  SC  State  SC  State  SC  In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  Deal In the perpetrator's cell or room  In a dormitory or other multiple housing unit  Deal In a common area (e.g., shower, dayroom, bathroom)  Sin a temporary holding cell or intake area within the facility  State  SC  In a temporary holding cell or intake area within the facility  State  SC  State  SC  State  SC  State  SC  SC  State  SC  SC  ST  ST  ST  ST  ST  ST  ST  ST	o1  ✓ Victim  o2  Another inmate (nonvictim)  o3  Victim's family, friend, or legal guardian  o4  Correctional officer or front-line staff  o5  Administrative staff  o6  Medical, healthcare, or mental health staff  o7  Instructor, teacher, or counselor  o8  Other staff (e.g., kitchen worker, maintenance staff)  o9  Chaplain or other religion official  10  Perpetrator  11  Perpetrator's family, friend, or legal guardian  12  Grievance coordinator, grievance process, or ombuds  13  Attorney (victim's or perpetrator's)  14  Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)  15  Other − Specify ✓
storage, laundry, cafeteria, workshop, hallway)  17 In an instructional area (e.g., classroom, school, library, conference room)  18 In a recreation area (e.g., yard, courtyard, gymnasium)  19 In a medical area (e.g., infirmary, health clinic)  10 In a staff area (e.g., office, break room, counselor's office)  11 Off-site or while in transit  12 Other – Specify	7. What was the type of sexual victimization incident? (See definitions on page 9.)  1  Inmate-on-inmate sexual abuse  → Complete sections A and B  12  Inmate-on-inmate sexual harassment  → Complete sections A and B  13  Staff-on-inmate sexual abuse  → Complete sections A and C  14  Staff-on-inmate sexual harassment  → Complete sections A and C

#### **Burden Statement**

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8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  2 Female		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  O3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male o2 □ Female o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.) o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.) o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.) o6 □ Other – Specify o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  52 years	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01 ■ Yes 02 ■ No 03 ■ Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01 ■ Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 ■ Bites  03 ■ Burns  04 ■ Chipped or knocked out teeth  05 ■ Anal or vaginal tearing  06 ☑ Knocked unconscious  07 ☑ Broken bones  08 ■ Knife or stab wounds  09 ☑ Internal injuries  10 ■ Other - Specify ☑  → b. Did the victim receive medical treatment for these injuries?  01 ■ Yes 02 ■ No 03 ■ Unknown
13.	ethnicity? (Mark all that apply.)  01  White  02  Black or African American  03  Hispanic or Latino  04  American Indian or Alaska Native  05  Asian  06  Native Hawaiian or Pacific Islander  07  Middle Eastern or North African  08  Other racial category in your information system –  Specify   Victim #1: What was the victim's citizenship?	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment 02 ✓ Given a medical examination  03 ☐ Administered a sexual assault kit  04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception  05 ☐ Given a pregnancy test  06 ☐ Tested for HIV/AIDS  07 ☐ Tested for other sexually transmitted infections  08 ☐ Offered but declined testing or treatment and/or counseling
	01 ■ A U.S. citizen 02 □ Not a U.S. citizen 03 □ Of unknown citizenship status		09 ☐ Already released/discharged 10 ☐ None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 ✓ Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	02 Confined to own cell or room	02 ☐ Not a 0.5. chizen 03 ☐ Of unknown citizenship status
	03 Placed in or returned to restrictive housing	00 - Of different onlessing states
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	os Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	07 Given a higher custody level or different unit within the facility 08 Transferred to another facility	etc.)  O2 A cognitive impairment or intellectual disability (e.g.,
	09 Issued disciplinary report or loss of privileges	ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
	10 Other – Specify 7	os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	24. Victim #2: Did the victim sustain any physical
	→ If there were no other victims, please skip	injury during the incident?
	to Section B on pages 4-5 if the incident	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
	involved an inmate perpetrator or Section C on pages 6-7 if the incident involved a staff	If "Yes" → a. What injuries occurred?
	perpetrator.	(Mark all that apply.)
12	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
	02   Female	05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender	06 Knocked unconscious
	identity?	07 Broken bones
	01 Male 05 Gender nonconforming	08 L Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 ☐ Transgender	10  Other − Specify 🙀
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
		25. Victim #2: After the incident was reported,
21.	Victim #2: What was the victim's race or ethnicity? (Mark all that apply.)	was the victim - (Mark all that apply.)
	oı _ White	01 Provided with counseling or mental health treatment
		02 Given a medical examination
	02   Black or African American 03   Hispanic or Latino	03 Administered a sexual assault kit
	04 American Indian or Alaska Native	o4 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception
	05 Asian	os Given a pregnancy test
	06 Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 ☐ Tested for other sexually transmitted infections
	08 Other racial category in your information system –	08 — Offered but declined testing or treatment and/or
	Specify д	counseling
		09 Already released/discharged
		None of the above

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 U Confined to own cell or room		01 ☐ Persuasion or talked into sexual activity 02 ☐ Bribery or blackmail
	03 Placed in or returned to restrictive housing		02 ☐ Bribery or blackmail 03 ☐ Gave the victim drugs or alcohol
	04 Placed in a medical unit, ward, or hospital		offered protection from other inmates
	of Placed in camera room, under close surveillance, or increased supervision		of Threats made (e.g., threatened harm to victim or victim's family, threatened with a weapon)
	of Transferred to another housing unit or dorm, or given a single room or cell		of Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated
	or ☐ Given a higher custody level or different unit within the facility  os ☐ Transferred to another facility		of Physically held victim down or restrained in some way, including having other inmates restrain the victim
	09 ☐ Issued disciplinary report or loss of privileges		08 ☑ Physical harm or injury
	10 $\square$ Other – Specify $ ot $		
			09 ☐ Other – Specify ⊋
	11 ☐ None of the above		None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators 4
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		,, ,
	Section 6 on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	<ul> <li>(Mark all that apply.)</li> <li>□ Repeated verbal comments or gestures of a</li> </ul>		<u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads,
	derogatory or offensive sexual nature		chromosomes, and hormones.
	02 ☐ Repeated and unwelcome sexual advances or requests for sexual favors		o1 Male
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31	o2 ☐ Female  Perpetrator #1: What was the inmate perpetrator's
	of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	of the buttocks  Nonconsensual sexual act that resulted from pressure or coercion (without force)		or sense of who they are regardless of sex assigned at birth.
	os ✓ Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02   Female
	07 ☐ Other – Specify <sub>▼</sub>		os Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			or ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	25 years		01 ■ Male 05 □ Gender nonconforming
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	o₁ ☐ White		04 🗌 Intersex 07 🔲 Unknown
	02 R Black or African American		
	03 Hispanic or Latino		
	04 American Indian or Alaska Native	37.	Perpetrator #2: What was the inmate perpetrator's age at the time of the incident?
	05 Asian		
	06 Native Hawaiian or Pacific Islander		50 years
	07 Middle Eastern or North African		
	08 ☐ Other racial category in your information system – Specify	38.	Perpetrator #2: What was the inmate perpetrator's race or ethnicity?  (Mark all that apply.)
			01 White
			02 Black or African American
34.	Perpetrator #1: After the incident, was the		03 Hispanic or Latino
	inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	01 Confined to own cell or room		05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander
	02 Placed in or returned to restrictive housing		or ☐ Middle Eastern or North African
	O3 Placed in higher custody level, restricted unit or program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		
	05 Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	or Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate
	08 Loss of "good/gain" time, increase in "bad" time or		perpetrator – (Mark all that apply.)  o1 Confined to own cell or room
	delayed release		
	09 Given extra work		<ul> <li>02 ✓ Placed in or returned to restrictive housing</li> <li>03 ☐ Placed in higher custody level, restricted unit or</li> </ul>
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		os Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		of Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			op ☐ Given extra work
	→ If there were no other perpetrators, please		10 Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
	Casint IVIIII		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ■ Male		15 ☐ Other – Specify ⊋
	02 ☐ Female		
	oz 🗀 i onidio		

Section C – STAFF PE SEXUAL VICTI	RPETRATORS OF MIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was Section B on pages 4-</li> <li>40. What was the nature of the section B on pages 4-</li> </ul>	5.	<ul> <li>01 ☐ Full- or part-time paid employee</li> <li>02 ☐ Contract employee or vendor</li> <li>03 ☐ Volunteer or intern</li> <li>04 ☐ Other – Specify </li> </ul>
<ul> <li>(Mark all that apply.)</li> <li>01 ☐ Repeated suggestive, of comments or gestures of comments or gestures of comments or gestures of comments or gestures of through video conferenciing video conferenciing Exhibited grooming or mas providing gifts, specifinmates</li> <li>04 ☐ Indecent exposure, invanished</li> <li>05 ☐ Any attempt, threat, or masexual contact or sexual contact or sexual conferenciing</li> <li>06 ☐ Any touching or kissing</li> <li>07 ☐ Pressure or abuse of powers</li> <li>08 ☐ Physical force resulting</li> </ul>	of a sexual nature ctures, talked on the phone, or ng nanipulative behaviors such al privileges, or favors to asion of privacy, or voyeurism request related to abusive al acts for sexual gratification wer resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers,
Other – Specify   Other – Specify   A1. How many staff perpetrate the incident?  Number of staff   → If more than two staff complete this form on	ators were involved in were involved, please	librarians, and education assistants  05 ☐ Member of the clergy or chaplaincy  06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff  09 ☐ Volunteers or interns  10 ☐ Other staff – Specify   Other Staff — Specif
42. Staff #1: What was the segender?  o1  Male o2  Female  43. Staff #1: What was the segender.	taff perpetrator's	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  11 Less than 6 months 22 6 months to 1 year 3 1 to 5 years 4 6 to 10 years
at the time of the incide years		<ul> <li>05 ☐ 11 or more years</li> <li>48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)</li> </ul>
44. Staff #1: What was the sor ethnicity? (Mark all that)  01	apply.) an ska Native ific Islander	o1 ☐ Sent to training or counseling  o2 ☐ Reprimanded or disciplined  o3 ☐ Transferred to another facility or unit  o4 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  o5 ☐ Discharged, terminated, or contract not renewed  o6 ☐ Staff resigned (prior to completion of investigation)  o7 ☐ Staff resigned (after investigation was completed)  o8 ☐ Arrested or referred to law enforcement agency  o9 ☐ Referred for prosecution or indicted

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10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome 12 ☐ Other – Specify 🙀

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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#### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

#### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

#### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





#### **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	incident Number out of
<ul> <li>Did the incident include repeated behaviors?</li> <li>01 ☐ Yes 02 ☐ No</li> <li>If "Yes" → a. On approximately, how many different dates did it occur?</li> </ul>	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01  Yes
⇒ b. On what date did the most recent incident occur?      Month Day Year	<ul> <li>5. What time did the incident occur? (Mark all that apply.)</li> <li>o1 ☐ Morning (6 a.m. to 11:59 a.m.)</li> <li>o2 ☐ Afternoon (noon to 5:59 p.m.)</li> <li>o3 ☐ Evening (6 p.m. to 11:59 p.m.)</li> <li>o4 ☐ Overnight (midnight to 5:59 a.m.)</li> <li>o5 ☑ Time unknown</li> <li>6. Who reported the incident? (Mark all that apply.)</li> <li>o1 ☐ Victim</li> </ul>
Name Broad River  City Columbia  State Columbia  State  State  Columbia  State  State  Columbia  State  Sta	O2 ☐ Another inmate (nonvictim) O3 ☐ Victim's family, friend, or legal guardian O4 ☐ Correctional officer or front-line staff O5 ☑ Administrative staff O6 ☐ Medical, healthcare, or mental health staff O7 ☐ Instructor, teacher, or counselor O8 ☐ Other staff (e.g., kitchen worker, maintenance staff) O9 ☐ Chaplain or other religion official 10 ☐ Perpetrator 11 ☐ Perpetrator's family, friend, or legal guardian
<ul> <li>□ In the perpetrator's cell or room</li> <li>□ In a dormitory or other multiple housing unit</li> <li>□ In a common area (e.g., shower, dayroom, bathroom)</li> <li>□ In a temporary holding cell or intake area within the facility</li> <li>□ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)</li> <li>□ In an instructional area (e.g., classroom, school, library,</li> </ul>	12 ☐ Grievance coordinator, grievance process, or ombuds 13 ☐ Attorney (victim's or perpetrator's) 14 ☐ Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail) 15 ☐ Other – Specify   □
conference room)  os  In a recreation area (e.g., yard, courtyard, gymnasium)  os  In a medical area (e.g., infirmary, health clinic)  os  In a staff area (e.g., office, break room, counselor's office)  off-site or while in transit  off off off of the county of the co	7. What was the type of sexual victimization incident? (See definitions on page 9.)  01 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  02 □ Inmate-on-inmate sexual harassment  → Complete sections A and B  03 ■ Staff-on-inmate sexual abuse  → Complete sections A and C
13 ☐ Location unknown  14 ☑ Location not applicable (e.g., involved written, phone, or virtual communication)	<ul> <li>04 ☐ Staff-on-inmate sexual harassment</li> <li>→ Complete sections A and C</li> </ul>

#### **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	→ If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  2 Female		<ul> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male o2 □ Female o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.) o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.) o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.) o6 □ Other – Specify o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  3 † years	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical treatment for these injuries?
	ethnicity? (Mark all that apply.)  1 White 2 Black or African American 3 Hispanic or Latino 4 American Indian or Alaska Native 5 Asian 6 Native Hawaiian or Pacific Islander 7 Middle Eastern or North African 8 Other racial category in your information system –  Specify	16.	Victim #1: After the incident was reported, was the victim − (Mark all that apply.)  1 Provided with counseling or mental health treatment Given a medical examination  2 Given a medical examination  3 Administered a sexual assault kit  4 Given post-exposure prophylaxis (PEP) and/or emergency contraception  5 Given a pregnancy test  6 Tested for HIV/AIDS  7 Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  o₁ ■ A U.S. citizen  o₂ □ Not a U.S. citizen  o₃ □ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>□ Already released/discharged</li> <li>□ None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

	Section C – STAFF PERPETRATORS ( SEXUAL VICTIMIZATION
	→ If the perpetrator was an inmate, go t Section B on pages 4-5.
0.	What was the nature of the incident?

	Se	ection B on pages 4-5.
40.		t was the nature of the incident?
	01 🗌	Repeated suggestive, derogatory, or obscene verba comments or gestures of a sexual nature
	02 🔽	Wrote letters, showed pictures, talked on the phone, or through video conferencing
	03 🗌	Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates
	04 🗌	Indecent exposure, invasion of privacy, or voyeurism
	05 🗌	Any attempt, threat, or request related to abusive sexual contact or sexual acts
	06 🔽	Any touching or kissing for sexual gratification
	07 🗌	Pressure or abuse of power resulting in a sexual act
	08 🗌	Physical force resulting in a sexual act
	09 🗌	Other – Specify <sub>▼</sub>
41.	How	many staff perpetrators were involved in ncident?
		4
	Numb	per of staff <u>1</u>
	→ If	more than two staff were involved, please
		mplete this form online.
42.	Staff	f #1: What was the staff perpetrator's
	01	
		Female
	02 💻	remaie
43.	Staff at th	f #1: What was the staff perpetrator's age e time of the incident?
	29	years
44.	Staff or et	f #1: What was the staff perpetrator's race hnicity? (Mark all that apply.)
	01 🗌	White
	02 🔽	Black or African American
		Hispanic or Latino
		American Indian or Alaska Native
		Asian Native Hawaiian or Pacific Islander
		Middle Eastern or North African
		Other racial category in your information system –
		Specify F

45.		#1: Was the staff perpetrator involved in ncident –
	01	Full- or part-time paid employee
	02 🗌	Contract employee or vendor

	03 🔲	Contract employee or vendor  Volunteer or intern  Other − Specify   ✓
46.	desc	f #1: What was the primary position ription of the staff perpetrator involved in ncident?
	01 🗌	Correctional officer, supervisory or security staff, or direct care staff
	02 🗌	Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
	03	Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
	04 🗌	Education staff, including instructors, teachers, librarians, and education assistants
	05 🗌	Member of the clergy or chaplaincy
	06 🗌	Administrator, including wardens, superintendents, assistants and others in administrative positions
	07 🗌	Clerical staff including secretaries, clerks, receptionists, and other administrative support
	08 🗌	Other program staff
	09 🗌	Volunteers or interns
	10	Other staff – Specify <sub>▼</sub>

# 47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?

01 Less than 6 months
02  6 months to 1 year
03 <b>1</b> to 5 years
04 🗌 6 to 10 years
05 11 or more years

13 No action taken

# 48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)

perpetrator - (Mark all that apply.)
o₁ Sent to training or counseling
02 Reprimanded or disciplined
03 Transferred to another facility or unit
04 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave
05 Discharged, terminated, or contract not renewed
06 Staff resigned (prior to completion of investigation)
07 Staff resigned (after investigation was completed)
08 Arrested or referred to law enforcement agency
09 Referred for prosecution or indicted
10 Convicted, plead guilty, sentenced, or fined
11 Awaiting a legal outcome
12 ☐ Other – Specify <sub>▼</sub>

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	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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#### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

#### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

#### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





#### **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	incident Number out of
1. Did the incident include repeated behaviors?  o1 ■ Yes o2 □ No  If "Yes" → a. On approximately, how many different dates did it occur? 2	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  □ □ Yes □ □ □ □ □ No
⇒ b. On what date did the most recent incident occur?      Month Day Year     6 22 2 0 2 2  If "No" → a. On what date did the incident occur?      Month Day Year	<ul> <li>5. What time did the incident occur? (Mark all that apply.)</li> <li>○1  Morning (6 a.m. to 11:59 a.m.)</li> <li>○2  Afternoon (noon to 5:59 p.m.)</li> <li>○3  Evening (6 p.m. to 11:59 p.m.)</li> <li>○4  Overnight (midnight to 5:59 a.m.)</li> <li>○5  Time unknown</li> <li>6. Who reported the incident? (Mark all that apply.)</li> <li>○1  Victim</li> </ul>
Name McCormick  City State McCormick  3. Where did the incident occur? (Mark all that apply.)  1 In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  2 In the perpetrator's cell or room  1 In a dormitory or other multiple housing unit  4 In a common area (e.g., shower, dayroom, bathroom)  5 In a temporary holding cell or intake area within the facility  1 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)	Another inmate (nonvictim)  Another inmate (nonvictim)  Correctional officer or front-line staff  Administrative staff  Medical, healthcare, or mental health staff  Instructor, teacher, or counselor  Chaplain or other religion official  Perpetrator  Perpetrator  Perpetrator's family, friend, or legal guardian  Grievance coordinator, grievance process, or ombuds  Attorney (victim's or perpetrator's)  Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)  Other – Specify
or □ In an instructional area (e.g., classroom, school, library, conference room)  os □ In a recreation area (e.g., yard, courtyard, gymnasium)  os □ In a medical area (e.g., infirmary, health clinic)  of □ In a staff area (e.g., office, break room, counselor's office)  off-site or while in transit  off-site or while in transit  Location unknown  Location not applicable (e.g., involved written, phone, or virtual communication)	7. What was the type of sexual victimization incident? (See definitions on page 9.)  01 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  02 □ Inmate-on-inmate sexual harassment  → Complete sections A and B  03 ■ Staff-on-inmate sexual abuse  → Complete sections A and C  04 □ Staff-on-inmate sexual harassment  → Complete sections A and C

#### **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  o3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
11.	<ul> <li>01 ■ Male</li> <li>02 □ Female</li> <li>03 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.)</li> <li>04 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)</li> <li>05 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)</li> <li>06 □ Other – Specify</li> <li>07 □ Unknown</li> <li>Victim #1: What was the victim's age at the time of the incident?</li> <li>31 years</li> </ul>	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  o1 White  o2 Black or African American	16.	→ b. Did the victim receive medical treatment for these injuries?  01 □ Yes 02 □ No 03 □ Unknown  Victim #1: After the incident was reported,
	os ☐ Hispanic or Latino os ☐ American Indian or Alaska Native os ☐ Asian os ☐ Native Hawaiian or Pacific Islander os ☐ Middle Eastern or North African os ☐ Other racial category in your information system – Specify ✓	101	was the victim – (Mark all that apply.)  o1 Provided with counseling or mental health treatment  o2 Given a medical examination  o3 Administered a sexual assault kit  o4 Given post-exposure prophylaxis (PEP) and/or emergency contraception  o5 Given a pregnancy test  o6 Tested for HIV/AIDS  o7 Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  1 ■ A U.S. citizen  1 Not a U.S. citizen  1 Of unknown citizenship status		os ✓ Offered but declined testing or treatment and/or counseling  os ✓ Already released/discharged  None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION

	SEXUAL VICTIMIZATION	the incident –
	→ If the perpetrator was an inmate, go to Section B on pages 4-5.	01 ■ Full- or part-time paid employee 02 □ Contract employee or vendor 03 □ Volunteer or intern
10.	What was the nature of the incident? (Mark all that apply.)	04 ☐ Other – Specify <sub>▼</sub>
	<ul> <li>O1 Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature</li> <li>O2 Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> </ul>	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?
	03 Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates	01 ■ Correctional officer, supervisory or security staff, or direct care staff
	04 Indecent exposure, invasion of privacy, or voyeurism 05 Any attempt, threat, or request related to abusive	02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
	sexual contact or sexual acts  of Any touching or kissing for sexual gratification	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
	07 ☐ Pressure or abuse of power resulting in a sexual act 08 ☐ Physical force resulting in a sexual act	04 ☐ Education staff, including instructors, teachers, librarians, and education assistants
	09 ☐ Other – Specify ⊭	$_{05}$ $\square$ Member of the clergy or chaplaincy
		of Administrator, including wardens, superintendents, assistants and others in administrative positions
41.	How many staff perpetrators were involved in the incident?	07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff
	Number of staff <u>1</u>	09 ☐ Volunteers or interns
	Number of Staff	10 ☐ Other staff – Specify ⊋
	→ If more than two staff were involved, please complete this form online.	
<b>12.</b>	Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?
	o₁ ☐ Male	01 ☐ Less than 6 months
	02 Female	02 ■ 6 months to 1 year
		03 ☐ 1 to 5 years
13.	Staff #1: What was the staff perpetrator's age at the time of the incident?	04 ☐ 6 to 10 years
		05 🗌 11 or more years
	22 years	48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)
14.	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	01  Sent to training or counseling
	on White	02 Reprimanded or disciplined
	02 Black or African American	03 Transferred to another facility or unit
	03 Hispanic or Latino	04 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave
	04 American Indian or Alaska Native	05 ☑ Discharged, terminated, or contract not renewed
	05 Asian	$_{06}$ $\square$ Staff resigned (prior to completion of investigation)
	05 Asian 06 Native Hawaiian or Pacific Islander	07 Staff resigned (after investigation was completed)
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African	07 ☐ Staff resigned (after investigation was completed) 08 ☑ Arrested or referred to law enforcement agency
	05 Asian 06 Native Hawaiian or Pacific Islander	07 ☐ Staff resigned (after investigation was completed) 08 ☑ Arrested or referred to law enforcement agency 09 ☑ Referred for prosecution or indicted
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system —	07 ☐ Staff resigned (after investigation was completed) 08 ✓ Arrested or referred to law enforcement agency 09 ✓ Referred for prosecution or indicted 10 ☐ Convicted, plead guilty, sentenced, or fined
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system —	07 ☐ Staff resigned (after investigation was completed) 08 ☑ Arrested or referred to law enforcement agency 09 ☑ Referred for prosecution or indicted 10 ☐ Convicted, plead guilty, sentenced, or fined 11 ☐ Awaiting a legal outcome
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system —	07 ☐ Staff resigned (after investigation was completed) 08 ✓ Arrested or referred to law enforcement agency 09 ✓ Referred for prosecution or indicted 10 ☐ Convicted, plead guilty, sentenced, or fined

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13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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#### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

#### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

#### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





#### **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

			Incident Number out of
1.	Did the incident include repeated behaviors?  o1 ☐ Yes o2 ■ No  If "Yes" → a. On approximately, how many different dates did it occur?	4.	Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01  Yes
	→ b. On what date did the most recent incident occur?      Month Day Year		What time did the incident occur?  (Mark all that apply.)  01 ☐ Morning (6 a.m. to 11:59 a.m.)  02 ☐ Afternoon (noon to 5:59 p.m.)  03 ☐ Evening (6 p.m. to 11:59 p.m.)  04 ☐ Overnight (midnight to 5:59 a.m.)  05 ☑ Time unknown  Who reported the incident?  (Mark all that apply.)  01 ☐ Victim
	In what facility did the incident occur?  Name McCormick  City State McCormick  Where did the incident occur? (Mark all that apply.)  1 In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  2 In the perpetrator's cell or room  1 In a dormitory or other multiple housing unit  1 In a common area (e.g., shower, dayroom, bathroom)  1 In a temporary holding cell or intake area within the facility  1 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		or consider the control of the cont
	07 ☐ In an instructional area (e.g., classroom, school, library, conference room)  08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)  09 ☐ In a medical area (e.g., infirmary, health clinic)  10 ☐ In a staff area (e.g., office, break room, counselor's office)  11 ☐ Off-site or while in transit  12 ☐ Other – Specify ✓  13 ✔ Location unknown  14 ✔ Location not applicable (e.g., involved written, phone, or virtual communication)	7.	What was the type of sexual victimization incident?  (See definitions on page 9.)  1  □ Inmate-on-inmate sexual abuse  → Complete sections A and B  12  □ Inmate-on-inmate sexual harassment  → Complete sections A and B  13  ■ Staff-on-inmate sexual abuse  → Complete sections A and C  14  □ Staff-on-inmate sexual harassment  → Complete sections A and C

#### **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  Female		<ul> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male o2 □ Female o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.) o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.) o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.) o6 □ Other – Specify o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  35 years  Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical treatment for these injuries?  01  Yes  02  No  03  Unknown
13.	on	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 Provided with counseling or mental health treatment 02 Given a medical examination 03 Administered a sexual assault kit 04 Given post-exposure prophylaxis (PEP) and/or emergency contraception 05 Given a pregnancy test 06 Tested for HIV/AIDS 07 Tested for other sexually transmitted infections 08 Offered but declined testing or treatment and/or
	01 ■ A U.S. citizen 02 □ Not a U.S. citizen 03 □ Of unknown citizenship status		counseling  op Already released/discharged  None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🔲 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

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	Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
	→ If the perpetrator was an inmate, go to Section B on pages 4-5.	01 ■ Full- or part-time paid employee 02 □ Contract employee or vendor 03 □ Volunteer or intern
Ю.	What was the nature of the incident? (Mark all that apply.)	04 ☐ Other – Specify <sub>▼</sub>
<b>11.</b>	o1 ☐ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature  02 ☑ Wrote letters, showed pictures, talked on the phone, or through video conferencing  03 ☐ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates  04 ☐ Indecent exposure, invasion of privacy, or voyeurism  05 ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts  06 ☑ Any touching or kissing for sexual gratification  07 ☐ Pressure or abuse of power resulting in a sexual act  08 ☐ Physical force resulting in a sexual act  09 ☐ Other − Specify ☑  How many staff perpetrators were involved in	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 □ Correctional officer, supervisory or security staff, or direct care staff  02 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 ■ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 □ Education staff, including instructors, teachers, librarians, and education assistants  05 □ Member of the clergy or chaplaincy  06 □ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
	the incident?  Number of staff 1  → If more than two staff were involved, please	08 ☐ Other program staff 09 ☐ Volunteers or interns 10 ☐ Other staff – Specify
	complete this form online.	47. Staff #1: At the time of the incident, how long
12.	Staff #1: What was the staff perpetrator's gender?	had the staff perpetrator worked at the facility?
	01	01 ☐ Less than 6 months 02 ■ 6 months to 1 year 03 ☐ 1 to 5 years
I3.	Staff #1: What was the staff perpetrator's age at the time of the incident?  27  years	04 ☐ 6 to 10 years 05 ☐ 11 or more years 48. Staff #1: After the incident, was the staff
4.	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  1  White  2  Black or African American  3  Hispanic or Latino  4  American Indian or Alaska Native  5  Asian  6  Native Hawaiian or Pacific Islander  7  Middle Eastern or North African  8  Other racial category in your information system – Specify	perpetrator – (Mark all that apply.)  o1 Sent to training or counseling  o2 Reprimanded or disciplined  o3 Transferred to another facility or unit  o4 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  o5 Discharged, terminated, or contract not renewed  o6 Staff resigned (prior to completion of investigation)  o7 Staff resigned (after investigation was completed)  o8 Arrested or referred to law enforcement agency  o9 Referred for prosecution or indicted  oconvicted, plead guilty, sentenced, or fined  Awaiting a legal outcome  ocher – Specify

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





14 Location not applicable (e.g., involved written, phone,

or virtual communication)

# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 02 V No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 4 7 2|0|2|3 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name McCormick 04 Correctional officer or front-line staff 05 Administrative staff State City 06 Medical, healthcare, or mental health staff SC McCormick o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 Staff-on-inmate sexual abuse → Complete sections A and C 04 Staff-on-inmate sexual harassment 13 Location unknown → Complete sections A and C

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  1 Female		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  o3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
11.	o1 ■ Male  o2 □ Female  o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.)  o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)  o6 □ Other – Specify  o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify ✓
12.	years  Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  O1 White		→ b. Did the victim receive medical treatment for these injuries?  01 □ Yes 02 □ No 03 □ Unknown
	02 ✓ Black or African American 03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native 05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system – Specify ✓	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  o1 Provided with counseling or mental health treatment o2 Given a medical examination o3 Administered a sexual assault kit o4 Given post-exposure prophylaxis (PEP) and/or emergency contraception o5 Given a pregnancy test o6 Tested for HIV/AIDS o7 Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  01 ■ A U.S. citizen  02 □ Not a U.S. citizen  03 □ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>Already released/discharged</li> <li>None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

	Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved i the incident –
40.	<ul> <li>→ If the perpetrator was an inmate, go to Section B on pages 4-5.</li> <li>What was the nature of the incident? (Mark all that apply.)</li> </ul>	o1 ■ Full- or part-time paid employee  02 □ Contract employee or vendor  03 □ Volunteer or intern  04 □ Other – Specify   ✓
	on ☐ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature  on ☐ Wrote letters, showed pictures, talked on the phone, or through video conferencing  on ☐ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates  on ☐ Indecent exposure, invasion of privacy, or voyeurism  on ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts  on ☐ Any touching or kissing for sexual gratification  on ☐ Pressure or abuse of power resulting in a sexual act  on ☐ Other — Specify ☐	drivers  O3  Medical or healthcare staff, including counselors doctors, dentists, psychologists, psychiatrists, sworkers, nurses, and medical assistants  O4  Education staff, including instructors, teachers, librarians, and education assistants
	Outlief - Specify 2	05 ☐ Member of the clergy or chaplaincy 06 ☐ Administrator, including wardens, superintender assistants and others in administrative positions
41.	How many staff perpetrators were involved in the incident?  Number of staff 1	07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support 08 ☐ Other program staff 09 ☐ Volunteers or interns 10 ☐ Other staff – Specify   ✓
42.	Staff #1: What was the staff perpetrator's gender?  10 Male 11 Male 12 Female	47. Staff #1: At the time of the incident, how lond had the staff perpetrator worked at the facility?  01 Less than 6 months 02 6 months to 1 year
43.	Staff #1: What was the staff perpetrator's age at the time of the incident?  59 years	03 ■ 1 to 5 years 04 □ 6 to 10 years 05 □ 11 or more years  48. Staff #1: After the incident, was the staff
44.	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	perpetrator – (Mark all that apply.)  o1  Sent to training or counseling

01 White

05 Asian

02 Black or African American

04 American Indian or Alaska Native

06 Native Hawaiian or Pacific Islander

08 Other racial category in your information system -

07 Middle Eastern or North African

03 Hispanic or Latino

Specify ∠

mployee endor rimary position erpetrator involved in ervisory or security staff, or facility support staff, rs, janitors, cooks, and aff, including counselors, ologists, psychiatrists, social edical assistants g instructors, teachers, assistants chaplaincy wardens, superintendents, administrative positions ecretaries, clerks, administrative support ne incident, how long worked at the nt, was the staff apply.) seling 02 Reprimanded or disciplined 03 Transferred to another facility or unit 04 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave 05 Discharged, terminated, or contract not renewed 06 Staff resigned (prior to completion of investigation) o7 Staff resigned (after investigation was completed) 08 Arrested or referred to law enforcement agency 09 Referred for prosecution or indicted 10 Convicted, plead guilty, sentenced, or fined 11 Awaiting a legal outcome 12 ☐ Other - Specify ~ 13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
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NOTES

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- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
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- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

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**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	incident Number out of
1. Did the incident include repeated behaviors?  o1 ☐ Yes o2 ☐ No  If "Yes" → a. On approximately, how many different dates did it occur?	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  10 Yes 10 No 10 No
⇒ b. On what date did the most recent incident occur?      Month Day Year	<ul> <li>5. What time did the incident occur? (Mark all that apply.)</li> <li>o1 ☐ Morning (6 a.m. to 11:59 a.m.)</li> <li>o2 ☐ Afternoon (noon to 5:59 p.m.)</li> <li>o3 ☐ Evening (6 p.m. to 11:59 p.m.)</li> <li>o4 ☐ Overnight (midnight to 5:59 a.m.)</li> <li>o5 ☑ Time unknown</li> <li>6. Who reported the incident? (Mark all that apply.)</li> <li>o1 ☐ Victim</li> </ul>
Name Broad River City Columbia State	O2 ☐ Another inmate (nonvictim) O3 ☐ Victim's family, friend, or legal guardian O4 ☐ Correctional officer or front-line staff O5 ☑ Administrative staff O6 ☐ Medical, healthcare, or mental health staff O7 ☐ Instructor, teacher, or counselor
3. Where did the incident occur? (Mark all that apply.)  o1  In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  o2  In the perpetrator's cell or room  o3  In a dormitory or other multiple housing unit  o4  In a common area (e.g., shower, dayroom, bathroom)  o5  In a temporary holding cell or intake area within the facility  o6  In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)	Other staff (e.g., kitchen worker, maintenance staff)  □ Chaplain or other religion official  □ Perpetrator  □ Perpetrator's family, friend, or legal guardian  □ Grievance coordinator, grievance process, or ombuds  □ Attorney (victim's or perpetrator's)  □ Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)  □ Other – Specify
In an instructional area (e.g., classroom, school, library, conference room)  In a recreation area (e.g., yard, courtyard, gymnasium)  In a medical area (e.g., infirmary, health clinic)  In a staff area (e.g., office, break room, counselor's office)  Off-site or while in transit  Other – Specify	7. What was the type of sexual victimization incident?  (See definitions on page 9.)  o1 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  o2 □ Inmate-on-inmate sexual harassment  → Complete sections A and B  o3 ■ Staff-on-inmate sexual abuse  → Complete sections A and C
13 Location unknown  14 Location not applicable (e.g., involved written, phone, or virtual communication)	<ul> <li>04 ☐ Staff-on-inmate sexual harassment</li> <li>→ Complete sections A and C</li> </ul>

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)  Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life
9.	Victim #1: What was the victim's sex assigned at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male 2 Female		activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.  O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)  O2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.  o1 Male  o2 Female  o3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)	15.	<ul> <li>O3  A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)</li> <li>Victim #1: Did the victim sustain any physical injury during the incident?</li> <li>O1  Yes</li></ul>
11.	ou lintersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  ou Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)  ou Other − Specify ou Unknown  Victim #1: What was the victim's age at the time of the incident?		on □ Bruises, black eye, sprains, cuts, scratches, swelling, welts  on □ Bites  on □ Burns  on □ Chipped or knocked out teeth  on □ Anal or vaginal tearing  on □ Knocked unconscious  on □ Broken bones  on □ Knife or stab wounds  on □ Internal injuries  on □ Other - Specify
12.	years  Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  o1  White		→ b. Did the victim receive medical treatment for these injuries?  01 □ Yes 02 □ No 03 □ Unknown
	<ul> <li>02 ✓ Black or African American</li> <li>03 ☐ Hispanic or Latino</li> <li>04 ☐ American Indian or Alaska Native</li> <li>05 ☐ Asian</li> <li>06 ☐ Native Hawaiian or Pacific Islander</li> <li>07 ☐ Middle Eastern or North African</li> <li>08 ☐ Other racial category in your information system – Specify ✓</li> </ul>	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment  02 ☐ Given a medical examination  03 ☐ Administered a sexual assault kit  04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception  05 ☐ Given a pregnancy test  06 ☐ Tested for HIV/AIDS  07 ☐ Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  1 ■ A U.S. citizen  1 ■ Not a U.S. citizen  1 ■ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>□ Already released/discharged</li> <li>None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was an inmate, go to Section B on pages 4-5.</li> <li>40. What was the nature of the incident? (Mark all that apply.)</li> </ul>	01 ■ Full- or part-time paid employee 02 □ Contract employee or vendor 03 □ Volunteer or intern 04 □ Other – Specify ▼
o1 ☐ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature  o2 ☑ Wrote letters, showed pictures, talked on the phone, or through video conferencing  o3 ☐ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates  o4 ☐ Indecent exposure, invasion of privacy, or voyeurism  o5 ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts  o6 ☑ Any touching or kissing for sexual gratification  o7 ☐ Pressure or abuse of power resulting in a sexual act  o8 ☐ Physical force resulting in a sexual act  Other – Specify ☑	<ul> <li>46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?</li> <li>01  Correctional officer, supervisory or security staff, or direct care staff</li> <li>02  Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers</li> <li>03  Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants</li> <li>04  Education staff, including instructors, teachers, librarians, and education assistants</li> <li>05  Member of the clergy or chaplaincy</li> <li>06  Administrator, including wardens, superintendents, assistants and others in administrative positions</li> </ul>
<ul> <li>41. How many staff perpetrators were involved in the incident? Number of staff 1 → If more than two staff were involved, please complete this form online.</li> </ul>	<ul> <li>O7 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support</li> <li>O8 ☐ Other program staff</li> <li>O9 ☐ Volunteers or interns</li> <li>O ☐ Other staff – Specify </li> </ul>
<ul> <li>42. Staff #1: What was the staff perpetrator's gender?  o1 ☐ Male  o2 ☐ Female</li> <li>43. Staff #1: What was the staff perpetrator's age at the time of the incident?</li> </ul>	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  01 Less than 6 months 02 6 months to 1 year 03 1 to 5 years 04 6 to 10 years
years  44. Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White 10 Black or African American 103 Hispanic or Latino	48. Staff #1: After the incident, was the staff perpetrator − (Mark all that apply.)  10 Sent to training or counseling  10 Reprimanded or disciplined  10 Transferred to another facility or unit  10 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave

04 American Indian or Alaska Native

06 Native Hawaiian or Pacific Islander

08 Other racial category in your information system -

07 Middle Eastern or North African

05 Asian

Specify Z

05 Discharged, terminated, or contract not renewed

06 Staff resigned (prior to completion of investigation)

o7 Staff resigned (after investigation was completed)

08 Arrested or referred to law enforcement agency

10 Convicted, plead guilty, sentenced, or fined

09 Referred for prosecution or indicted

11 Awaiting a legal outcome 12 ☐ Other – Specify ~

13 No action taken FORM SSV-IA (07-09-2024) Page 6

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

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# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

			Incident Number out of
1.	Did the incident include repeated behaviors?  o1 ☐ Yes o2 ☐ No  If "Yes" → a. On approximately, how many different dates did it occur?	4.	Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01  Yes  03  Unknown 02  No
	→ b. On what date did the most recent incident occur?  Month Day Year		What time did the incident occur?  (Mark all that apply.)  01 ☐ Morning (6 a.m. to 11:59 a.m.)  02 ☐ Afternoon (noon to 5:59 p.m.)  03 ☐ Evening (6 p.m. to 11:59 p.m.)  04 ☐ Overnight (midnight to 5:59 a.m.)  05 ☑ Time unknown  Who reported the incident?  (Mark all that apply.)  01 ☐ Victim
	Name Kershaw  City State Kershaw  Where did the incident occur? (Mark all that apply.)  1 In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  1 In the perpetrator's cell or room  1 In a dormitory or other multiple housing unit  1 In a common area (e.g., shower, dayroom, bathroom)  1 In a temporary holding cell or intake area within the facility  1 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		o2 ☐ Another inmate (nonvictim) o3 ☐ Victim's family, friend, or legal guardian o4 ☑ Correctional officer or front-line staff o5 ☑ Administrative staff o6 ☐ Medical, healthcare, or mental health staff o7 ☐ Instructor, teacher, or counselor o8 ☐ Other staff (e.g., kitchen worker, maintenance staff) o9 ☐ Chaplain or other religion official 10 ☐ Perpetrator 11 ☐ Perpetrator's family, friend, or legal guardian 12 ☐ Grievance coordinator, grievance process, or ombuds 13 ☐ Attorney (victim's or perpetrator's) 14 ☐ Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail) 15 ☐ Other – Specify ⋉
	o7 ✓ In an instructional area (e.g., classroom, school, library, conference room)  o8 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)  o9 ☐ In a medical area (e.g., infirmary, health clinic)  10 ☐ In a staff area (e.g., office, break room, counselor's office)  11 ☐ Off-site or while in transit  12 ☐ Other – Specify ✓  13 ☐ Location unknown  14 ✓ Location not applicable (e.g., involved written, phone, or virtual communication)	7.	What was the type of sexual victimization incident? (See definitions on page 9.)  1 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  12 □ Inmate-on-inmate sexual harassment  → Complete sections A and B  13 ■ Staff-on-inmate sexual abuse  → Complete sections A and C  14 □ Staff-on-inmate sexual harassment  → Complete sections A and C

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  101 Male		<ul> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at		disability, etc.)  o3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
o1 ■ Male o2 □ Female o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.) o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.) o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.) o6 □ Other – Specify o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  45  years	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical treatment for these injuries?  01  Yes  02  No  03  Unknown
01 ■ A U.S. citizen 02 □ Not a U.S. citizen	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 □ Provided with counseling or mental health treatment  02 □ Given a medical examination  03 □ Administered a sexual assault kit  04 □ Given post-exposure prophylaxis (PEP) and/or emergency contraception  05 □ Given a pregnancy test  06 □ Tested for HIV/AIDS  07 □ Tested for other sexually transmitted infections  08 ☑ Offered but declined testing or treatment and/or counseling  09 □ Already released/discharged  10 □ None of the above
	Number of victims 1  → If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  11 Male  12 Female  Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.  11 Male  12 Female  13 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)  14 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  15 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)  16 Other - Specify  17 Unknown  Victim #1: What was the victim's age at the time of the incident?  25 Plack or African American  26 Hispanic or Latino  27 Middle Eastern or North African  28 Native Hawaiian or Pacific Islander  29 American Indian or Alaska Native  20 Asian  20 Other racial category in your information system - Specify   20 Victim #1: What was the victim's citizenship?  20 A U.S. citizen	Number of victims 1  → If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  o1  Male  o2  Female  Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.  o1  Male  o2  Female  victim #1: What was the victim's gender identity is different from the person's sex assigned at birth.)  d1  Intersex (A person whose gender identity is different from the person's sex assigned at birth.)  d2  Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  o5  Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)  o6  Other – Specify  victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  o1  White  o2  Black or African American  o3  Hispanic or Latino  o4  American Indian or Alaska Native  o5  Asian  o6  Native Hawaiian or Pacific Islander  o7  Middle Eastern or North African  o8  Other racial category in your information system – Specify  victim #1: What was the victim's citizenship?  o1  A U.S. citizen  o2  Not a U.S. citizen

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Dlaced in camera room, under close surveillance, or increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	37	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	"	perpetrator's age at the time of the incident?
	05 Asian		
	06 Native Hawaiian or Pacific Islander 07 Middle Eastern or North African		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify Z	3.53	perpetrator's race or ethnicity?
			(Mark all that apply.)
			01 White
			02 U Black or African American
34.	Perpetrator #1: After the incident, was the		03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native
	inmate perpetrator – (Mark all that apply.)		05 ☐ Asian
	01 Confined to own cell or room		06 ☐ Native Hawaiian or Pacific Islander
	02 Placed in or returned to restrictive housing 03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		opeony g
	os Transferred to another facility		
	06 Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	08 Loss of "good/gain" time, increase in "bad" time or delayed release		01 Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		$_{04}$ $\square$ Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		06 Sent to counseling or mental health treatment
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other – Specify </li> </ul>		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 🗀 Other – Specify 7		08 Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
	Submit IVIIII		12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify ✓
	02 Female		

	Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
40	→ If the perpetrator was an inmate, go to Section B on pages 4-5. What was the nature of the incident?	01 ☐ Full- or part-time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern 04 ☐ Other – Specify ☑
70.	(Mark all that apply.)	one end epochy p
	on □ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature  one □ Wrote letters, showed pictures, talked on the phone, or through video conferencing  one □ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates  one □ Indecent exposure, invasion of privacy, or voyeurism  one □ Any attempt, threat, or request related to abusive sexual contact or sexual acts  one □ Any touching or kissing for sexual gratification  one □ Pressure or abuse of power resulting in a sexual act  one □ Other − Specify ▼  Sexual Relationship	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers, librarians, and education assistants  05 Member of the clergy or chaplaincy  06 Administrator, including wardens, superintendents, assistants and others in administrative positions
41.	How many staff perpetrators were involved in the incident?  Number of staff 1  → If more than two staff were involved, please complete this form online.	<ul> <li>07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support</li> <li>08 ☐ Other program staff</li> <li>09 ☐ Volunteers or interns</li> <li>10 ☐ Other staff – Specify </li> </ul>
42.	Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?
43.	01 ☐ Male 02 ■ Female  Staff #1: What was the staff perpetrator's age at the time of the incident?	o1 ☐ Less than 6 months  o2 ☐ 6 months to 1 year  o3 ■ 1 to 5 years  o4 ☐ 6 to 10 years  o5 ☐ 11 or more years
44.	23 years  Staff #1: What was the staff perpetrator's race	48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)  □ Sent to training or counseling
	or ethnicity? (Mark all that apply.)  01	02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave 05 ☑ Discharged, terminated, or contract not renewed 06 ☐ Staff resigned (prior to completion of investigation) 07 ☐ Staff resigned (after investigation was completed) 08 ☑ Arrested or referred to law enforcement agency 09 ☑ Referred for prosecution or indicted 10 ☐ Convicted, plead guilty, sentenced, or fined 11 ☐ Awaiting a legal outcome

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12 ☐ Other – Specify ✓

13 No action taken

/	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	<ul> <li>○1 ☐ Correctional officer, supervisory or security staff, or direct care staff</li> <li>○2 ☐ Maintenance and other facility support staff,</li> </ul>
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02  Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	01 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	01 ☐ Full- or part-time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	03 ☐ Volumeer of linerin	01 Sent to training or counseling
	or El Guior Spoony	02 Reprimanded or disciplined
		$_{03}$ $\square$ Transferred to another facility or unit $_{04}$ $\square$ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		05 Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		o7 Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 ☐ Awaiting a legal outcome
		12 ☐ Other – Specify <sub>▼</sub>
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

			Incident Number out of
01 ☐ Yes	incident include repeated behaviors?  i 02 ■ No  a. On approximately, how many different dates did it occur?		Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01 □ Yes 03 □ Unknown  02 □ No
	<ul> <li>b. On what date did the most recent incident occur?</li> <li>Month Day Year</li> <li>a. On what date did the incident occur?</li> <li>Month Day Year</li> <li>3 20 2023</li> </ul>		What time did the incident occur?  (Mark all that apply.)  01 ☐ Morning (6 a.m. to 11:59 a.m.)  02 ☐ Afternoon (noon to 5:59 p.m.)  03 ☐ Evening (6 p.m. to 11:59 p.m.)  04 ☐ Overnight (midnight to 5:59 a.m.)  05 ☑ Time unknown  Who reported the incident?  (Mark all that apply.)  01 ☑ Victim
Name Ridgelar City Ridgelar  3. Where of the share of the	State SC  did the incident occur? (Mark all that apply.) the victim's cell or room (If the victim and perpetrator a cell or room, count as the victim's cell) the perpetrator's cell or room a dormitory or other multiple housing unit a common area (e.g., shower, dayroom, bathroom) a temporary holding cell or intake area within the		O2 ☐ Another inmate (nonvictim) O3 ☐ Victim's family, friend, or legal guardian O4 ☐ Correctional officer or front-line staff O5 ☐ Administrative staff O6 ☐ Medical, healthcare, or mental health staff O7 ☐ Instructor, teacher, or counselor O8 ☐ Other staff (e.g., kitchen worker, maintenance staff) O9 ☐ Chaplain or other religion official O ☐ Perpetrator O ☐ Perpetrator's family, friend, or legal guardian O ☐ Grievance coordinator, grievance process, or ombuds O ☐ Attorney (victim's or perpetrator's) O ☐ Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail) O ☐ Other — Specify ✓
08 ☐ In a 09 ☐ In a 10 ☐ In a 11 ☐ Off 12 ☐ Oth  13 ☑ Loc 14 ☑ Loc	an instructional area (e.g., classroom, school, library, aference room)  a recreation area (e.g., yard, courtyard, gymnasium)  a medical area (e.g., infirmary, health clinic)  a staff area (e.g., office, break room, counselor's office)  -site or while in transit  her – Specify  cation unknown  cation not applicable (e.g., involved written, phone, wirtual communication)	7.	What was the type of sexual victimization incident?  (See definitions on page 9.)  1  □ Inmate-on-inmate sexual abuse  → Complete sections A and B  12  □ Inmate-on-inmate sexual harassment  → Complete sections A and B  13  ■ Staff-on-inmate sexual abuse  → Complete sections A and C  14  □ Staff-on-inmate sexual harassment  → Complete sections A and C

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1   → If more than two victims were involved,	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)  Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life
9.	please complete this form online.  Victim #1: What was the victim's sex assigned at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  1 Female		<ul> <li>activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.</li> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.  1 Male 12 Female 13 Transgender (A person whose gender identity is	15.	<ul> <li>O3 ☐ A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)</li> <li>Victim #1: Did the victim sustain any physical injury during the incident?</li> <li>O1 ☐ Yes O2 ☐ NO O3 ☐ Unknown</li> </ul>
	different from the person's sex assigned at birth.)  o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  o5 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		If "Yes" → a. What injuries occurred?  (Mark all that apply.)  11 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts  12 □ Bites  13 □ Burns  14 □ Chipped or knocked out teeth  15 □ Anal or vaginal tearing  16 □ Knocked unconscious
11.	06 ☐ Other – Specify 07 ☐ Unknown  Victim #1: What was the victim's age at the time of the incident?		07 ☐ Broken bones 08 ☐ Knife or stab wounds 09 ☐ Internal injuries 10 ☐ Other – Specify
12.	52 years  Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  □ □ White		→ b. Did the victim receive medical treatment for these injuries?  01 □ Yes 02 □ No 03 □ Unknown
	02 ☑ Black or African American 03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native 05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system — Specify ☑	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  o1 ✓ Provided with counseling or mental health treatment  o2 ☐ Given a medical examination  o3 ☐ Administered a sexual assault kit  o4 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception  o5 ☐ Given a pregnancy test  o6 ☐ Tested for HIV/AIDS
13.	Victim #1: What was the victim's citizenship?  o1 A U.S. citizen  o2 Not a U.S. citizen  o3 Of unknown citizenship status		<ul> <li>Tested for other sexually transmitted infections</li> <li>Offered but declined testing or treatment and/or counseling</li> <li>Already released/discharged</li> <li>None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or announ onizonomp oracio
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🔲 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	o₁ ☐ Male	03 Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	os 🗆 Transgender	10   Other – Specify <sub> </sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	o1 Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 ☐ Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 Notic of the above

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Dlaced in camera room, under close surveillance, or increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

	Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
40.	→ If the perpetrator was an inmate, go to Section B on pages 4-5. What was the nature of the incident?	01 ■ Full- or part-time paid employee 02 □ Contract employee or vendor 03 □ Volunteer or intern 04 □ Other – Specify ☑
	<ul> <li>(Mark all that apply.)</li> <li>□ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature</li> <li>□ Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> <li>□ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates</li> <li>□ Indecent exposure, invasion of privacy, or voyeurism</li> <li>□ Any attempt, threat, or request related to abusive sexual contact or sexual acts</li> <li>□ Any touching or kissing for sexual gratification</li> <li>□ Pressure or abuse of power resulting in a sexual act</li> <li>□ Physical force resulting in a sexual act</li> <li>□ Other - Specify</li> <li>✓ Other - Specify</li> </ul>	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 ■ Correctional officer, supervisory or security staff, or direct care staff  02 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 □ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 □ Education staff, including instructors, teachers, librarians, and education assistants  05 □ Member of the clergy or chaplaincy
	Relationship  How many staff perpetrators were involved in the incident?  Number of staff 1	of ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  or ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  os ☐ Other program staff  op ☐ Volunteers or interns  other staff – Specify ✓
	Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?
43.	o1	01 ☐ Less than 6 months 02 ☐ 6 months to 1 year 03 ■ 1 to 5 years 04 ☐ 6 to 10 years 05 ☐ 11 or more years
44.	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White 10 Black or African American 10 Hispanic or Latino 10 American Indian or Alaska Native	48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)  o1 Sent to training or counseling  o2 Reprimanded or disciplined  o3 Transferred to another facility or unit  o4 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander	05 ☑ Discharged, terminated, or contract not renewed 06 ☐ Staff resigned (prior to completion of investigation) 07 ☐ Staff resigned (after investigation was completed)

07 Middle Eastern or North African

08 Other racial category in your information system –

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08 Arrested or referred to law enforcement agency

10 Convicted, plead guilty, sentenced, or fined

09 Referred for prosecution or indicted

11 Awaiting a legal outcome 12 ☐ Other – Specify ∠

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 4 02 V No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 2|0|2|3 1 8 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Wateree River 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Rembert o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ✓ Other – Specify ~ 03 Staff-on-inmate sexual abuse Dairy Farm → Complete sections A and C

## **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	Number of victims ¹ □  → If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth? <u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  on Male		o1 ☐ A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)  o2 ☐ A cognitive impairment or intellectual disability (e.g.,
40	02 Female		ADHĎ, autism spectrum disorder, dementia, á learning disability, etc.)
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		O3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	01 ■ Male 02 □ Female 03 □ Transgender (A person whose gender identity is	15.	Victim #1: Did the victim sustain any physical injury during the incident?  □ Yes □ □ No □ □ Unknown
	different from the person's sex assigned at birth.)  out Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 □ Bites
	os Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious
11.	06 ☐ Other – Specify 07 ☐ Unknown  Victim #1: What was the victim's age at the		07 ☐ Broken bones 08 ☐ Knife or stab wounds 09 ☐ Internal injuries 10 ☐ Other – Specify 戻
	time of the incident? 41 years		
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)		<ul> <li>→ b. Did the victim receive medical treatment for these injuries?</li> <li>01 ☐ Yes 02 ☐ No 03 ☐ Unknown</li> </ul>
	01 ✓ White 02 ☐ Black or African American 03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native 05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system — Specify ✓	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  o1 Provided with counseling or mental health treatment  o2 Given a medical examination  o3 Administered a sexual assault kit  o4 Given post-exposure prophylaxis (PEP) and/or emergency contraception  o5 Given a pregnancy test  o6 Tested for HIV/AIDS
13.	Victim #1: What was the victim's citizenship?		07 ☐ Tested for other sexually transmitted infections 08 ☑ Offered but declined testing or treatment and/or counseling
	o₁ ■ A U.S. citizen o₂ □ Not a U.S. citizen o₃ □ Of unknown citizenship status		09 ☐ Already released/discharged 10 ☐ None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

			the i	ncident -
	→ If the perpetrator was an inmate, go to Section B on pages 4-5.		02 🗌	Full- or part-time paid employee Contract employee or vendor Volunteer or intern Other − Specify   ✓
IU.	What was the nature of the incident? (Mark all that apply.)		04 🗀	Other – Specify 2
	on Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature  Wrote letters, showed pictures, talked on the phone, or	46.		#1: What was the primary position ription of the staff perpetrator involved in
	through video conferencing			ncident?
	os L Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates			Correctional officer, supervisory or security staff, or direct care staff  Maintenance and other facility support staff,
	<ul> <li>□ Indecent exposure, invasion of privacy, or voyeurism</li> <li>□ Any attempt, threat, or request related to abusive sexual contact or sexual acts</li> </ul>			including groundskeepers, janitors, cooks, and drivers
	of ✓ Any touching or kissing for sexual gratification  or ☐ Pressure or abuse of power resulting in a sexual act		03 🗀	Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
	08 Physical force resulting in a sexual act			Education staff, including instructors, teachers, librarians, and education assistants
	09 ☐ Other – Specify ⊋		05 🗌	Member of the clergy or chaplaincy
			06	Administrator, including wardens, superintendents, assistants and others in administrative positions
и.	How many staff perpetrators were involved in the incident?		_	Clerical staff including secretaries, clerks, receptionists, and other administrative support
	Number of staff 1			Other program staff Volunteers or interns
	Number of Staff			Other staff – Specify   ✓
	→ If more than two staff were involved, please complete this form online.			
<b>12.</b>	Staff #1: What was the staff perpetrator's gender?	47.		#1: At the time of the incident, how long the staff perpetrator worked at the ity?
	01 Male		01 🗌	Less than 6 months
	02 Female			6 months to 1 year
<b>I3.</b>	Staff #1: What was the staff perpetrator's age			1 to 5 years 6 to 10 years
	at the time of the incident?			11 or more years
	49 years	40	Stoff	#1: After the incident, was the staff
		70.		etrator – (Mark all that apply.)
14.	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)			Sent to training or counseling
	on White			Reprimanded or disciplined
	02 Black or African American			Transferred to another facility or unit
	03 Hispanic or Latino		04 🔲	Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave
	04 American Indian or Alaska Native		05 🔽	Discharged, terminated, or contract not renewed
	05 Asian		06 🗌	Staff resigned (prior to completion of investigation)
	06 Native Hawaiian or Pacific Islander			Staff resigned (after investigation was completed)
	07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system –			Arrested or referred to law enforcement agency
	Specify			Referred for prosecution or indicted
				Convicted, plead guilty, sentenced, or fined
				Awaiting a legal outcome  Other − Specify   ✓
			12 🗀	Outon Opening
			13	No action taken

45. Staff #1: Was the staff perpetrator involved in

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 V Yes 03 Unknown If "Yes" → a. On approximately, how many 02 No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 L Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Day Month Year 4 25 2|0|2|3 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Lieber 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Ridgeville o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

## **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  o3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
11.	o1 ■ Male  o2 □ Female  o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.)  o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)  o6 □ Other – Specify  o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  39	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  01 White		<ul> <li>→ b. Did the victim receive medical treatment for these injuries?</li> <li>01 ☐ Yes 02 ☐ No 03 ☐ Unknown</li> </ul>
	<ul> <li>O2 ✓ Black or African American</li> <li>O3 ☐ Hispanic or Latino</li> <li>O4 ☐ American Indian or Alaska Native</li> <li>O5 ☐ Asian</li> <li>O6 ☐ Native Hawaiian or Pacific Islander</li> <li>O7 ☐ Middle Eastern or North African</li> <li>O8 ☐ Other racial category in your information system – Specify ✓</li> </ul>	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  on Provided with counseling or mental health treatment or Given a medical examination  on Administered a sexual assault kit  on Given post-exposure prophylaxis (PEP) and/or emergency contraception  on Given a pregnancy test  on Tested for HIV/AIDS  on Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  1 ■ A U.S. citizen  1 □ Not a U.S. citizen  1 □ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>□ Already released/discharged</li> <li>□ None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident?  (Mark all that apply.)
	01 Separated from perpetrator		Derougaion or talked into account activity
	02 Confined to own cell or room		01 ✓ Persuasion or talked into sexual activity 02 ☐ Bribery or blackmail
	03 Placed in or returned to restrictive housing		02 ☐ Bribery or blackmail 03 ☐ Gave the victim drugs or alcohol
	04 Placed in a medical unit, ward, or hospital		os ☐ Gave the victim drugs of alcohol  os ☐ Offered protection from other inmates
	of Placed in camera room, under close surveillance, or increased supervision		of Threats made (e.g., threatened harm to victim or victim's family, threatened with a weapon)
	of Transferred to another housing unit or dorm, or given a single room or cell		of Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated
	or ☐ Given a higher custody level or different unit within the facility  os ☐ Transferred to another facility		or Physically held victim down or restrained in some way, including having other inmates restrain the victim
	09 ☐ Issued disciplinary report or loss of privileges		os ☐ Physical harm or injury
	10 ☐ Other – Specify ∠		09 ☐ Other – Specify ∠
			us — Oniei – opeany y
	11 ☐ None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators 1
	If the newschater was a staff member as to		→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
		30.	Perpetrator #1: What was the inmate perpetrator's sex assigned at birth?
27.	What was the nature of the incident?		Sex is a multidimensional construct based on a cluster of
	<ul><li>(Mark all that apply.)</li><li>01 ✓ Repeated verbal comments or gestures of a</li></ul>		anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	derogatory or offensive sexual nature  Repeated and unwelcome sexual advances or		on Male
	requests for sexual favors		02 Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	of the genitalia, anus, groin, breast, inner thigh, or the buttocks		gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at
	05 Nonconsensual sexual act that resulted from		birth.
	pressure or coercion (without force)  Nonconsensual sexual act that resulted from		01 ■ Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			O5 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other - Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	31 years		01 ☐ Male 05 ☐ Gender nonconforming
22	Downstrates #4. What was the immete		02 ☐ Female 06 ☐ Other - Specify   □
<b>33.</b>	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		03 ☐ Transgender
	(Mark all that apply.)		04 ☐ Intersex 07 ☐ Unknown
	01 White		07 Unknown
	02 Black or African American		
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native	37.	Perpetrator #2: What was the inmate
	04 ☐ American indian of Alaska Native		perpetrator's age at the time of the incident?
	06 ☐ Native Hawaiian or Pacific Islander		years
	07 ☐ Middle Eastern or North African		your
	08 ☐ Other racial category in your information system – Specify	38.	Perpetrator #2: What was the inmate perpetrator's race or ethnicity? (Mark all that apply.)
			o₁ ☐ White
			02 Black or African American
34	Perpetrator #1: After the incident, was the		03 Hispanic or Latino
<b>0</b> 4.	inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	01 Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06
	O3 Placed in higher custody level, restricted unit or program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	<ul><li>○6 ☐ Sent to counseling or mental health treatment</li><li>○7 ☐ Given medical treatment or testing</li></ul>		
	(e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	08 Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		os Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		of Sent to counseling or mental health treatment
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other - Specify </li> </ul>		or Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
			os  Loss of "good/gain" time, increase in "bad" time or delayed release  os  ☐ Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
	Submit form.		12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify ⊋
	02 🗌 Female		

Section C – STAFF PE SEXUAL VICTI	RPETRATORS OF MIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was Section B on pages 4-</li> <li>40. What was the nature of the section B on pages 4-</li> </ul>	5.	<ul> <li>01 ☐ Full- or part-time paid employee</li> <li>02 ☐ Contract employee or vendor</li> <li>03 ☐ Volunteer or intern</li> <li>04 ☐ Other – Specify </li> </ul>
<ul> <li>(Mark all that apply.)</li> <li>01 ☐ Repeated suggestive, of comments or gestures of comments or gestures of comments or gestures of comments or gestures of through video conferenciing video conferenciing Exhibited grooming or mas providing gifts, specifinmates</li> <li>04 ☐ Indecent exposure, invanished</li> <li>05 ☐ Any attempt, threat, or make sexual contact or sexual contact or sexual conferency of conferency or pressure or abuse of power physical force resulting</li> </ul>	of a sexual nature ctures, talked on the phone, or ng nanipulative behaviors such al privileges, or favors to asion of privacy, or voyeurism request related to abusive al acts for sexual gratification wer resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers,
Other – Specify   Other – Specify   A1. How many staff perpetrate the incident?  Number of staff   → If more than two staff complete this form on	ators were involved in were involved, please	librarians, and education assistants  05 ☐ Member of the clergy or chaplaincy  06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff  09 ☐ Volunteers or interns  10 ☐ Other staff – Specify   Other Staff — Specif
42. Staff #1: What was the segender?  o1  Male o2  Female  43. Staff #1: What was the segender.	taff perpetrator's	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  11 Less than 6 months 22 6 months to 1 year 3 1 to 5 years 4 6 to 10 years
at the time of the incide years		<ul> <li>05 ☐ 11 or more years</li> <li>48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)</li> </ul>
44. Staff #1: What was the sor ethnicity? (Mark all that)  01	apply.) an ska Native ific Islander	o1 ☐ Sent to training or counseling  o2 ☐ Reprimanded or disciplined  o3 ☐ Transferred to another facility or unit  o4 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  o5 ☐ Discharged, terminated, or contract not renewed  o6 ☐ Staff resigned (prior to completion of investigation)  o7 ☐ Staff resigned (after investigation was completed)  o8 ☐ Arrested or referred to law enforcement agency  o9 ☐ Referred for prosecution or indicted

10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome 12 ☐ Other – Specify 🙀

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	incident Number out of
1. Did the incident include repeated behaviors?  o1 ☐ Yes o2 ■ No  If "Yes" → a. On approximately, how many different dates did it occur?	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01  Yes
⇒ b. On what date did the most recent incident occur?      Month Day Year	<ul> <li>5. What time did the incident occur? (Mark all that apply.)</li> <li>○1 ✓ Morning (6 a.m. to 11:59 a.m.)</li> <li>○2 ✓ Afternoon (noon to 5:59 p.m.)</li> <li>○3 ✓ Evening (6 p.m. to 11:59 p.m.)</li> <li>○4 ✓ Overnight (midnight to 5:59 a.m.)</li> <li>○5 ✓ Time unknown</li> <li>6. Who reported the incident? (Mark all that apply.)</li> <li>○1 ✓ Victim</li> </ul>
Name Allendale  City  Fairfax  State  Fairfax  State  State  State  State  State  State  Fairfax  State  State  Fairfax  State  State  Fairfax  State  Fairfax  State  Fairfax  In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  Deal In the perpetrator's cell or room  In a dormitory or other multiple housing unit  All In a common area (e.g., shower, dayroom, bathroom)  In a temporary holding cell or intake area within the facility  In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)	Another inmate (nonvictim)  O2
storage, laundry, careteria, workshop, hallway)  17 In an instructional area (e.g., classroom, school, library, conference room)  18 In a recreation area (e.g., yard, courtyard, gymnasium)  19 In a medical area (e.g., infirmary, health clinic)  10 In a staff area (e.g., office, break room, counselor's office)  11 Off-site or while in transit  12 Other – Specify   13 Location unknown  14 Location not applicable (e.g., involved written, phone, or virtual communication)	7. What was the type of sexual victimization incident? (See definitions on page 9.)  o1 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  o2 □ Inmate-on-inmate sexual harassment  → Complete sections A and B  o3 □ Staff-on-inmate sexual abuse  → Complete sections A and C  o4 □ Staff-on-inmate sexual harassment  → Complete sections A and C

## **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	→ If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 ■ Male  12 Female		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male o2 □ Female o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.) o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.) o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.) o6 □ Other – Specify o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  41 years	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical treatment for these injuries?
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  □ White □ Black or African American □ Hispanic or Latino □ American Indian or Alaska Native □ Asian □ Native Hawaiian or Pacific Islander □ Middle Eastern or North African □ Other racial category in your information system – Specify  □ Specify	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment 02 ☐ Given a medical examination 03 ☐ Administered a sexual assault kit 04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception 05 ☐ Given a pregnancy test 06 ☐ Tested for HIV/AIDS 07 ☐ Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  101 ■ A U.S. citizen 102 □ Not a U.S. citizen 103 □ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>□ Already released/discharged</li> <li>None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident?  (Mark all that apply.)
	01 Separated from perpetrator		Derougaion or talked into account activity
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 ☑ Bribery or blackmail 03 ☐ Gave the victim drugs or alcohol
	04 Placed in a medical unit, ward, or hospital		
	<ul> <li>Placed in camera room, under close surveillance, or increased supervision</li> </ul>		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  of Unwanted touching, grabbing, or groping of the victim by
	or Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated or Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ⊋		09 ☐ Other – Specify ∠
	None of the above		10 None of the above
			10 🗀 Notie of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators <u>1</u>
			→ If more than two inmate perpetrators were
	-> If the negret value a staff member see to		involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
		30.	Perpetrator #1: What was the inmate perpetrator's sex assigned at birth?
27.	What was the nature of the incident?		Sex is a multidimensional construct based on a cluster of
	(Mark all that apply.)  □ Pepeated verbal comments or gestures of a		anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads,
	derogatory or offensive sexual nature		chromosomes, and hormones.
	02 Repeated and unwelcome sexual advances or requests for sexual favors		01 ■ Male 02 □ Female
	Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	of the genitalia, anus, groin, breast, inner thigh,		<b>gender identity?</b> Gender identity is a person's core internal understanding
	or the buttocks  os   Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)		o1 ■ Male
	Nonconsensual sexual act that resulted from physical force (or the threat of force)		02 Female
	or ☐ Other – Specify		os Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			04 Intersex (A person whose sexual or reproductive
			anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			OS Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other - Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	47 years		01 ☐ Male 05 ☐ Gender nonconforming
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	o1 ☐ White		04 Intersex 07 Unknown
	02 ☑ Black or African American		
	03 Hispanic or Latino		
	04 American Indian or Alaska Native	37.	Perpetrator #2: What was the inmate perpetrator's age at the time of the incident?
	05 Asian		perpetrator o ago at the time of the morachit
	06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African		years
	08 ☐ Other racial category in your information system – Specify	38.	Perpetrator #2: What was the inmate perpetrator's race or ethnicity? (Mark all that apply.)
			o₁ ☐ White
			01 ☐ Writte 02 ☐ Black or African American
			03 Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	of Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	<sub>03</sub> Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility  o4 Transferred to other unit/cell or separated from victim		08 ☐ Other racial category in your information system – Specify   ✓
	05 ☐ Transferred to another facility		
	06 ☐ Sent to counseling or mental health treatment		
	or Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate
	08 Loss of "good/gain" time, increase in "bad" time or		perpetrator - (Mark all that apply.)
	delayed release		01 Confined to own cell or room
	09 ☐ Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		06 Sent to counseling or mental health treatment
	14 ☐ Awaiting a legal outcome 15 ☐ Other – Specify   ✓		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	To El Guilei Opecary y		08 Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
	Submit IUIII.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	o1 ☐ Male		15 ☐ Other – Specify ⊋
	02 Female		
	OZ L. I GITIQUE		

Section C – STAFF PER SEXUAL VICTIM	PETRATORS OF IZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was a Section B on pages 4-5.</li> <li>40. What was the nature of the charte of the control of</li></ul>		<ul> <li>01 ☐ Full- or part-time paid employee</li> <li>02 ☐ Contract employee or vendor</li> <li>03 ☐ Volunteer or intern</li> <li>04 ☐ Other – Specify </li> </ul>
<ul> <li>(Mark all that apply.)</li> <li>01 ☐ Repeated suggestive, der comments or gestures of</li> <li>02 ☐ Wrote letters, showed picture through video conferencing</li> <li>03 ☐ Exhibited grooming or mates as providing gifts, special inmates</li> <li>04 ☐ Indecent exposure, invasion ☐ Any attempt, threat, or recessual contact or sexual and for ☐ Pressure or abuse of powers</li> <li>08 ☐ Any touching or kissing for ☐ Pressure or abuse of powers</li> <li>08 ☐ Physical force resulting in</li> </ul>	a sexual nature res, talked on the phone, or nipulative behaviors such privileges, or favors to on of privacy, or voyeurism quest related to abusive acts r sexual gratification r resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers,
Other – Specify  Other – Specify   41. How many staff perpetrate the incident?  Number of staff	ors were involved in ere involved, please	librarians, and education assistants  05 ☐ Member of the clergy or chaplaincy  06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff  09 ☐ Volunteers or interns  10 ☐ Other staff — Specify   Other Staff — Specif
42. Staff #1: What was the stagender?  01  Male 02  Female  43. Staff #1: What was the sta	iff perpetrator's	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  o1 Less than 6 months o2 6 months to 1 year o3 1 to 5 years o4 6 to 10 years
at the time of the incident		os 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)
44. Staff #1: What was the state or ethnicity? (Mark all that all of the property of the property) and the property of the pr	a Native c Islander frican	O1 Sent to training or counseling O2 Reprimanded or disciplined O3 Transferred to another facility or unit O4 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave O5 Discharged, terminated, or contract not renewed O6 Staff resigned (prior to completion of investigation) O7 Staff resigned (after investigation was completed) O8 Arrested or referred to law enforcement agency O9 Referred for prosecution or indicted

10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome 12 ☐ Other – Specify 🙀

13 No action taken

/	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	<ul> <li>○1 ☐ Correctional officer, supervisory or security staff, or direct care staff</li> <li>○2 ☐ Maintenance and other facility support staff,</li> </ul>
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 🗌 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	01 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	01 ☐ Full- or part-time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	03 ☐ Volumeer of linerin	01 Sent to training or counseling
	or El Guior Spoony	02 Reprimanded or disciplined
		$_{03}$ $\square$ Transferred to another facility or unit $_{04}$ $\square$ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		05 Discharged, terminated, or contract not renewed
		Of Staff resigned (prior to completion of investigation)
		o7 Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 ☐ Awaiting a legal outcome
		12 ☐ Other – Specify <sub>▼</sub>
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





14 Location not applicable (e.g., involved written, phone,

or virtual communication)

## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

			Incident Number out of
1.	Did the incident include repeated behaviors?  o1 ☐ Yes o2 ☐ No  If "Yes" → a. On approximately, how many different dates did it occur?		Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01  Yes
	→ b. On what date did the most recent incident occur?      Month Day Year		What time did the incident occur?  (Mark all that apply.)  01 ☐ Morning (6 a.m. to 11:59 a.m.)  02 ☑ Afternoon (noon to 5:59 p.m.)  03 ☐ Evening (6 p.m. to 11:59 p.m.)  04 ☐ Overnight (midnight to 5:59 a.m.)  05 ☐ Time unknown  Who reported the incident?  (Mark all that apply.)  01 ☑ Victim
2.	In what facility did the incident occur?  Name Lieber		02 ☐ Another inmate (nonvictim) 03 ☐ Victim's family, friend, or legal guardian 04 ☐ Correctional officer or front-line staff
3.	City State SC  Ridgeville SC  Where did the incident occur? (Mark all that apply.)		os ☐ Administrative staff os ☐ Medical, healthcare, or mental health staff or ☐ Instructor, teacher, or counselor os ☐ Other staff (e.g., kitchen worker, maintenance staff)
	o1 ☑ In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  o2 ☐ In the perpetrator's cell or room  o3 ☐ In a dormitory or other multiple housing unit		09 ☐ Chaplain or other religion official 10 ☐ Perpetrator 11 ☐ Perpetrator's family, friend, or legal guardian 12 ☐ Grievance coordinator, grievance process, or ombuds
	04 ☐ In a common area (e.g., shower, dayroom, bathroom) 05 ☐ In a temporary holding cell or intake area within the facility		<ul> <li>13</li></ul>
	<ul> <li>□ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)</li> <li>□ In an instructional area (e.g., classroom, school, library, conference room)</li> <li>□ In a recreation area (e.g., yard, courtyard, gymnasium)</li> </ul>	7.	What was the type of sexual victimization incident?
	os ☐ In a recleation area (e.g., yard, countyard, gymnasium)  os ☐ In a medical area (e.g., infirmary, health clinic)  10 ☐ In a staff area (e.g., office, break room, counselor's office)  11 ☐ Off-site or while in transit		(See definitions on page 9.)  101 ☐ Inmate-on-inmate sexual abuse  → Complete sections A and B  102 ☐ Inmate-on-inmate sexual harassment
	12 ☐ Other – Specify		→ Complete sections A and B  o3 □ Staff-on-inmate sexual abuse  → Complete sections A and C  o4 □ Staff-on-inmate sexual harassment
	13 Location unknown		→ Complete sections A and C

## **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
	Number of victims 1		Disability is defined as (a) A physical or mental impairment
•	If more than two victims were involved, please complete this form online.  Victims #4: What was the victims are seeined.		that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an
9.	Victim #1: What was the victim's sex assigned at birth?		impairment.
	<u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.		01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)
	01 ■ Male 02 □ Female		<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	02 Female		01 ☐ Yes 02 ■ No 03 ☐ Unknown
	O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)		If "Yes" → a. What injuries occurred?
	Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		(Mark all that apply.)  01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 ☐ Bites
	O5 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious
	06 Other - Specify		07 Eroken bones
	07 Unknown		08 ☐ Knife or stab wounds 09 ☐ Internal injuries
11.	Victim #1: What was the victim's age at the time of the incident?		10 ☐ Other – Specify <sub>▼</sub>
	29 years		
			→ b. Did the victim receive medical treatment for these injuries?
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)		01 Yes 02 No 03 Unknown
	01 ☐ White 02 ☑ Black or African American	16.	Victim #1: After the incident was reported,
	03 Hispanic or Latino		was the victim – (Mark all that apply.)
	04 American Indian or Alaska Native		01 Provided with counseling or mental health treatment
	05		02 ☐ Given a medical examination 03 ☐ Administered a sexual assault kit
	07 ☐ Middle Eastern or North African		03 ☐ Administered a sexual assault kit 04 ☐ Given post-exposure prophylaxis (PEP)
	08 Other racial category in your information system –		and/or emergency contraception
	Specify 📈		05 Given a pregnancy test
			06 Tested for HIV/AIDS
13.	Victim #1: What was the victim's citizenship?		07 ☐ Tested for other sexually transmitted infections 08 ☐ Offered but declined testing or treatment and/or counseling
	01 ■ A U.S. citizen		og ☐ Already released/discharged
	02 ☐ Not a U.S. citizen 03 ☐ Of unknown citizenship status		10 ☐ None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	OF Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	of ransferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	O8 Transferred to another facility O9 Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred?  (Mark all that apply.)
10	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
10.	at birth?	scratches, swelling, welts
	🗆 Mala	02   Bites 03   Burns
	01  Male	03   Burns 04   Chipped or knocked out teeth
	02 Female	05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender	06 Knocked unconscious
	identity?	07 Broken bones
	01 Male 05 Gender nonconforming	08 ∟ Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 🗌 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 ☐ Intersex 07 ☐ Unknown	
	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or ethnicity? (Mark all that apply.)	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
		01 Provided with counseling or mental health treatment
	01 White 02 Black or African American	02 Given a medical examination
	02 Black or African American 03 Hispanic or Latino	03 Administered a sexual assault kit
	04 American Indian or Alaska Native	04 Given post-exposure prophylaxis (PEP)
	05 Asian	and/or emergency contraception  os Given a pregnancy test
	06 Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 ☐ Tested for other sexually transmitted infections
	08 Other racial category in your information system –	08 _ Offered but declined testing or treatment and/or
	Specify Z	counseling
		09 Already released/discharged
		None of the above

28. What types of pressure, coercion, or force occurred as part of the incident?  (Mark all that apply.)
(Mark all that apply.)  01  Persuasion or talked into sexual activity  02  Bribery or blackmail  03  Gave the victim drugs or alcohol  04  Offered protection from other inmates  05  Threats made (e.g., threatened harm to victim or victim's family, threatened with a weapon)  06  Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated  07  Physically held victim down or restrained in some way, including having other inmates restrain the victim  08  Physical harm or injury
09 ☐ Other – Specify   10 ☐ None of the above  29. How many inmate perpetrators were involved
in the incident?  Number of inmate perpetrators 1
o to  30. Perpetrator #1: What was the inmate perpetrator's sex assigned at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  o1 ■ Male  o2 □ Female  31. Perpetrator #1: What was the inmate perpetrator's gender identity?  Gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.  o1 ■ Male  o2 □ Female  o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.)  o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
06 ☐ Other – Specify 07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	29 years		01 ☐ Male 05 ☐ Gender nonconforming
			02 ☐ Female 06 ☐ Other – Specify   Other → Spec
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		_
	(Mark all that apply.)		03 ☐ Transgender 04 ☐ Intersex 07 ☐ Unknown
	01 White		04 ☐ Intersex 07 ☐ Unknown
	02 🗹 Black or African American		
	03 Hispanic or Latino	37.	Perpetrator #2: What was the inmate
	04 ☐ American Indian or Alaska Native 05 ☐ Asian		perpetrator's age at the time of the incident?
	06 ☐ Native Hawaiian or Pacific Islander		years
	07 ☐ Middle Eastern or North African		years
	08 ☐ Other racial category in your information system – Specify <i>y</i>	38.	Perpetrator #2: What was the inmate perpetrator's race or ethnicity? (Mark all that apply.)
			o₁  White
			02 Black or African American
24	Perpetrator #1: After the incident, was the		03 Hispanic or Latino
34.	inmate perpetrator - (Mark all that apply.)		04 American Indian or Alaska Native
	01 Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06  Native Hawaiian or Pacific Islander 07  Middle Eastern or North African
	O3 Placed in higher custody level, restricted unit or program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		Chocking (
	05 Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	08 Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		OF Sent to counseling or mental health treatment
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other - Specify ∠</li> </ul>		o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
			os Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
	Submit form.		12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	o1 ☐ Male		15 ☐ Other – Specify ☑
	02 🗌 Female		

Section C – STAFF PE SEXUAL VICTI	RPETRATORS OF MIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was Section B on pages 4-</li> <li>40. What was the nature of the section B on pages 4-</li> </ul>	5.	<ul> <li>01 ☐ Full- or part-time paid employee</li> <li>02 ☐ Contract employee or vendor</li> <li>03 ☐ Volunteer or intern</li> <li>04 ☐ Other – Specify </li> </ul>
<ul> <li>(Mark all that apply.)</li> <li>01 ☐ Repeated suggestive, of comments or gestures of comments or gestures of comments or gestures of comments or gestures of through video conferenciing video conferenciing Exhibited grooming or mas providing gifts, specifinmates</li> <li>04 ☐ Indecent exposure, invanished</li> <li>05 ☐ Any attempt, threat, or make sexual contact or sexual contact or sexual conferency of conferency or conferency or conferency or conferency or conferency or conferency or comments.</li> <li>06 ☐ Any touching or kissing or ☐ Pressure or abuse of powers</li> <li>08 ☐ Physical force resulting</li> </ul>	of a sexual nature ctures, talked on the phone, or ng nanipulative behaviors such al privileges, or favors to asion of privacy, or voyeurism request related to abusive al acts for sexual gratification wer resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers,
Other – Specify   Other – Specify   A1. How many staff perpetrate the incident?  Number of staff   → If more than two staff complete this form on	ators were involved in were involved, please	librarians, and education assistants  05 ☐ Member of the clergy or chaplaincy  06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff  09 ☐ Volunteers or interns  10 ☐ Other staff – Specify   Other Staff — Specif
42. Staff #1: What was the segender?  o1  Male o2  Female  43. Staff #1: What was the segender.	taff perpetrator's	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  11 Less than 6 months 22 6 months to 1 year 3 1 to 5 years 4 6 to 10 years
at the time of the incide years		<ul> <li>05 ☐ 11 or more years</li> <li>48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)</li> </ul>
44. Staff #1: What was the sor ethnicity? (Mark all that)  01	apply.) an ska Native ific Islander	o1 ☐ Sent to training or counseling  o2 ☐ Reprimanded or disciplined  o3 ☐ Transferred to another facility or unit  o4 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  o5 ☐ Discharged, terminated, or contract not renewed  o6 ☐ Staff resigned (prior to completion of investigation)  o7 ☐ Staff resigned (after investigation was completed)  o8 ☐ Arrested or referred to law enforcement agency  o9 ☐ Referred for prosecution or indicted

10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome 12 ☐ Other – Specify 🙀

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	□ Correctional officer, supervisory or security staff, or direct care staff     □ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

# Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 02 V No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 7 31 2|0|2|3 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Kirkland 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Columbia o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

# **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
	Number of victims		• • • •
	→ If more than two victims were involved, please complete this form online.		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an
9.	Victim #1: What was the victim's sex assigned at birth?		impairment.
	<u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.		o1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)
	01 ■ Male 02 □ Female		<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	01 Male	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	02 Female		01 ☐ Yes 02 ■ No 03 ☐ Unknown
	Transgender (A person whose gender identity is different from the person's sex assigned at birth.)		If "Yes" → a. What injuries occurred?
	Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		(Mark all that apply.)  01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 ☐ Bites
	O5 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious
	06 Other – Specify		07 ☐ Broken bones
	07 Unknown		08 Knife or stab wounds
			09 🗌 Internal injuries
11.	Victim #1: What was the victim's age at the time of the incident?		10 ☐ Other – Specify <sub>▼</sub>
	41 years		h Did the vietim vective medical
40	Victim #1: What was the victim's race or		→ b. Did the victim receive medical treatment for these injuries?
12.	ethnicity? (Mark all that apply.)		01 Yes 02 No 03 Unknown
	01 ☐ White 02 ☑ Black or African American 03 ☐ Hispanic or Latino	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)
	04 American Indian or Alaska Native		01 Provided with counseling or mental health treatment
	05 Asian		o₂ ☐ Given a medical examination
	06 ☐ Native Hawaiian or Pacific Islander		03 Administered a sexual assault kit
	07 Middle Eastern or North African		04 Given post-exposure prophylaxis (PEP)
	08 Other racial category in your information system –		and/or emergency contraception
	Specify 🗸		05 Given a pregnancy test
			06 Tested for HIV/AIDS
13.	Victim #1: What was the victim's citizenship?		or ☐ Tested for other sexually transmitted infections  os ☐ Offered but declined testing or treatment and/or counseling
	01 ■ A U.S. citizen		og ☐ Already released/discharged
	02 ☐ Not a U.S. citizen		10 ☑ None of the above
	03 Of unknown citizenship status		

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 ✓ Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	02 Confined to own cell or room	02 ☐ Not a 0.5. chizen 03 ☐ Of unknown citizenship status
	03 Placed in or returned to restrictive housing	00 - Of different onlessing states
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	os Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	07 Given a higher custody level or different unit within the facility 08 Transferred to another facility	etc.)  O2 A cognitive impairment or intellectual disability (e.g.,
	09 Issued disciplinary report or loss of privileges	ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
	10 Other – Specify 7	os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	24. Victim #2: Did the victim sustain any physical
	→ If there were no other victims, please skip	injury during the incident?
	to Section B on pages 4-5 if the incident	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
	involved an inmate perpetrator or Section C on pages 6-7 if the incident involved a staff	If "Yes" → a. What injuries occurred?
	perpetrator.	(Mark all that apply.)
12	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
	02   Female	05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender	06 Knocked unconscious
	identity?	07 Broken bones
	01 Male 05 Gender nonconforming	08 L Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 ☐ Transgender	10  Other − Specify 🙀
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
		25. Victim #2: After the incident was reported,
21.	Victim #2: What was the victim's race or ethnicity? (Mark all that apply.)	was the victim - (Mark all that apply.)
	oı _ White	01 Provided with counseling or mental health treatment
		02 Given a medical examination
	02   Black or African American 03   Hispanic or Latino	03 Administered a sexual assault kit
	04 American Indian or Alaska Native	o4 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception
	05 Asian	os Given a pregnancy test
	06 Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 ☐ Tested for other sexually transmitted infections
	08 Other racial category in your information system –	08 — Offered but declined testing or treatment and/or
	Specify д	counseling
		09 Already released/discharged
		None of the above

26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident?  (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		on Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02  Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		o3 ☐ Gave the victim drugs or alcohol o4 ☐ Offered protection from other inmates
	of Dlaced in camera room, under close surveillance, or increased supervision		05 Threats made (e.g., threatened harm to victim or
	Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  106 Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated
	o7 Given a higher custody level or different unit within the facility		07 Physically held victim down or restrained in some way,
	08 Transferred to another facility		including having other inmates restrain the victim
	09 Issued disciplinary report or loss of privileges		08 Physical harm or injury
	10 ☐ Other – Specify ⊋		09 ☐ Other – Specify <sub>▼</sub>
	None of the chave		
	11 None of the above		10 ☐ None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators 1
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		•
	Jection o on pages 0-71	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)  on Properties of a section of the section		<u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads,
	derogatory or offensive sexual nature		chromosomes, and hormones.
	02 Repeated and unwelcome sexual advances or requests for sexual favors		o1 Male
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature		02 L Female
	other actions of a derogatory sexual nature  of Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh,	31.	Perpetrator #1: What was the inmate perpetrator's gender identity?  Gender identity is a person's core internal understanding
	or the buttocks  os  Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  Nonconsensual sexual act that resulted from		o1 ■ Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ⊭		03 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			04 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	46 years		01 ☐ Male 05 ☐ Gender nonconforming
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify
	(Mark all that apply.)		03 Transgender
	o1 ☐ White		04 Intersex 07 Unknown
	02 ☑ Black or African American		
	03 Hispanic or Latino		
	04 American Indian or Alaska Native	37.	Perpetrator #2: What was the inmate perpetrator's age at the time of the incident?
	05 Asian		perpetrator 3 age at the time of the mordent.
	06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African		years
	08 ☐ Other racial category in your information system – Specify ✓	38.	Perpetrator #2: What was the inmate perpetrator's race or ethnicity? (Mark all that apply.)
			_
			01 White
			02 Black or African American
34.	Perpetrator #1: After the incident, was the		03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native
	inmate perpetrator – (Mark all that apply.)		05 ☐ Asian
	01 Confined to own cell or room		06 ☐ Native Hawaiian or Pacific Islander
	02 Placed in or returned to restrictive housing		07 Middle Eastern or North African
	O3 Placed in higher custody level, restricted unit or program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		
	05 ☐ Transferred to another facility		
	06 Sent to counseling or mental health treatment		
	o7 ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	08 Loss of "good/gain" time, increase in "bad" time or		on Confined to own cell or room
	delayed release		02 ☐ Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct		<sub>03</sub> Placed in higher custody level, restricted unit or
	violation, or other reprimand		program, within the same facility  1 Transferred to other unit/cell or separated from victim
	11 Arrested or referred to law enforcement agency		os ☐ Transferred to another facility
	12 Referred for prosecution or indicted		06 ☐ Sent to counseling or mental health treatment
	13 Convicted, plead guilty, given new sentence, or fined		or ☐ Given medical treatment or testing
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other – Specify ∠</li> </ul>		(e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
			os Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
			12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 📈
	02 Female		

Section C – STAFF PE SEXUAL VICTI	RPETRATORS OF MIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was Section B on pages 4-</li> <li>40. What was the nature of the section B on pages 4-</li> </ul>	5.	<ul> <li>01 ☐ Full- or part-time paid employee</li> <li>02 ☐ Contract employee or vendor</li> <li>03 ☐ Volunteer or intern</li> <li>04 ☐ Other – Specify </li> </ul>
<ul> <li>(Mark all that apply.)</li> <li>01 ☐ Repeated suggestive, of comments or gestures of comments or gestures of comments or gestures of comments or gestures of through video conferenciing video conferenciing Exhibited grooming or mas providing gifts, specifinmates</li> <li>04 ☐ Indecent exposure, invanished</li> <li>05 ☐ Any attempt, threat, or make sexual contact or sexual contact or sexual conferency of conferency or conferency or conferency or conferency or conferency or conferency or comments.</li> <li>06 ☐ Any touching or kissing or ☐ Pressure or abuse of powers</li> <li>08 ☐ Physical force resulting</li> </ul>	of a sexual nature ctures, talked on the phone, or ng nanipulative behaviors such al privileges, or favors to asion of privacy, or voyeurism request related to abusive al acts for sexual gratification wer resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers,
Other – Specify   Other – Specify   A1. How many staff perpetrate the incident?  Number of staff   → If more than two staff complete this form on	ators were involved in were involved, please	librarians, and education assistants  05 ☐ Member of the clergy or chaplaincy  06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff  09 ☐ Volunteers or interns  10 ☐ Other staff – Specify   Other Staff — Specif
42. Staff #1: What was the segender?  o1  Male o2  Female  43. Staff #1: What was the segender.	taff perpetrator's	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  11 Less than 6 months 22 6 months to 1 year 3 1 to 5 years 4 6 to 10 years
at the time of the incide years		<ul> <li>05 ☐ 11 or more years</li> <li>48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)</li> </ul>
44. Staff #1: What was the sor ethnicity? (Mark all that)  01	apply.) an ska Native ific Islander	o1 ☐ Sent to training or counseling  o2 ☐ Reprimanded or disciplined  o3 ☐ Transferred to another facility or unit  o4 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  o5 ☐ Discharged, terminated, or contract not renewed  o6 ☐ Staff resigned (prior to completion of investigation)  o7 ☐ Staff resigned (after investigation was completed)  o8 ☐ Arrested or referred to law enforcement agency  o9 ☐ Referred for prosecution or indicted

10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome 12 ☐ Other – Specify 🙀

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	□ Correctional officer, supervisory or security staff, or direct care staff     □ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

# Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

_			Incident Number out of
1.	Did the incident include repeated behaviors?  01 ☐ Yes 02 ☐ No  If "Yes" → a. On approximately, how many different dates did it occur?	4.	Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  1  Yes 10  Unknown 10  No
	→ b. On what date did the most recent incident occur?      Month Day Year		What time did the incident occur? (Mark all that apply.)  1 □ Morning (6 a.m. to 11:59 a.m.)  2 □ Afternoon (noon to 5:59 p.m.)  3 □ Evening (6 p.m. to 11:59 p.m.)  4 □ Overnight (midnight to 5:59 a.m.)  5 □ Time unknown  Who reported the incident? (Mark all that apply.)  1 ☑ Victim
2.	In what facility did the incident occur?		02 Another inmate (nonvictim)
	Name Allendale		o3 ☐ Victim's family, friend, or legal guardian o4 ☐ Correctional officer or front-line staff
	City State		05 Administrative staff
	Fairfax SC		06 Medical, healthcare, or mental health staff
	- Taniax		07 Instructor, teacher, or counselor
3.	Where did the incident occur? (Mark all that apply.)		os Other staff (e.g., kitchen worker, maintenance staff) op Chaplain or other religion official
	01 🗹 In the victim's cell or room (If the victim and perpetrator		10 Perpetrator
	share a cell or room, count as the victim's cell)		11 Perpetrator's family, friend, or legal guardian
	02 In the perpetrator's cell or room		12 Grievance coordinator, grievance process, or ombuds
	103 In a dormitory or other multiple housing unit		13 Attorney (victim's or perpetrator's)
	<ul> <li>04 ☐ In a common area (e.g., shower, dayroom, bathroom)</li> <li>05 ☐ In a temporary holding cell or intake area within the</li> </ul>		14 Confidential informant, anonymous tip, hotline, or
	facility		through monitoring (e.g., camera, telephone, or mail)  15 ☐ Other – Specify   ✓
	of In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		15 🖂 Other – Specify 🧸
	or $\square$ In an instructional area (e.g., classroom, school, library, conference room)		
	08 In a recreation area (e.g., yard, courtyard, gymnasium)	7.	What was the type of sexual victimization incident? (See definitions on page 9.)
	09 In a medical area (e.g., infirmary, health clinic)		o1 ☐ Inmate-on-inmate sexual abuse
	10 In a staff area (e.g., office, break room, counselor's office)		→ Complete sections A and B
	11 Off-site or while in transit		02 Inmate-on-inmate sexual harassment
	12 ☐ Other – Specify ☑		$\_$ $\rightarrow$ Complete sections A and B
			03 Staff-on-inmate sexual abuse
			→ Complete sections A and C
	13 Location unknown		o4 ☐ Staff-on-inmate sexual harassment  → Complete sections A and C
	14 Location not applicable (e.g., involved written, phone, or virtual communication)		Complete sections A and C

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
	Number of vicums		Disability is defined as (a) A physical or mental impairment
	→ If more than two victims were involved, please complete this form online.		that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an
9.	Victim #1: What was the victim's sex assigned at birth?		impairment.
	<u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.		01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)
	01 ■ Male 02 □ Female		o2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	01 Male	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	02 Female		01 ☐ Yes 02 ■ No 03 ☐ Unknown
	os Transgender (A person whose gender identity is different from the person's sex assigned at birth.)		If "Yes" → a. What injuries occurred?
	o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		(Mark all that apply.)  01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 ☐ Bites
	05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious
	06 Other - Specify		07 Broken bones
	07 Unknown		08 ☐ Knife or stab wounds
			09 Internal injuries
11.	Victim #1: What was the victim's age at the time of the incident?		10 ☐ Other – Specify <sub>▼</sub>
	23 years		
40	Victim #1: What was the victim's race or		→ b. Did the victim receive medical treatment for these injuries?
12.	ethnicity? (Mark all that apply.)		01 ☐ Yes 02 ☐ No 03 ☐ Unknown
	o₁ ✓ White o₂ ☐ Black or African American	16.	Victim #1: After the incident was reported,
	03 Hispanic or Latino		was the victim – (Mark all that apply.)
	04 American Indian or Alaska Native		01 Provided with counseling or mental health treatment
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander		02 Given a medical examination
	or Middle Eastern or North African		03 Administered a sexual assault kit
	os ☐ Other racial category in your information system –		o4 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception
	Specify 📈		05 Given a pregnancy test
			06 Tested for HIV/AIDS
13.	Victim #1: What was the victim's citizenship?		07 ☐ Tested for other sexually transmitted infections 08 ☐ Offered but declined testing or treatment and/or
	01 ■ A U.S. citizen		counseling  op  Already released/discharged
	02 ☐ Not a U.S. citizen 03 ☐ Of unknown citizenship status		10 ☐ None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02  Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		<ul> <li>03 ☐ Gave the victim drugs or alcohol</li> <li>04 ☐ Offered protection from other inmates</li> </ul>
	of Dlaced in camera room, under close surveillance, or increased supervision		05 Threats made (e.g., threatened harm to victim or
	Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  of Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated
	o7 Given a higher custody level or different unit within the facility		07 Physically held victim down or restrained in some way,
	08 Transferred to another facility		including having other inmates restrain the victim
	09 Issued disciplinary report or loss of privileges		08 Physical harm or injury
	10 ☐ Other – Specify ⊋		09 ☐ Other – Specify ⊋
	None of the chave		
	11 None of the above		10 ☐ None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators 1
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to		mrorrou, proude complete tine form cinine
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	01 Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	oz ☑ Repeated and unwelcome sexual advances or		01 ■ Male
	requests for sexual favors		02 Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	of the genitalia, anus, groin, breast, inner thigh,		gender identity? Gender identity is a person's core internal understanding
	or the buttocks  os  Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo  Nonconsensual sexual act that resulted from		o1 Male
	physical force (or the threat of force)		02 ☐ Female 03 ☐ Transgender (A person whose gender identity is
	07 ☐ Other – Specify <sub>▼</sub>		different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			OS Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	34 years		01 ☐ Male 05 ☐ Gender nonconforming
			02 ☐ Female 06 ☐ Other – Specify ₹
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		_
	(Mark all that apply.)		03 ☐ Transgender 04 ☐ Intersex 07 ☐ Unknown
	01 White		04 ☐ Intersex 07 ☐ Unknown
	02 🗹 Black or African American		
	03 Hispanic or Latino	37.	Perpetrator #2: What was the inmate
	04 ☐ American Indian or Alaska Native 05 ☐ Asian		perpetrator's age at the time of the incident?
	06 ☐ Native Hawaiian or Pacific Islander		years
	07 ☐ Middle Eastern or North African		years
	08 ☐ Other racial category in your information system – Specify	38.	Perpetrator #2: What was the inmate perpetrator's race or ethnicity? (Mark all that apply.)
			o₁ ☐ White
			02 Black or African American
24	Perpetrator #1: After the incident, was the		03 Hispanic or Latino
J-T.	inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	01 Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African
	O3 Placed in higher custody level, restricted unit or program, within the same facility		08 ☐ Other racial category in your information system – Specify ✓
	04 Transferred to other unit/cell or separated from victim		cpoon, g
	os Transferred to another facility		
	06 Sent to counseling or mental health treatment		
	or Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	08 Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		os Sent to counseling or mental health treatment
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other - Specify ∠</li> </ul>		o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
			os Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
			12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	o1 Male		15 ☐ Other – Specify ⊋
	02 Female		

Section C – STAFF PE SEXUAL VICTI	RPETRATORS OF MIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was Section B on pages 4-</li> <li>40. What was the nature of the section B on pages 4-</li> </ul>	5.	<ul> <li>01 ☐ Full- or part-time paid employee</li> <li>02 ☐ Contract employee or vendor</li> <li>03 ☐ Volunteer or intern</li> <li>04 ☐ Other – Specify </li> </ul>
<ul> <li>(Mark all that apply.)</li> <li>01 ☐ Repeated suggestive, of comments or gestures of comments or gestures of comments or gestures of comments or gestures of through video conferenciing video conferenciing Exhibited grooming or mas providing gifts, specifinmates</li> <li>04 ☐ Indecent exposure, invanished</li> <li>05 ☐ Any attempt, threat, or make sexual contact or sexual contact or sexual conferency of conferency or conferency or conferency or conferency or conferency or conferency or comments.</li> <li>06 ☐ Any touching or kissing or ☐ Pressure or abuse of powers</li> <li>08 ☐ Physical force resulting</li> </ul>	of a sexual nature ctures, talked on the phone, or ng nanipulative behaviors such al privileges, or favors to asion of privacy, or voyeurism request related to abusive al acts for sexual gratification wer resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers,
Other – Specify   Other – Specify   A1. How many staff perpetrate the incident?  Number of staff   → If more than two staff complete this form on	ators were involved in were involved, please	librarians, and education assistants  05 ☐ Member of the clergy or chaplaincy  06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff  09 ☐ Volunteers or interns  10 ☐ Other staff – Specify   Other Staff — Specif
42. Staff #1: What was the segender?  o1  Male o2  Female  43. Staff #1: What was the segender.	taff perpetrator's	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  11 Less than 6 months 22 6 months to 1 year 3 1 to 5 years 4 6 to 10 years
at the time of the incide years		<ul> <li>05 ☐ 11 or more years</li> <li>48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)</li> </ul>
44. Staff #1: What was the sor ethnicity? (Mark all that)  01	apply.) an ska Native ific Islander	o1 ☐ Sent to training or counseling  o2 ☐ Reprimanded or disciplined  o3 ☐ Transferred to another facility or unit  o4 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  o5 ☐ Discharged, terminated, or contract not renewed  o6 ☐ Staff resigned (prior to completion of investigation)  o7 ☐ Staff resigned (after investigation was completed)  o8 ☐ Arrested or referred to law enforcement agency  o9 ☐ Referred for prosecution or indicted

10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome 12 ☐ Other – Specify 🙀

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	□ Correctional officer, supervisory or security staff, or direct care staff     □ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

# Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	incident Number out of
<ul> <li>Did the incident include repeated behaviors?</li> <li>01 ☐ Yes 02 ☐ No</li> <li>If "Yes" → a. On approximately, how many different dates did it occur?</li> </ul>	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01  Yes
→ b. On what date did the most recent incident occur?  Month Day Year	5. What time did the incident occur?  (Mark all that apply.)  01
conference room)  08  In a recreation area (e.g., yard, courtyard, gymnasium)  09  In a medical area (e.g., infirmary, health clinic)  10  In a staff area (e.g., office, break room, counselor's office)  11  Off-site or while in transit  12  Other – Specify   13  Location unknown  14  Location not applicable (e.g., involved written, phone, or virtual communication)	7. What was the type of sexual victimization incident? (See definitions on page 9.)  01 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  02 ■ Inmate-on-inmate sexual harassment  → Complete sections A and B  03 □ Staff-on-inmate sexual abuse  → Complete sections A and C  04 □ Staff-on-inmate sexual harassment  → Complete sections A and C

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	→ If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned at birth?		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  11 Male		<ul> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g.,</li> </ul>
	02 Female		ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		O3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	02 Female 03 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)		01 ☐ Yes 02 ■ No 03 ☐ Unknown  If "Yes" → a. What injuries occurred?
	o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		(Mark all that apply.)  01 Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 Bites
	05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious
	06 ☐ Other – Specify 07 ☐ Unknown		07 ☐ Broken bones 08 ☐ Knife or stab wounds 09 ☐ Internal injuries
11.	Victim #1: What was the victim's age at the time of the incident?		10 ☐ Other – Specify 🙀
	26 years		→ b. Did the victim receive medical
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)		treatment for these injuries?
	01 ✓ White 02 ☐ Black or African American 03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native 05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system – Specify ✓	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  10 Provided with counseling or mental health treatment 102 Given a medical examination 103 Administered a sexual assault kit 104 Given post-exposure prophylaxis (PEP) and/or emergency contraception 105 Given a pregnancy test 106 Tested for HIV/AIDS
12	Vising #4. What was the vistings sitings sitings in		07 ☐ Tested for other sexually transmitted infections 08 ☐ Offered but declined testing or treatment and/or
13.	Victim #1: What was the victim's citizenship?  1 ■ A U.S. citizen  1 ■ Not a U.S. citizen  1 ■ Of unknown citizenship status		counseling  op  Already released/discharged  None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident?  (Mark all that apply.)
	01 ☐ Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	05 Placed in camera room, under close surveillance, or increased supervision		04 ☐ Offered protection from other inmates 05 ☑ Threats made (e.g., threatened harm to victim or
	of Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  106 Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated
	o7 Given a higher custody level or different unit within the facility		07 Physically held victim down or restrained in some way,
	08 Transferred to another facility		including having other inmates restrain the victim
	09 Issued disciplinary report or loss of privileges		08 Physical harm or injury
	10 ☐ Other – Specify ⊋		09 ☐ Other – Specify <sub>▼</sub>
	None of the above		
	II I Notice of the above		10 ☐ None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators <u>1</u>
	→ If the perpetrator was a staff member, go to		→ If more than two inmate perpetrators were involved, please complete this form online.
	Section C on pages 6-7.	20	Perpetrator #1: What was the inmate perpetrator's
27	What was the nature of the incident?	30.	sex assigned at birth?
۷1.	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of
	01 ☐ Repeated verbal comments or gestures of a		anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	derogatory or offensive sexual nature  102 Repeated and unwelcome sexual advances or		on ■ Male
	requests for sexual favors		02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		gender identity?  Gender identity is a person's core internal understanding or sonse of who they are regardless of say assigned at
	of the battocks  Nonconsensual sexual act that resulted from pressure or coercion (without force)		or sense of who they are regardless of sex assigned at birth.
	06 Nonconsensual sexual act that resulted from		01 ■ Male 02 □ Female
	physical force (or the threat of force)		03 Transgender (A person whose gender identity is
	07 ☐ Other – Specify ⊭		different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	26 years		01 ☐ Male 05 ☐ Gender nonconforming
			02 ☐ Female 06 ☐ Other – Specify   Ozer Transcomposition of the specific of t
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		_
	(Mark all that apply.)		03 ☐ Transgender 04 ☐ Intersex 07 ☐ Unknown
	01 White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	37.	Perpetrator #2: What was the inmate
	04 ☐ American Indian or Alaska Native 05 ☐ Asian		perpetrator's age at the time of the incident?
	06 ☐ Native Hawaiian or Pacific Islander		years
	or ☐ Middle Eastern or North African		years
	08 ☐ Other racial category in your information system – Specify	38.	Perpetrator #2: What was the inmate perpetrator's race or ethnicity? (Mark all that apply.)
			o₁  White
			02 Black or African American
24	Perpetrator #1: After the incident, was the		03 Hispanic or Latino
34.	inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	01 Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06
	O3 Placed in higher custody level, restricted unit or program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	06 Sent to counseling or mental health treatment		
	or Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		oe Sent to counseling or mental health treatment
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other - Specify ∠</li> </ul>		o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
			os  Loss of "good/gain" time, increase in "bad" time or delayed release  os  ☐ Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
J <b>J</b> .	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify <sub>▼</sub>
	02 Female		

Section C – STAFF PER SEXUAL VICTIM	PETRATORS OF IZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was a Section B on pages 4-5.</li> <li>40. What was the nature of the charte of the control of</li></ul>		<ul> <li>01 ☐ Full- or part-time paid employee</li> <li>02 ☐ Contract employee or vendor</li> <li>03 ☐ Volunteer or intern</li> <li>04 ☐ Other – Specify </li> </ul>
<ul> <li>(Mark all that apply.)</li> <li>01 ☐ Repeated suggestive, der comments or gestures of</li> <li>02 ☐ Wrote letters, showed picture through video conferencing</li> <li>03 ☐ Exhibited grooming or mates as providing gifts, special inmates</li> <li>04 ☐ Indecent exposure, invasion ☐ Any attempt, threat, or recessual contact or sexual and for ☐ Pressure or abuse of powers</li> <li>08 ☐ Any touching or kissing for ☐ Pressure or abuse of powers</li> <li>08 ☐ Physical force resulting in</li> </ul>	a sexual nature res, talked on the phone, or nipulative behaviors such privileges, or favors to on of privacy, or voyeurism quest related to abusive acts r sexual gratification r resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers,
Other – Specify  Other – Specify   41. How many staff perpetrate the incident?  Number of staff	ors were involved in ere involved, please	librarians, and education assistants  05 ☐ Member of the clergy or chaplaincy  06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff  09 ☐ Volunteers or interns  10 ☐ Other staff — Specify   Other Staff — Specif
42. Staff #1: What was the stagender?  01  Male 02  Female  43. Staff #1: What was the sta	iff perpetrator's	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  o1 Less than 6 months o2 6 months to 1 year o3 1 to 5 years o4 6 to 10 years
at the time of the incident		os 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)
44. Staff #1: What was the state or ethnicity? (Mark all that all of the property of the property) and the property of the pr	a Native c Islander frican	O1 Sent to training or counseling O2 Reprimanded or disciplined O3 Transferred to another facility or unit O4 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave O5 Discharged, terminated, or contract not renewed O6 Staff resigned (prior to completion of investigation) O7 Staff resigned (after investigation was completed) O8 Arrested or referred to law enforcement agency O9 Referred for prosecution or indicted

10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome 12 ☐ Other – Specify 🙀

13 No action taken

/	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	<ul> <li>○1 ☐ Correctional officer, supervisory or security staff, or direct care staff</li> <li>○2 ☐ Maintenance and other facility support staff,</li> </ul>
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02  Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	01 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	01 ☐ Full- or part-time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	03 ☐ Volumeer of linerin	01 Sent to training or counseling
	or El Guior Spoony	02 Reprimanded or disciplined
		$_{03}$ $\square$ Transferred to another facility or unit $_{04}$ $\square$ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		05 Discharged, terminated, or contract not renewed
		Of Staff resigned (prior to completion of investigation)
		o7 Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 ☐ Awaiting a legal outcome
		12 ☐ Other – Specify <sub>▼</sub>
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

# Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

# **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





14 Location not applicable (e.g., involved written, phone,

or virtual communication)

# **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 02 No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 9 1 2|0|2|3 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Leath 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Greenwood o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C 04 Staff-on-inmate sexual harassment 13 Location unknown

# **Burden Statement**

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)  Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life
9.	please complete this form online.  Victim #1: What was the victim's sex assigned at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  Female		<ul> <li>activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.</li> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
11.	o1	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  01	16.	treatment for these injuries?  01  Yes 02  No 03  Unknown  Victim #1: After the incident was reported, was the victim − (Mark all that apply.)  01  Provided with counseling or mental health treatment 02  Given a medical examination 03  Administered a sexual assault kit 04  Given post-exposure prophylaxis (PEP) and/or emergency contraception 05  Given a pregnancy test 06  Tested for HIV/AIDS 07  Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  1 ■ A U.S. citizen  1 ■ Not a U.S. citizen  1 ■ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>□ Already released/discharged</li> <li>□ None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🔲 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

Section C – STAFF PERPETRATOR SEXUAL VICTIMIZATION	s o
If the negretister was an inmate of	o to

	SEXUAL VICTIMIZATION	the incident –
10	→ If the perpetrator was an inmate, go to Section B on pages 4-5. What was the nature of the incident?	<ul> <li>01 ■ Full- or part-time paid employee</li> <li>02 □ Contract employee or vendor</li> <li>03 □ Volunteer or intern</li> <li>04 □ Other – Specify ☑</li> </ul>
IU.	(Mark all that apply.)	04 - Other - Specify
	<ul> <li>O1 Pepeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature</li> <li>O2 Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> </ul>	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?
	<ul> <li>O3 ✓ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates</li> <li>O4 ☐ Indecent exposure, invasion of privacy, or voyeurism</li> <li>O5 ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts</li> <li>O6 ☐ Any touching or kissing for sexual gratification</li> <li>O7 ☐ Pressure or abuse of power resulting in a sexual act</li> <li>O8 ☐ Physical force resulting in a sexual act</li> <li>O9 ☐ Other - Specify ✓</li> </ul>	o1 ☐ Correctional officer, supervisory or security staff, or direct care staff  o2 ■ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  o3 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  o4 ☐ Education staff, including instructors, teachers, librarians, and education assistants
	us El Other – Opeciny	05 ☐ Member of the clergy or chaplaincy
		06 Administrator, including wardens, superintendents, assistants and others in administrative positions
и.	How many staff perpetrators were involved in the incident?  Number of staff 1	<ul> <li>Or ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support</li> <li>Other program staff</li> <li>Olunteers or interns</li> <li>Other staff — Specify ✓</li> </ul>
	→ If more than two staff were involved, please	
	$\rightarrow$ If more than two staff were involved, please complete this form online.	
l2.	→ If more than two staff were involved, please complete this form online. Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the
l2.	complete this form online.  Staff #1: What was the staff perpetrator's	had the staff perpetrator worked at the facility?
12.	complete this form online.  Staff #1: What was the staff perpetrator's gender?	had the staff perpetrator worked at the
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?	had the staff perpetrator worked at the facility?  o1  Less than 6 months
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age	had the staff perpetrator worked at the facility?  01 Less than 6 months  02 6 months to 1 year  03 1 to 5 years  04 6 to 10 years
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?	had the staff perpetrator worked at the facility?  11 Less than 6 months 12 6 months to 1 year 13 1 to 5 years 14 6 to 10 years 15 11 or more years

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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## **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 8 02 V No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 2|0|2|3 2 3 02 Afternoon (noon to 5:59 p.m.) 03 L Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Wateree River 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Rembert o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

## **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
	Number of victims		Disability is defined as (a) A physical or mental impairment
9.	→ If more than two victims were involved, please complete this form online. Victim #1: What was the victim's sex assigned		that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an
-	at birth?		impairment.
	<u>Sex</u> is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.		01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)
	01 ■ Male 02 □ Female		<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		os A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	02 Female		01 ☐ Yes 02 ■ No 03 ☐ Unknown
	os Transgender (A person whose gender identity is different from the person's sex assigned at birth.)		If "Yes" → a. What injuries occurred?
	04 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		(Mark all that apply.)  01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 ☐ Bites
	05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious
	06 Other – Specify		07 🗌 Broken bones
	07 Unknown		08 Knife or stab wounds
44	Victim #1: What was the victim's age at the		09 ∐ Internal injuries 10 □ Other – <i>Specify <sub>▽</sub></i>
11.	time of the incident?		
	36 years		
			→ b. Did the victim receive medical treatment for these injuries?
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)		01 ☐ Yes 02 ☐ No 03 ☐ Unknown
	on White  Diack or African American	16.	Victim #1: After the incident was reported,
	03 Hispanic or Latino		was the victim – (Mark all that apply.)
	04 American Indian or Alaska Native		01 Provided with counseling or mental health treatment
	05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander		02 ☐ Given a medical examination 03 ☐ Administered a sexual assault kit
	or ☐ Middle Eastern or North African		03 ☐ Administered a sexual assault kit 04 ☐ Given post-exposure prophylaxis (PEP)
	08 Other racial category in your information system –		and/or emergency contraception
	Specify 🔀		05 Given a pregnancy test
			06 ☐ Tested for HIV/AIDS 07 ☐ Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?		os ☐ Offered but declined testing or treatment and/or counseling
	01 A U.S. citizen		09 ☐ Already released/discharged
	02 ☐ Not a U.S. citizen 03 ☐ Of unknown citizenship status		10 ☐ None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 📈	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was an inmate, go to Section B on pages 4-5.</li> <li>40. What was the nature of the incident? (Mark all that apply.)</li> <li>□ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature</li> <li>□ Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> <li>□ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates</li> <li>□ Indecent exposure, invasion of privacy, or voyeurism</li> <li>□ Any attempt, threat, or request related to abusive sexual contact or sexual acts</li> <li>□ Any touching or kissing for sexual gratification</li> <li>□ Pressure or abuse of power resulting in a sexual act</li> <li>□ Other - Specify </li> </ul>	o1 ■ Full- or part-time paid employee  o2 □ Contract employee or vendor  o3 □ Volunteer or intern  o4 □ Other – Specify ▼   46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  o1 ■ Correctional officer, supervisory or security staff, direct care staff  o2 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  o3 □ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, soo workers, nurses, and medical assistants  o4 □ Education staff, including instructors, teachers, librarians, and education assistants  o5 □ Member of the clergy or chaplaincy
<ul> <li>41. How many staff perpetrators were involved in the incident?  Number of staff 1  → If more than two staff were involved, please complete this form online.</li> <li>42. Staff #1: What was the staff perpetrator's gender?</li> </ul>	Administrator, including wardens, superintendent assistants and others in administrative positions      Clerical staff including secretaries, clerks, receptionists, and other administrative support      Other program staff     Volunteers or interns      Other staff − Specify ✓       Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?
01	01 Less than 6 months

43. Staff #1: What was the staff perpetrator's age at the time of the incident?

**44.** Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)

08 Other racial category in your information system -

33

01 White

05 Asian

years

02 Black or African American

04 American Indian or Alaska Native

06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African

03 Hispanic or Latino

16.	desc	f #1: What was the primary position cription of the staff perpetrator involved in ncident?
	01	Correctional officer, supervisory or security staff, or direct care staff
	02 🗌	Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
	03 🗌	Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
	04	Education staff, including instructors, teachers, librarians, and education assistants
	05 🗌	Member of the clergy or chaplaincy
	06	Administrator, including wardens, superintendents, assistants and others in administrative positions
	_	Clerical staff including secretaries, clerks, receptionists, and other administrative support
		Other program staff
		Volunteers or interns
	10 🔲	Other staff – Specify
47.	had facil	Less than 6 months
		6 months to 1 year
	_	1 to 5 years
	_	6 to 10 years 11 or more years
	05 [_]	Troi more years
48.	Staf	f #1: After the incident, was the staff petrator - (Mark all that apply.)
	_	Sent to training or counseling
		Reprimanded or disciplined
		Transferred to another facility or unit
	04 📙	Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave
		Discharged, terminated, or contract not renewed
		Staff resigned (prior to completion of investigation)
	07 📙	Staff resigned (after investigation was completed)
	08 🔲	Arrested or referred to law enforcement agency
	09 🗌	Referred for prosecution or indicted Convicted, plead guilty, sentenced, or fined
	11 🗆	Awaiting a legal outcome
		Other – Specify   ✓
		, , , , , , , , , , , , , , , , , , ,
	13 🗌	No action taken
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	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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## **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 02 V No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 4 3 2|0|2|3 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Tyger River 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Enoree o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

## **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	oz Female  Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  o3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
11.	<ul> <li>01 ■ Male</li> <li>02 □ Female</li> <li>03 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.)</li> <li>04 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)</li> <li>05 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)</li> <li>06 □ Other – Specify</li> <li>07 □ Unknown</li> <li>Victim #1: What was the victim's age at the time of the incident?</li> <li>3\$ years</li> </ul>	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)		<ul> <li>→ b. Did the victim receive medical treatment for these injuries?</li> <li>01 ☐ Yes 02 ☐ No 03 ☐ Unknown</li> </ul>
	02 ✓ Black or African American 03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native 05 ☐ Asian 06 ☐ Native Hawaiian or Pacific Islander 07 ☐ Middle Eastern or North African 08 ☐ Other racial category in your information system – Specify ✓	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment  02 ☐ Given a medical examination  03 ☐ Administered a sexual assault kit  04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception  05 ☐ Given a pregnancy test  06 ☐ Tested for HIV/AIDS
13.	Victim #1: What was the victim's citizenship?  o₁ ■ A U.S. citizen  o₂ □ Not a U.S. citizen  o₃ □ Of unknown citizenship status		<ul> <li>Tested for other sexually transmitted infections</li> <li>Offered but declined testing or treatment and/or counseling</li> <li>Already released/discharged</li> <li>None of the above</li> </ul>

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17.	Victim #1: After the incident was reported,	22.	Victim #2: What was the victim's citizenship?
	was the victim – (Mark all that apply.)		o1 ☐ A U.S. citizen
	01 Separated from perpetrator		02 Not a U.S. citizen
	O2 Confined to own cell or room		03 Of unknown citizenship status
	o3 Placed in or returned to restrictive housing		
	04 Placed in a medical unit, ward, or hospital	23.	Victim #2: Was the victim identified as having
	OF Placed in camera room, under close surveillance, or increased supervision		any of the following disabilities at the time of the incident? (Mark all that apply.)
	<ul> <li>Transferred to another housing unit or dorm, or given a single room or cell</li> <li>Given a higher custody level or different unit within</li> </ul>		01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility  os Transferred to another facility		etc.)  A cognitive impairment or intellectual disability (e.g.,
	09 Issued disciplinary report or loss of privileges		ADHD, autism spectrum disorder, dementia, a learning
	10 ✓ Other – Specify ✓		disability, etc.)
	Placed in Restrictive Housing		o3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments,
	11 _ None of the above		etc.)
		24.	Victim #2: Did the victim sustain any physical
	→ If there were no other victims, please skip to Section B on pages 4-5 if the incident		injury during the incident?
	involved an inmate perpetrator or Section C		01 Yes 02 No 03 Unknown
	on pages 6-7 if the incident involved a staff		If "Yes" → a. What injuries occurred?
	perpetrator.		(Mark all that apply.)
	Victim #0. What was the victimis any society of		01 Bruises, black eye, sprains, cuts,
18.	Victim #2: What was the victim's sex assigned at birth?		scratches, swelling, welts
			02   Bites
	01 Male		03 Burns
	02 L Female		Chipped or knocked out teeth
19.	Victim #2: What was the victim's gender		05   Anal or vaginal tearing  Knocked unconscious
	identity?		07 Broken bones
	01 ☐ Male 05 ☐ Gender nonconforming		08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other - Specify ₹		09 Internal injuries
	_		10 Other – Specify <sub> </sub>
	03 L Transgender		
	04 Intersex 07 Unknown		
20.	Victim #2: What was the victim's age at the time of the incident?		→ b. Did the victim receive medical treatment for these injuries?
			01 🗌 Yes 02 🔲 No 03 🗍 Unknown
	years		
21.	Victim #2: What was the victim's race or ethnicity? (Mark all that apply.)	25.	Victim #2: After the incident was reported, was the victim - (Mark all that apply.)
			Provided with counseling or mental health treatment
	01 White 02 Black or African American		02 Given a medical examination
			03 Administered a sexual assault kit
	03 Hispanic or Latino 04 American Indian or Alaska Native		04 Given post-exposure prophylaxis (PEP)
	05 Asian		and/or emergency contraception
	06 Native Hawaiian or Pacific Islander		05 Given a pregnancy test 06 Tested for HIV/AIDS
	or Middle Eastern or North African		00 ☐ Tested for other sexually transmitted infections
	08 Other racial category in your information system –		08 Offered but declined testing or treatment and/or
	Specify 📈		counseling
			09 Already released/discharged
			None of the above

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

Section C – STAFF PERPETRATORS O SEXUAL VICTIMIZATION

	SEXUAL VICTIMIZATION	45. Staff #1: was the staff perpetrator involved in the incident –	
	→ If the perpetrator was an inmate, go to Section B on pages 4-5.	01 ■ Full- or part-time paid employee 02 □ Contract employee or vendor 03 □ Volunteer or intern	
Ю.	What was the nature of the incident? (Mark all that apply.)	04 ☐ Other – <i>Specify</i> ⊋	
	<ul> <li>Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature</li> <li>Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> </ul>	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?	
	03 Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates	01 Correctional officer, supervisory or security staff, or direct care staff	
	04 Indecent exposure, invasion of privacy, or voyeurism 05 Any attempt, threat, or request related to abusive	02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers	
	sexual contact or sexual acts  of Any touching or kissing for sexual gratification  or Pressure or abuse of power resulting in a sexual act	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, socia workers, nurses, and medical assistants	ıl
	08 Physical force resulting in a sexual act	04  Education staff, including instructors, teachers, librarians, and education assistants	
	09 ☐ Other – Specify ☑	$_{05}$ $\square$ Member of the clergy or chaplaincy	
		of Administrator, including wardens, superintendents, assistants and others in administrative positions	
и.	How many staff perpetrators were involved in the incident?	07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support	
		08 ☐ Other program staff 09 ☐ Volunteers or interns	
	Number of staff 1	10 ☐ Other staff – Specify ⊋	
		10 🖂 Other stail – Specify	
	→ If more than two staff were involved, please complete this form online.		
l2.	<ul> <li>→ If more than two staff were involved, please complete this form online.</li> <li>Staff #1: What was the staff perpetrator's gender?</li> </ul>	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?	
l2.	complete this form online.  Staff #1: What was the staff perpetrator's	had the staff perpetrator worked at the facility?	
12.	complete this form online.  Staff #1: What was the staff perpetrator's gender?	had the staff perpetrator worked at the	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male  o2 Female	had the staff perpetrator worked at the facility?  on Less than 6 months	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1   Male	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months  02 □ 6 months to 1 year  03 □ 1 to 5 years  04 □ 6 to 10 years	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male  o2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?	had the staff perpetrator worked at the facility?  o1 Less than 6 months  o2 6 months to 1 year  o3 1 to 5 years	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23 years	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months  02 □ 6 months to 1 year  03 □ 1 to 5 years  04 □ 6 to 10 years	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race	had the staff perpetrator worked at the facility?  11 Less than 6 months 12 6 months to 1 year 13 1 to 5 years 14 6 to 10 years 15 11 or more years  48. Staff #1: After the incident, was the staff	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10  Male 102  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	had the staff perpetrator worked at the facility?  01 Less than 6 months 02 6 months to 1 year 03 1 to 5 years 04 6 to 10 years 05 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 01 Sent to training or counseling 02 Reprimanded or disciplined	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race	had the staff perpetrator worked at the facility?  01 Less than 6 months  02 6 months to 1 year  03 1 to 5 years  04 6 to 10 years  05 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)  01 Sent to training or counseling  02 Reprimanded or disciplined  03 Transferred to another facility or unit	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  1  Male 2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  1  White 2  Black or African American	had the staff perpetrator worked at the facility?  01 Less than 6 months 02 6 months to 1 year 03 1 to 5 years 04 6 to 10 years 05 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 01 Sent to training or counseling 02 Reprimanded or disciplined 03 Transferred to another facility or unit 04 Demoted, diminished responsibilities, suspended	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10  Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months  02 □ 6 months to 1 year  03 □ 1 to 5 years  04 □ 6 to 10 years  05 □ 11 or more years  48. Staff #1: After the incident, was the staff perpetrator - (Mark all that apply.)  01 □ Sent to training or counseling  02 □ Reprimanded or disciplined  03 □ Transferred to another facility or unit  04 □ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 22  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White 10  Black or African American 10  Hispanic or Latino 10  American Indian or Alaska Native 10  Asian	had the staff perpetrator worked at the facility?  01 Less than 6 months 02 6 months to 1 year 03 1 to 5 years 04 6 to 10 years 05 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 01 Sent to training or counseling 02 Reprimanded or disciplined 03 Transferred to another facility or unit 04 Demoted, diminished responsibilities, suspended	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10  Male 102  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White 10  Black or African American 11  Hispanic or Latino 12  American Indian or Alaska Native 13  Asian 14  Native Hawaiian or Pacific Islander	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months  02 □ 6 months to 1 year  03 □ 1 to 5 years  04 □ 6 to 10 years  05 □ 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)  01 □ Sent to training or counseling  02 □ Reprimanded or disciplined  03 □ Transferred to another facility or unit  04 □ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  05 ☑ Discharged, terminated, or contract not renewed	
13.	staff #1: What was the staff perpetrator's gender?  1  Male 2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  1  White 2  Black or African American 3  Hispanic or Latino 4  American Indian or Alaska Native 5  Asian 6  Native Hawaiian or Pacific Islander 7  Middle Eastern or North African	had the staff perpetrator worked at the facility?  01 Less than 6 months 02 6 months to 1 year 03 1 to 5 years 04 6 to 10 years 05 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 01 Sent to training or counseling 02 Reprimanded or disciplined 03 Transferred to another facility or unit 04 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave 05 Discharged, terminated, or contract not renewed 06 Staff resigned (prior to completion of investigation) 07 Staff resigned (after investigation was completed) 08 Arrested or referred to law enforcement agency	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10  Male 102  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White 10  Black or African American 11  Hispanic or Latino 12  American Indian or Alaska Native 13  Asian 14  Native Hawaiian or Pacific Islander	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months 02 □ 6 months to 1 year 03 □ 1 to 5 years 04 □ 6 to 10 years 05 □ 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 01 □ Sent to training or counseling 02 □ Reprimanded or disciplined 03 □ Transferred to another facility or unit 04 □ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave 05 ☑ Discharged, terminated, or contract not renewed 06 □ Staff resigned (prior to completion of investigation) 07 □ Staff resigned (after investigation was completed) 08 □ Arrested or referred to law enforcement agency 09 □ Referred for prosecution or indicted	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  101 Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  101 White 102 Black or African American 103 Hispanic or Latino 104 American Indian or Alaska Native 105 Asian 106 Native Hawaiian or Pacific Islander 107 Middle Eastern or North African 108 Other racial category in your information system —	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months 02 □ 6 months to 1 year 03 □ 1 to 5 years 04 □ 6 to 10 years 05 □ 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 01 □ Sent to training or counseling 02 □ Reprimanded or disciplined 03 □ Transferred to another facility or unit 04 □ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave 05 ☑ Discharged, terminated, or contract not renewed 06 □ Staff resigned (prior to completion of investigation) 07 □ Staff resigned (after investigation was completed) 08 □ Arrested or referred to law enforcement agency 09 □ Referred for prosecution or indicted 10 □ Convicted, plead guilty, sentenced, or fined	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  101 Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  101 White 102 Black or African American 103 Hispanic or Latino 104 American Indian or Alaska Native 105 Asian 106 Native Hawaiian or Pacific Islander 107 Middle Eastern or North African 108 Other racial category in your information system —	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months  02 □ 6 months to 1 year  03 □ 1 to 5 years  04 □ 6 to 10 years  05 □ 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)  01 □ Sent to training or counseling  02 □ Reprimanded or disciplined  03 □ Transferred to another facility or unit  04 □ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  05 ☑ Discharged, terminated, or contract not renewed  06 □ Staff resigned (prior to completion of investigation)  07 □ Staff resigned (after investigation was completed)  08 □ Arrested or referred to law enforcement agency  09 □ Referred for prosecution or indicted  10 □ Convicted, plead guilty, sentenced, or fined  11 □ Awaiting a legal outcome	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  101 Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  23 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  101 White 102 Black or African American 103 Hispanic or Latino 104 American Indian or Alaska Native 105 Asian 106 Native Hawaiian or Pacific Islander 107 Middle Eastern or North African 108 Other racial category in your information system —	had the staff perpetrator worked at the facility?  01 ■ Less than 6 months 02 □ 6 months to 1 year 03 □ 1 to 5 years 04 □ 6 to 10 years 05 □ 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 01 □ Sent to training or counseling 02 □ Reprimanded or disciplined 03 □ Transferred to another facility or unit 04 □ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave 05 ☑ Discharged, terminated, or contract not renewed 06 □ Staff resigned (prior to completion of investigation) 07 □ Staff resigned (after investigation was completed) 08 □ Arrested or referred to law enforcement agency 09 □ Referred for prosecution or indicted 10 □ Convicted, plead guilty, sentenced, or fined	

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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## **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 V Yes 03 Unknown If "Yes" → a. On approximately, how many 02 No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 12 21 2|0|2|2 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Lieber 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Ridgeville o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

## **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	→ If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  2 Female		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male o2 □ Female o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.) o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.) o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.) o6 □ Other – Specify o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  5 □ years	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify ✓
12	ethnicity? (Mark all that apply.)  01  White  02  Black or African American  03  Hispanic or Latino  04  American Indian or Alaska Native  05  Asian  06  Native Hawaiian or Pacific Islander  07  Middle Eastern or North African  08  Other racial category in your information system –  Specify   Victim #1: What was the victim's citizenship?	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment 02 ☐ Given a medical examination 03 ☐ Administered a sexual assault kit 04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception 05 ☐ Given a pregnancy test 06 ☐ Tested for HIV/AIDS 07 ☐ Tested for other sexually transmitted infections 08 ☐ Offered but declined testing or treatment and/or
13.	o₁ ■ A U.S. citizen o₂ □ Not a U.S. citizen o₃ □ Of unknown citizenship status		counseling  op  Already released/discharged  None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 📈	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	37	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	١٠/٠	perpetrator's age at the time of the incident?
	05 Asian		
	06 Native Hawaiian or Pacific Islander 07 Middle Eastern or North African		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify Z	3.53	perpetrator's race or ethnicity?
			(Mark all that apply.)
			01 White
			02 U Black or African American
34.	Perpetrator #1: After the incident, was the		03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native
	inmate perpetrator – (Mark all that apply.)		05 ☐ Asian
	01 Confined to own cell or room		06 ☐ Native Hawaiian or Pacific Islander
	02 Placed in or returned to restrictive housing 03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		opeony g
	os Transferred to another facility		
	06 Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	08 Loss of "good/gain" time, increase in "bad" time or delayed release		01 Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		$_{04}$ $\square$ Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		06 Sent to counseling or mental health treatment
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other – Specify </li> </ul>		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 🗀 Other – Specify 7		08 Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
	Submit IVIIII		12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify ✓
	02 Female		

Section C – STAFF PERPETRATORS O SEXUAL VICTIMIZATION

	SEXUAL VICTIMIZATION	45. Staff #1: was the staff perpetrator involved in the incident –	
	→ If the perpetrator was an inmate, go to Section B on pages 4-5.	01 ■ Full- or part-time paid employee 02 □ Contract employee or vendor 03 □ Volunteer or intern	
10.	What was the nature of the incident? (Mark all that apply.)	04 ☐ Other – Specify ⊋	
	<ul> <li>Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature</li> <li>Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> </ul>	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?	
	03 Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates	01 Correctional officer, supervisory or security staff, or direct care staff	
	04 ☐ Indecent exposure, invasion of privacy, or voyeurism 05 ☐ Any attempt, threat, or request related to abusive	02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers	
	sexual contact or sexual acts  of Any touching or kissing for sexual gratification	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, socia workers, nurses, and medical assistants	ıl
	07 ☐ Pressure or abuse of power resulting in a sexual act 08 ☐ Physical force resulting in a sexual act	04 ☐ Education staff, including instructors, teachers, librarians, and education assistants	
	09 ☐ Other – Specify ✓	$_{05}$ $\square$ Member of the clergy or chaplaincy	
		of Administrator, including wardens, superintendents, assistants and others in administrative positions	
41.	How many staff perpetrators were involved in the incident?	07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  08 ☐ Other program staff	
	Number of staff 1	09 Volunteers or interns	
	Number of staff	10 ☐ Other staff – <i>Specify</i> ⊋	
	→ If more than two staff were involved, please	io iii otani opeeny y	
	complete this form online.		
12.	complete this form online.  Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the	
<b>42.</b>	complete this form online.  Staff #1: What was the staff perpetrator's	had the staff perpetrator worked at the facility?	
<b>12.</b>	complete this form online.  Staff #1: What was the staff perpetrator's gender?	had the staff perpetrator worked at the facility?  o1  Less than 6 months	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male  o2 Female	had the staff perpetrator worked at the facility?	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male o2 Female  Staff #1: What was the staff perpetrator's age	had the staff perpetrator worked at the facility?  o1 Less than 6 months  o2 6 months to 1 year	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male  o2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?	had the staff perpetrator worked at the facility?  o1 Less than 6 months  o2 6 months to 1 year  o3 1 to 5 years	
<b>4</b> 3.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years	had the staff perpetrator worked at the facility?  o1 Less than 6 months  o2 6 months to 1 year  o3 1 to 5 years  o4 6 to 10 years	
<b>4</b> 3.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race	had the staff perpetrator worked at the facility?  11 Less than 6 months 12 6 months to 1 year 13 1 to 5 years 14 6 to 10 years 15 11 or more years  48. Staff #1: After the incident, was the staff	
<b>4</b> 3.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  O1  Male O2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	had the staff perpetrator worked at the facility?  101 Less than 6 months 102 6 months to 1 year 103 1 to 5 years 104 6 to 10 years 105 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 101 Sent to training or counseling 102 Reprimanded or disciplined	
<b>4</b> 3.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10  Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White	had the staff perpetrator worked at the facility?  01  Less than 6 months  02  6 months to 1 year  03  1 to 5 years  04  6 to 10 years  05  11 or more years  48. Staff #1: After the incident, was the staff perpetrator - (Mark all that apply.)  01  Sent to training or counseling  02  Reprimanded or disciplined  03  Transferred to another facility or unit	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  11  White 12  Black or African American	had the staff perpetrator worked at the facility?  10 Less than 6 months 10 6 months to 1 year 10 1 to 5 years 10 1 6 to 10 years 10 1 1 or more years  11 or more years  12 Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 10 Sent to training or counseling 10 Reprimanded or disciplined 10 Transferred to another facility or unit 10 Pemoted, diminished responsibilities, suspended	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10  Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White	had the staff perpetrator worked at the facility?  01 □ Less than 6 months  02 □ 6 months to 1 year  03 □ 1 to 5 years  04 ■ 6 to 10 years  05 □ 11 or more years  48. Staff #1: After the incident, was the staff perpetrator - (Mark all that apply.)  01 □ Sent to training or counseling  02 □ Reprimanded or disciplined  03 □ Transferred to another facility or unit  04 ☑ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave	
<b>4</b> 3.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  11  White 12  Black or African American 13  Hispanic or Latino	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 22  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White 10  Black or African American 10  Hispanic or Latino 10  American Indian or Alaska Native	had the staff perpetrator worked at the facility?  01	
13.	Staff #1: What was the staff perpetrator's gender?  11  Male 22  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White 10  Black or African American 10  Hispanic or Latino 11  American Indian or Alaska Native 12  Asian 13  Native Hawaiian or Pacific Islander 14  Middle Eastern or North African	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  1  Male 2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  1  White 2  Black or African American 3  Hispanic or Latino 4  American Indian or Alaska Native 5  Asian 6  Native Hawaiian or Pacific Islander 7  Middle Eastern or North African 8  Other racial category in your information system —	had the staff perpetrator worked at the facility?  01	
<b>4</b> 3.	Staff #1: What was the staff perpetrator's gender?  11  Male 22  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White 10  Black or African American 10  Hispanic or Latino 11  American Indian or Alaska Native 12  Asian 13  Native Hawaiian or Pacific Islander 14  Middle Eastern or North African	had the staff perpetrator worked at the facility?  01	
<b>4</b> 3.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  1  Male 2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  1  White 2  Black or African American 3  Hispanic or Latino 4  American Indian or Alaska Native 5  Asian 6  Native Hawaiian or Pacific Islander 7  Middle Eastern or North African 8  Other racial category in your information system —	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  1  Male 2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  1  White 2  Black or African American 3  Hispanic or Latino 4  American Indian or Alaska Native 5  Asian 6  Native Hawaiian or Pacific Islander 7  Middle Eastern or North African 8  Other racial category in your information system —	had the staff perpetrator worked at the facility?  01	
<b>4</b> 3.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  1  Male 2  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  29  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  1  White 2  Black or African American 3  Hispanic or Latino 4  American Indian or Alaska Native 5  Asian 6  Native Hawaiian or Pacific Islander 7  Middle Eastern or North African 8  Other racial category in your information system —	had the staff perpetrator worked at the facility?  01	

13 No action taken

/	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	<ul> <li>○1 ☐ Correctional officer, supervisory or security staff, or direct care staff</li> <li>○2 ☐ Maintenance and other facility support staff,</li> </ul>
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 🗌 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	01 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	01 ☐ Full- or part-time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	03 ☐ Volumeer of linerin	01 Sent to training or counseling
	or El Guior Spoony	02 Reprimanded or disciplined
		$_{03}$ $\square$ Transferred to another facility or unit $_{04}$ $\square$ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		05 Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		o7 Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 ☐ Awaiting a legal outcome
		12 ☐ Other – Specify <sub>▼</sub>
		13 ☐ No action taken

NOTES

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## **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

## Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

## **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	Incident Number out of
<ul> <li>1. Did the incident include repeated behaviors?</li> <li>01  Yes 02  No</li> <li>If "Yes" → a. On approximately, how many different dates did it occur?</li></ul>	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01 □ Yes 03 □ Unknown  02 ☑ No  5. What time did the incident occur?
recent incident occur?  Month Day Year  If "No" → a. On what date did the incident occur?  Month Day Year  2 2 2 2 2 3  In what facility did the incident occur?	(Mark all that apply.)  01 ☐ Morning (6 a.m. to 11:59 a.m.)  02 ☐ Afternoon (noon to 5:59 p.m.)  03 ☐ Evening (6 p.m. to 11:59 p.m.)  04 ☐ Overnight (midnight to 5:59 a.m.)  05 ☑ Time unknown  6. Who reported the incident?  (Mark all that apply.)  01 ☐ Victim
Name Leath  City  Greenwood  State  Greenwood  State  State  SC   3. Where did the incident occur? (Mark all that apply.)  1 In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  2 In the perpetrator's cell or room  1 In a dormitory or other multiple housing unit  4 In a common area (e.g., shower, dayroom, bathroom)  In a temporary holding cell or intake area within the facility  In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)	o2 ✓ Another inmate (nonvictim) o3 ☐ Victim's family, friend, or legal guardian o4 ☐ Correctional officer or front-line staff o5 ☐ Administrative staff o6 ☐ Medical, healthcare, or mental health staff o7 ☐ Instructor, teacher, or counselor o8 ☐ Other staff (e.g., kitchen worker, maintenance staff) o9 ☐ Chaplain or other religion official 10 ☐ Perpetrator 11 ☐ Perpetrator's family, friend, or legal guardian 12 ☐ Grievance coordinator, grievance process, or ombuds 13 ☐ Attorney (victim's or perpetrator's) 14 ☐ Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail) 15 ☐ Other – Specify ✓
or ☐ In an instructional area (e.g., classroom, school, library, conference room)  os ☐ In a recreation area (e.g., yard, courtyard, gymnasium)  os ☐ In a medical area (e.g., infirmary, health clinic)  os ☐ In a staff area (e.g., office, break room, counselor's office)  off-site or while in transit  other — Specify ✓  Location unknown  Location not applicable (e.g., involved written, phone, or virtual communication)	7. What was the type of sexual victimization incident? (See definitions on page 9.)  01 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  02 □ Inmate-on-inmate sexual harassment  → Complete sections A and B  03 □ Staff-on-inmate sexual abuse  → Complete sections A and C  04 ■ Staff-on-inmate sexual harassment  → Complete sections A and C

## **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 2	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  2 Female		<ul> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  11 White 12 Black or African American 13 Hispanic or Latino 14 American Indian or Alaska Native 15 Asian 16 Native Hawaiian or Pacific Islander 17 Middle Eastern or North African 18 Other racial category in your information system —	16.	treatment for these injuries?  01  Yes 02  No 03 Unknown  Victim #1: After the incident was reported, was the victim - (Mark all that apply.)  01  Provided with counseling or mental health treatment 02  Given a medical examination 03  Administered a sexual assault kit 04  Given post-exposure prophylaxis (PEP) and/or emergency contraception
13.	Specify  Victim #1: What was the victim's citizenship?  1 A U.S. citizen  1 Not a U.S. citizen  1 Of unknown citizenship status		<ul> <li>O5 ☐ Given a pregnancy test</li> <li>O6 ☐ Tested for HIV/AIDS</li> <li>O7 ☐ Tested for other sexually transmitted infections</li> <li>O8 ☐ Offered but declined testing or treatment and/or counseling</li> <li>O9 ☐ Already released/discharged</li> <li>None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22.	Victim #2: What was the victim's citizenship?
	o₁ ✓ Separated from perpetrator		01 ■ A U.S. citizen
	02 Confined to own cell or room		02 Not a U.S. citizen
	os Placed in or returned to restrictive housing		03 Of unknown citizenship status
	04 Placed in a medical unit, ward, or hospital	23.	Victim #2: Was the victim identified as having
	os Placed in camera room, under close surveillance, or increased supervision		any of the following disabilities at the time of the incident? (Mark all that apply.)
	of Transferred to another housing unit or dorm, or given a single room or cell		on A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	o7 Given a higher custody level or different unit within the facility  o8 Transferred to another facility		etc.)  A cognitive impairment or intellectual disability (e.g.,
	os Issued disciplinary report or loss of privileges		ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
	10 Other – Specify 7		o3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments,
	11 None of the above		etc.)
	→ If there were no other victims, please skip	24.	Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C on pages 6-7 if the incident involved a staff		01 ☐ Yes 02 ■ No 03 ☐ Unknown
	perpetrator.		If "Yes" → a. What injuries occurred?  (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned		Bruises, black eye, sprains, cuts, scratches, swelling, welts
	at birth?		02   Bites
	o1 Male		03 Burns
	02 Female		O4 Chipped or knocked out teeth
10	Victim #2: What was the victim's gender		05 Anal or vaginal tearing
13.	identity?		06 Knocked unconscious
	No.   Mala		07 Broken bones
	01 Male 05 Gender nonconforming		08
	02 ■ Female 06 □ Other – Specify ⊋		10 Other – Specify ∠
	03 Transgender		To Totaler – Specify
	04 Intersex 07 Unknown		
20.	Victim #2: What was the victim's age at the time of the incident?		→ b. Did the victim receive medical treatment for these injuries?
	51 years		01 Yes 02 No 03 Unknown
21.	Victim #2: What was the victim's race or ethnicity? (Mark all that apply.)	25.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	- ' ' ' '		01 Provided with counseling or mental health treatment
	01 White		02 Given a medical examination
	02 Black or African American		03 Administered a sexual assault kit
	Hispanic or Latino		04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native		and/or emergency contraception
	05 Asian 06 Native Hawaiian or Pacific Islander		OS Given a pregnancy test
	of Middle Eastern or North African		06 Tested for HIV/AIDS
	08 Other racial category in your information system –		07 Tested for other sexually transmitted infections 08 Offered but declined testing or treatment and/or
	Specify		counseling
			09 Already released/discharged
			None of the above

26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident?  (Mark all that apply.)
	01 Separated from perpetrator		_
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		o3 ☐ Gave the victim drugs or alcohol o4 ☐ Offered protection from other inmates
	of Dlaced in camera room, under close surveillance, or increased supervision		05 Threats made (e.g., threatened harm to victim or
	Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  106 Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated
	o7 Given a higher custody level or different unit within the facility		07 Physically held victim down or restrained in some way,
	08 Transferred to another facility		including having other inmates restrain the victim
	09 Issued disciplinary report or loss of privileges		08 Physical harm or injury
	10 ☐ Other – Specify ⊋		09 ☐ Other – Specify 🙀
	None of the above		
			10 ☐ None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were
	→ If the perpetrator was a staff member, go to		involved, please complete this form online.
	Section C on pages 6-7.		Damakas II da Milantas and Alan Sasan Anadas and an anni atau ta da
		30.	Perpetrator #1: What was the inmate perpetrator's sex assigned at birth?
27.	What was the nature of the incident? (Mark all that apply.)		Sex is a multidimensional construct based on a cluster of
	o₁ ☐ Repeated verbal comments or gestures of a		anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	derogatory or offensive sexual nature		on ☐ Male
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		02 Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	of the genitalia, anus, groin, breast, inner thigh,		gender identity? Gender identity is a person's core internal understanding
	or the buttocks  os  Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo  Nonconsensual sexual act that resulted from		on Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify <sub>▼</sub>		os  Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other - Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

	→ If the perpetrator was an inmate, go to Section B on pages 4-5.
40.	What was the nature of the incident? (Mark all that apply.)
	on Repeated suggestive, derogatory, or obscene verba
	02 Wrote letters, showed pictures, talked on the phone, or through video conferencing
	03 Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates
	04 Indecent exposure, invasion of privacy, or voyeurism
	05 Any attempt, threat, or request related to abusive sexual contact or sexual acts
	06 Any touching or kissing for sexual gratification
	07 Pressure or abuse of power resulting in a sexual act
	08 Physical force resulting in a sexual act
	09 ☐ Other – Specify ⊋
41.	How many staff perpetrators were involved in the incident?  Number of staff 1  → If more than two staff were involved, please complete this form online.
42.	Staff #1: What was the staff perpetrator's gender?
	on Male
	02 Female
42	Staff #1: What was the staff perpetrator's age
43.	at the time of the incident?
	49 years
44.	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)
	on White
	02 Black or African American
	03 Hispanic or Latino
	04 American Indian or Alaska Native
	05 Asian
	06 Native Hawaiian or Pacific Islander
	07 Middle Eastern or North African
	<ul> <li>Other racial category in your information system –</li> <li>Specify </li> </ul>

45.	. Staff #1: Was the staff perpetrator involved in the incident –				
	02 03	Full- or part-time paid employee Contract employee or vendor Volunteer or intern			
	04 🗀	Other – Specify Z			
46.	desc	f #1: What was the primary position cription of the staff perpetrator involved in ncident?			
	01	Correctional officer, supervisory or security staff, or direct care staff			
	02 🗌	Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers			
	03 🗌	Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants			
	04	Education staff, including instructors, teachers, librarians, and education assistants			
	05	Member of the clergy or chaplaincy			
		Administrator, including wardens, superintendents, assistants and others in administrative positions			
		Clerical staff including secretaries, clerks, receptionists, and other administrative support  Other program staff			
		Volunteers or interns			
		Other staff – Specify			
	10 🗀	Curior stating opening g			
47.	Staff had facil	f #1: At the time of the incident, how long the staff perpetrator worked at the			
		•			
	_	Less than 6 months 6 months to 1 year			
	02 🗌	Less than 6 months 6 months to 1 year 1 to 5 years			
	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years			
	02	Less than 6 months 6 months to 1 year 1 to 5 years			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff etrator – (Mark all that apply.)			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff petrator – (Mark all that apply.) Sent to training or counseling			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff tetrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff tetrator – (Mark all that apply.) Sent to training or counseling Reprimanded or disciplined Transferred to another facility or unit			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff petrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined  Transferred to another facility or unit  Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff petrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined  Transferred to another facility or unit  Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  Discharged, terminated, or contract not renewed  Staff resigned (prior to completion of investigation)			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff letrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined  Transferred to another facility or unit  Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  Discharged, terminated, or contract not renewed  Staff resigned (prior to completion of investigation)  Staff resigned (after investigation was completed)			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff petrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined  Transferred to another facility or unit  Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  Discharged, terminated, or contract not renewed  Staff resigned (prior to completion of investigation)			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff tetrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined  Transferred to another facility or unit  Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  Discharged, terminated, or contract not renewed  Staff resigned (prior to completion of investigation)  Staff resigned (after investigation was completed)  Arrested or referred to law enforcement agency			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff setrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined Transferred to another facility or unit Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave Discharged, terminated, or contract not renewed Staff resigned (prior to completion of investigation) Staff resigned (after investigation was completed) Arrested or referred to law enforcement agency Referred for prosecution or indicted Convicted, plead guilty, sentenced, or fined Awaiting a legal outcome			
48.	02	Less than 6 months 6 months to 1 year 1 to 5 years 6 to 10 years 11 or more years  f #1: After the incident, was the staff setrator – (Mark all that apply.)  Sent to training or counseling Reprimanded or disciplined Transferred to another facility or unit Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave Discharged, terminated, or contract not renewed Staff resigned (prior to completion of investigation) Staff resigned (after investigation was completed) Arrested or referred to law enforcement agency Referred for prosecution or indicted Convicted, plead guilty, sentenced, or fined			

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13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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#### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

#### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

#### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 02 No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year o1 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 5 7 2|0|2|3 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Goodman 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Columbia o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

#### **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male		<ul> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g.,</li> </ul>
	02 Female		ADHD, autism spectrum disorder, dementia, a learning disability, etc.)
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		O3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1  Male	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	02 ■ Female 03 □ Transgender (A person whose gender identity is		01 ☐ Yes 02 ■ No 03 ☐ Unknown
	different from the person's sex assigned at birth.)  104 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  105 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)  106 Other – Specify 107 Unknown  11: What was the victim's age at the time of the incident?  14 years  15 Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  16 White		If "Yes" → a. What injuries occurred?  (Mark all that apply.)  1 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts  2 □ Bites  3 □ Burns  4 □ Chipped or knocked out teeth  5 □ Anal or vaginal tearing  6 □ Knocked unconscious  7 □ Broken bones  8 □ Knife or stab wounds  9 □ Internal injuries  10 □ Other - Specify  → b. Did the victim receive medical treatment for these injuries?  11 □ Yes 02 □ No 03 □ Unknown
	<ul> <li>02 ☐ Black or African American</li> <li>03 ☐ Hispanic or Latino</li> <li>04 ☐ American Indian or Alaska Native</li> <li>05 ☐ Asian</li> <li>06 ☐ Native Hawaiian or Pacific Islander</li> <li>07 ☐ Middle Eastern or North African</li> <li>08 ☐ Other racial category in your information system – Specify </li> </ul>	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment  02 ☐ Given a medical examination  03 ☐ Administered a sexual assault kit  04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception  05 ☐ Given a pregnancy test  06 ☐ Tested for HIV/AIDS  07 ☐ Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?		Offered but declined testing or treatment and/or counseling
	01 ■ A U.S. citizen 02 □ Not a U.S. citizen 03 □ Of unknown citizenship status		counseling  op ☐ Already released/discharged  10 ☐ None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Dlaced in camera room, under close surveillance, or increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
<ul> <li>→ If the perpetrator was an inmate, go to Section B on pages 4-5.</li> <li>40. What was the nature of the incident?</li> </ul>	01 ■ Full- or part-time paid employee 02 □ Contract employee or vendor 03 □ Volunteer or intern 04 □ Other – Specify ☑
<ul> <li>(Mark all that apply.)</li> <li>□ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature</li> <li>□ Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> <li>□ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates</li> <li>□ Indecent exposure, invasion of privacy, or voyeurism</li> <li>□ Any attempt, threat, or request related to abusive sexual contact or sexual acts</li> <li>□ Any touching or kissing for sexual gratification</li> <li>□ Pressure or abuse of power resulting in a sexual act</li> <li>□ Physical force resulting in a sexual act</li> <li>□ Other – Specify </li> <li>■ Relationship</li> </ul>	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01 Correctional officer, supervisory or security staff, or direct care staff  02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers  03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants  04 Education staff, including instructors, teachers, librarians, and education assistants  05 Member of the clergy or chaplaincy  06 Administrator, including wardens, superintendents, assistants and others in administrative positions  07 Clerical staff including secretaries, clerks,
<ul> <li>41. How many staff perpetrators were involved in the incident? Number of staff 2 → If more than two staff were involved, please complete this form online.</li> </ul>	receptionists, and other administrative support  os  Other program staff  os  Volunteers or interns  other staff – Specify
42. Staff #1: What was the staff perpetrator's gender?  01 ☐ Male  02 ■ Female	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  o1  Less than 6 months o2  6 months to 1 year
43. Staff #1: What was the staff perpetrator's age at the time of the incident?  38 years	03 ☐ 1 to 5 years 04 ☐ 6 to 10 years 05 ■ 11 or more years 48. Staff #1: After the incident, was the staff
44. Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  01 ☐ White  02 ☑ Black or African American  03 ☐ Hispanic or Latino  04 ☐ American Indian or Alaska Native  05 ☐ Asian  06 ☐ Native Hawaiian or Pacific Islander  07 ☐ Middle Eastern or North African	perpetrator - (Mark all that apply.)  01 ☐ Sent to training or counseling  02 ☐ Reprimanded or disciplined  03 ☐ Transferred to another facility or unit  04 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  05 ☑ Discharged, terminated, or contract not renewed  06 ☑ Staff resigned (prior to completion of investigation)  07 ☐ Staff resigned (after investigation was completed)

07 Middle Eastern or North African

08 Other racial category in your information system –

08 Arrested or referred to law enforcement agency

10 Convicted, plead guilty, sentenced, or fined

09 Referred for prosecution or indicted

11 Awaiting a legal outcome 12 ☐ Other – Specify ~

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53.	Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
40	Chaff #0: What was the shaff manuschuster's		o1 Correctional officer, supervisory or security staff, or direct care staff
49.	Staff #2: What was the staff perpetrator's gender?		02 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
	02 ■ Female		os Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?		o4 Education staff, including instructors, teachers, librarians, and education assistants
	35 vears		05 Member of the clergy or chaplaincy
	years		of Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)		or  Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o₁ ☐ White		08 Other program staff
	02 🗹 Black or African American		09 Volunteers or interns
			10 ☐ Other staff – <i>Specify</i> ✓
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native		To El Othor Stall Oposity &
	04 American indian of Alaska Native		
	06 ☐ Native Hawaiian or Pacific Islander		
	07 ☐ Middle Eastern or North African	54.	Staff #2: At the time of the incident, how long
	os ☐ Other racial category in your information system – Specify ∠		had the staff perpetrator worked at the facility?
	- , , <u>,</u>		o₁ ☐ Less than 6 months
			02 G months to 1 year
			03 1 to 5 years
			04 <b>a</b> 6 to 10 years
52.	Staff #2: Was the staff perpetrator involved in		05 11 or more years
	the incident -		as 11 of more yours
	01 Full- or part-time paid employee 02 Contract employee or vendor	55.	Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	03 Volunteer or intern		o₁ Sent to training or counseling
	04 ☐ Other – Specify <del>✓</del>		02 Reprimanded or disciplined
			03 ☐ Transferred to another facility or unit
			04 Demoted, diminished responsibilities, or
			suspended temporarily, or placed on administrative leave
			05 Discharged, terminated, or contract not renewed
			of Staff resigned (prior to completion of investigation)
			o7 Staff resigned (after investigation was completed)
			08 Arrested or referred to law enforcement agency
			09 Referred for prosecution or indicted
			10 Convicted, plead guilty, sentenced, or fined
			11 Awaiting a legal outcome
			12 ☐ Other – Specify ~
			13 ☐ No action taken
			13 LI 140 action taken

NOTES

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#### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

#### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

#### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.





## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

	incident Number out of
1. Did the incident include repeated behaviors?  01 ■ Yes 02 □ No  If "Yes" → a. On approximately, how many different dates did it occur? 2  → b. On what date did the most	4. Did the incident take place in an area subject to video monitoring? (Mark all that apply.)  01 ☐ Yes 03 ☑ Unknown  02 ☐ No  5. What time did the incident occur?
recent incident occur?  Month Day Year  7 20 2 0 2 3  If "No" → a. On what date did the incident occur?  Month Day Year  Year  Year  Year  Year  Year  Year  Year  Year	(Mark all that apply.)  01  Morning (6 a.m. to 11:59 a.m.)  02  Afternoon (noon to 5:59 p.m.)  03  Evening (6 p.m. to 11:59 p.m.)  04  Overnight (midnight to 5:59 a.m.)  05  Time unknown  6. Who reported the incident?  (Mark all that apply.)  01  Victim
Name Goodman  City  State Columbia  SC  3. Where did the incident occur? (Mark all that apply.)  10 In the victim's cell or room (If the victim and perpetrator share a cell or room, count as the victim's cell)  10 In the perpetrator's cell or room  10 In a dormitory or other multiple housing unit  10 In a common area (e.g., shower, dayroom, bathroom)  10 In a temporary holding cell or intake area within the facility  11 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)  12 In an instructional area (e.g., classroom, school, library,	o2  Another inmate (nonvictim) o3  Victim's family, friend, or legal guardian o4  Correctional officer or front-line staff o5  Administrative staff o6  Medical, healthcare, or mental health staff o7  Instructor, teacher, or counselor o8  Other staff (e.g., kitchen worker, maintenance staff) o9  Chaplain or other religion official 10  Perpetrator 11  Perpetrator's family, friend, or legal guardian 12  Grievance coordinator, grievance process, or ombuds 13  Attorney (victim's or perpetrator's) 14  Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail) 15  Other − Specify   Other − Specify
conference room)  os  In a recreation area (e.g., yard, courtyard, gymnasium)  os  In a medical area (e.g., infirmary, health clinic)  os  In a staff area (e.g., office, break room, counselor's office)  off-site or while in transit  other – Specify   Location unknown  Location not applicable (e.g., involved written, phone, or virtual communication)	7. What was the type of sexual victimization incident? (See definitions on page 9.)  01 □ Inmate-on-inmate sexual abuse  → Complete sections A and B  02 □ Inmate-on-inmate sexual harassment  → Complete sections A and B  03 □ Staff-on-inmate sexual abuse  → Complete sections A and C  04 ■ Staff-on-inmate sexual harassment  → Complete sections A and C

#### **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	→ If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned at birth?		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  2 Female		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  O3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical treatment for these injuries?
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  □ White □ Black or African American □ Hispanic or Latino □ American Indian or Alaska Native □ Asian □ Native Hawaiian or Pacific Islander □ Middle Eastern or North African □ Other racial category in your information system − Specify  □ Specify	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment  02 ☐ Given a medical examination  03 ☐ Administered a sexual assault kit  04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception  05 ☐ Given a pregnancy test  06 ☐ Tested for HIV/AIDS  07 ☐ Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  01 ■ A U.S. citizen  02 □ Not a U.S. citizen  03 □ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>Already released/discharged</li> <li>None of the above</li> </ul>

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Dlaced in camera room, under close surveillance, or increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
JJ.	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

	Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
40.	<ul> <li>→ If the perpetrator was an inmate, go to Section B on pages 4-5.</li> <li>What was the nature of the incident? (Mark all that apply.)</li> </ul>	<ul> <li>Full- or part-time paid employee</li> <li>Contract employee or vendor</li> <li>Volunteer or intern</li> <li>Other − Specify </li> </ul>
	01 ☐ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature  02 ☑ Wrote letters, showed pictures, talked on the phone, or through video conferencing  03 ☐ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates  04 ☐ Indecent exposure, invasion of privacy, or voyeurism  05 ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts  06 ☐ Any touching or kissing for sexual gratification  07 ☐ Pressure or abuse of power resulting in a sexual act  08 ☐ Physical force resulting in a sexual act  09 ☑ Other – Specify ☑	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  1
	Relationship  How many staff perpetrators were involved in the incident?  Number of staff 1	of ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  or ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  os ☐ Other program staff  op ☐ Volunteers or interns  other staff — Specify ✓
	complete this form online.	
	Staff #1: What was the staff perpetrator's gender?  o1  Male  o2  Female	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  01 Less than 6 months 02 6 months to 1 year 03 1 to 5 years
	Staff #1: What was the staff perpetrator's age at the time of the incident?  35 years	<ul> <li>04 ■ 6 to 10 years</li> <li>05 □ 11 or more years</li> <li>48. Staff #1: After the incident, was the staff perpetrator - (Mark all that apply.)</li> </ul>
	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  101 White 102 Black or African American 103 Hispanic or Latino 104 American Indian or Alaska Native 105 Asian 106 Native Hawaiian or Pacific Islander 107 Middle Eastern or North African	01 ☐ Sent to training or counseling 02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave 05 ☑ Discharged, terminated, or contract not renewed 06 ☑ Staff resigned (prior to completion of investigation) 07 ☐ Staff resigned (after investigation was completed) 08 ☐ Arrested or referred to law enforcement agency

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□ Referred for prosecution or indicted□ Convicted, plead guilty, sentenced, or fined

11 ☐ Awaiting a legal outcome12 ☐ Other – Specify

13 No action taken

08  $\square$  Other racial category in your information system – Specify  $_{\overline{\mathbf{Z}}}$ 

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 ☐ Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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#### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

#### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

#### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 02 No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year o1 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 5 18 2|0|2|3 6. Who reported the incident? (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Goodman 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Columbia o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

#### **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?  Number of victims 1	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	<ul> <li>→ If more than two victims were involved, please complete this form online.</li> <li>Victim #1: What was the victim's sex assigned</li> </ul>		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  10 Male		<ul> <li>O1 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>O2 A cognitive impairment or intellectual disability (e.g.,</li> </ul>
10.	02 ■ Female  Victim #1: What was the victim's gender		ADHD, autism spectrum disorder, dementia, a learning disability, etc.)  O3 A physical impairment or disability (e.g., back or spinal
	identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	01 ☐ Male 02 ■ Female	15.	Victim #1: Did the victim sustain any physical injury during the incident?
	os Transgender (A person whose gender identity is different from the person's sex assigned at birth.)		01 ☐ Yes 02 ■ No 03 ☐ Unknown  If "Yes" → a. What injuries occurred?
	Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)		(Mark all that apply.)  01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts  02 ☐ Bites
	OS Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)		03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious
	06 Other – Specify		07 ☐ Broken bones 08 ☐ Knife or stab wounds 09 ☐ Internal injuries
11.	Victim #1: What was the victim's age at the time of the incident?		10 ☐ Other – Specify <sub>▼</sub>
	36 years		→ b. Did the victim receive medical
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)		treatment for these injuries?  01 Yes 02 No 03 Unknown
	01	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  o1 Provided with counseling or mental health treatment o2 Given a medical examination o3 Administered a sexual assault kit o4 Given post-exposure prophylaxis (PEP) and/or emergency contraception o5 Given a pregnancy test o6 Tested for HIV/AIDS
13	Victim #1: What was the victim's citizenship?		07 ☐ Tested for other sexually transmitted infections 08 ☐ Offered but declined testing or treatment and/or
	01 ■ A U.S. citizen 02 □ Not a U.S. citizen 03 □ Of unknown citizenship status		counseling  op Already released/discharged  no V None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Dlaced in camera room, under close surveillance, or increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	37	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	"	perpetrator's age at the time of the incident?
	05 Asian		
	06 Native Hawaiian or Pacific Islander 07 Middle Eastern or North African		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify Z	3.53	perpetrator's race or ethnicity?
			(Mark all that apply.)
			01 White
			02 U Black or African American
34.	Perpetrator #1: After the incident, was the		03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native
	inmate perpetrator – (Mark all that apply.)		05 ☐ Asian
	01 Confined to own cell or room		06 ☐ Native Hawaiian or Pacific Islander
	02 Placed in or returned to restrictive housing 03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify ∠
	04 Transferred to other unit/cell or separated from victim		opeony g
	os Transferred to another facility		
	06 Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	08 Loss of "good/gain" time, increase in "bad" time or delayed release		01 Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		O3 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		$_{04}$ $\square$ Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		06 Sent to counseling or mental health treatment
	<ul> <li>14 ☐ Awaiting a legal outcome</li> <li>15 ☐ Other – Specify </li> </ul>		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 🗀 Other – Specify 7		08 Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or submit form.		11 Arrested or referred to law enforcement agency
	Submit IVIIII		12 Referred for prosecution or indicted
35.	Perpetrator #2: What was the inmate		13 Convicted, plead guilty, given new sentence, or fined
	perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify ✓
	02 Female		

# Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION

	SEXUAL VICTIMIZATION	the incident –	
	→ If the perpetrator was an inmate, go to Section B on pages 4-5.	<ul> <li>01 ■ Full- or part-time paid employee</li> <li>02 □ Contract employee or vendor</li> <li>03 □ Volunteer or intern</li> <li>04 □ Other - Specify  </li> </ul>	
IU.	What was the nature of the incident? (Mark all that apply.)	04 🗀 Ottler – Specify 💆	
	<ul> <li>Page at the suggestive of a sexual nature</li> <li>Wrote letters, showed pictures, talked on the phone, or through video conferencing</li> </ul>	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?	
	<ul> <li>Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates</li> <li>Indecent exposure, invasion of privacy, or voyeurism</li> </ul>	on ■ Correctional officer, supervisory or security staff, or direct care staff  o2 □ Maintenance and other facility support staff,	
	os Any attempt, threat, or request related to abusive sexual contact or sexual acts	including groundskeepers, janitors, cooks, and drivers  □ □ Medical or healthcare staff, including counselors,	
	of ☐ Any touching or kissing for sexual gratification or ☐ Pressure or abuse of power resulting in a sexual act	doctors, dentists, psychologists, psychiatrists, socia workers, nurses, and medical assistants	.l
	08 ☐ Physical force resulting in a sexual act 09 ☐ Other – Specify    Other → Specify   Other → Speci	04 Education staff, including instructors, teachers, librarians, and education assistants	
	09 ☐ Other – Specify ≠	05 ☐ Member of the clergy or chaplaincy	
		of Administrator, including wardens, superintendents, assistants and others in administrative positions	
и.	How many staff perpetrators were involved in	07 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support	
	the incident?	08 Other program staff	
	Number of staff 1	09 Volunteers or interns	
		10 $\square$ Other staff – Specify $ ot \sim$	
	→ If more than two staff were involved, please		
	$\rightarrow$ If more than two staff were involved, please complete this form online.		
l2.	complete this form online.  Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?	
12.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  on Male	had the staff perpetrator worked at the	
12.	complete this form online.  Staff #1: What was the staff perpetrator's gender?	had the staff perpetrator worked at the facility?  o1 Less than 6 months  o2 6 months to 1 year	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male  o2  Female  Staff #1: What was the staff perpetrator's age	had the staff perpetrator worked at the facility?  11 Less than 6 months 22 6 months to 1 year 3 1 to 5 years	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male  o2  Female	had the staff perpetrator worked at the facility?  o1 Less than 6 months  o2 6 months to 1 year  o3 1 to 5 years  o4 6 to 10 years	
	complete this form online.  Staff #1: What was the staff perpetrator's gender?  o1  Male  o2  Female  Staff #1: What was the staff perpetrator's age	had the staff perpetrator worked at the facility?  11 Less than 6 months 12 6 months to 1 year 13 1 to 5 years 14 6 to 10 years 15 11 or more years  48. Staff #1: After the incident, was the staff	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race	had the staff perpetrator worked at the facility?  o1 Less than 6 months  o2 6 months to 1 year  o3 1 to 5 years  o4 6 to 10 years  o5 11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  101 Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	had the staff perpetrator worked at the facility?  11 Less than 6 months 12 6 months to 1 year 13 1 to 5 years 14 6 to 10 years 15 11 or more years  48. Staff #1: After the incident, was the staff	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10 Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White	had the staff perpetrator worked at the facility?  11 Less than 6 months 12 6 months to 1 year 13 1 to 5 years 14 6 to 10 years 15 11 or more years  16 Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.) 16 Sent to training or counseling	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  11 White 12 Black or African American	had the staff perpetrator worked at the facility?  01  Less than 6 months  02  6 months to 1 year  03  1 to 5 years  04  6 to 10 years  05  11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)  01  Sent to training or counseling  02  Reprimanded or disciplined  03  Transferred to another facility or unit  04  Demoted, diminished responsibilities, suspended	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  10 Male 102 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 22 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White 10 Black or African American 10 Hispanic or Latino 10 American Indian or Alaska Native 10 Asian	had the staff perpetrator worked at the facility?  01  Less than 6 months  02  6 months to 1 year  03  1 to 5 years  04  6 to 10 years  05  11 or more years  48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)  01  Sent to training or counseling  02  Reprimanded or disciplined  03  Transferred to another facility or unit  04  Demoted, diminished responsibilities, suspended	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White 10 Black or African American 10 Hispanic or Latino 10 American Indian or Alaska Native 10 Asian 10 Native Hawaiian or Pacific Islander	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  4  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10  White 10  Black or African American 10  Hispanic or Latino 10  American Indian or Alaska Native 10  Asian 10  Native Hawaiian or Pacific Islander 11  Middle Eastern or North African	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11  Male 12  Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47  years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  11  White 12  Black or African American 13  Hispanic or Latino 14  American Indian or Alaska Native 15  Asian 16  Native Hawaiian or Pacific Islander 17  Middle Eastern or North African	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White 10 Black or African American 10 Hispanic or Latino 11 American Indian or Alaska Native 12 Asian 13 Native Hawaiian or Pacific Islander 15 Middle Eastern or North African 16 Other racial category in your information system —	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White 10 Black or African American 10 Hispanic or Latino 11 American Indian or Alaska Native 12 Asian 13 Native Hawaiian or Pacific Islander 15 Middle Eastern or North African 16 Other racial category in your information system —	had the staff perpetrator worked at the facility?  01	
13.	complete this form online.  Staff #1: What was the staff perpetrator's gender?  11 Male 12 Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  47 years  Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  10 White 10 Black or African American 10 Hispanic or Latino 11 American Indian or Alaska Native 12 Asian 13 Native Hawaiian or Pacific Islander 15 Middle Eastern or North African 16 Other racial category in your information system —	had the staff perpetrator worked at the facility?  01	

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13 No action taken

/	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	<ul> <li>○1 ☐ Correctional officer, supervisory or security staff, or direct care staff</li> <li>○2 ☐ Maintenance and other facility support staff,</li> </ul>
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 🗌 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	01 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	01 ☐ Full- or part-time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	03 ☐ Volumeer of linerin	01 Sent to training or counseling
	or El Guior Spoony	02 Reprimanded or disciplined
		$_{03}$ $\square$ Transferred to another facility or unit $_{04}$ $\square$ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		05 Discharged, terminated, or contract not renewed
		Of Staff resigned (prior to completion of investigation)
		o7 Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 ☐ Awaiting a legal outcome
		12 ☐ Other – Specify <sub>▼</sub>
		13 ☐ No action taken

NOTES

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#### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

#### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

#### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



01 Yes 02 No



If "Yes" → a. On approximately, how many

If "No" → a. On what date did the incident

2. In what facility did the incident occur?

02 In the perpetrator's cell or room

Month

occur?

Month

7

Name MacDougall

City

Ridgeville

facility

conference room)

11 U Off-site or while in transit

or virtual communication)

12 ✓ Other – Specify ~

13 Location unknown

different dates did it occur? → b. On what date did the most

Year

Year

2|0|2|3

recent incident occur?

Dav

Dav

share a cell or room, count as the victim's cell)

storage, laundry, cafeteria, workshop, hallway)

14 Location not applicable (e.g., involved written, phone,

09 In a medical area (e.g., infirmary, health clinic)

03 In a dormitory or other multiple housing unit

18

## **SURVEY OF SEXUAL VICTIMIZATION: 2023**

Substantiated Incident Form (Adult)

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 03 Unknown 02 V No 5. What time did the incident occur? (Mark all that apply.) o1 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) 04 Overnight (midnight to 5:59 a.m.) 05 Time unknown 6. Who reported the incident? (Mark all that apply.) 01 Victim 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian 04 Correctional officer or front-line staff 05 Administrative staff State 06 Medical, healthcare, or mental health staff SC o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator 11 Perpetrator's family, friend, or legal guardian 12 Grievance coordinator, grievance process, or ombuds 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, 07 In an instructional area (e.g., classroom, school, library, 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 02 Inmate-on-inmate sexual harassment → Complete sections A and B 03 L Staff-on-inmate sexual abuse

> → Complete sections A and C 04 Staff-on-inmate sexual harassment

> > → Complete sections A and C

#### **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

8.	How many victims were involved in the incident?	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)
9.	→ If more than two victims were involved, please complete this form online.  Victim #1: What was the victim's sex assigned		Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an impairment, or (c) being regarded as having such an impairment.
	at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  1 Female		<ul> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.		disability, etc.)  3 A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	o1 ■ Male o2 □ Female o3 □ Transgender (A person whose gender identity is different from the person's sex assigned at birth.) o4 □ Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.) o5 □ Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.) o6 □ Other – Specify o7 □ Unknown  Victim #1: What was the victim's age at the time of the incident?  45 years	15.	Victim #1: Did the victim sustain any physical injury during the incident?  01  Yes  02  No  03  Unknown  If "Yes" → a. What injuries occurred?  (Mark all that apply.)  01  Bruises, black eye, sprains, cuts, scratches, swelling, welts  02  Bites  03  Burns  04  Chipped or knocked out teeth  05  Anal or vaginal tearing  06  Knocked unconscious  07  Broken bones  08  Knife or stab wounds  09  Internal injuries  10  Other - Specify   → b. Did the victim receive medical
12.	Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  □ White □ Black or African American □ Hispanic or Latino □ American Indian or Alaska Native □ Asian □ Native Hawaiian or Pacific Islander □ Middle Eastern or North African □ Other racial category in your information system – Specify   Specify	16.	treatment for these injuries?  01  Yes 02  No 03 Unknown  Victim #1: After the incident was reported, was the victim - (Mark all that apply.)  01  Provided with counseling or mental health treatment 02  Given a medical examination  03  Administered a sexual assault kit  04  Given post-exposure prophylaxis (PEP) and/or emergency contraception  05  Given a pregnancy test  06  Tested for HIV/AIDS  07  Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  01 ■ A U.S. citizen  02 □ Not a U.S. citizen  03 □ Of unknown citizenship status		os ☐ Offered but declined testing or treatment and/or counseling  os ☐ Already released/discharged  None of the above

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17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Dlaced in camera room, under close surveillance, or increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			O4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
	02 Black or African American		
	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
	os ☐ Other racial category in your information system –	38.	Perpetrator #2: What was the inmate
	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
	12 Referred for prosecution or indicted		05 Transferred to another facility
	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35			13 Convicted, plead guilty, given new sentence, or fined
JJ.	Perpetrator #2: What was the inmate perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

	Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff #1: Was the staff perpetrator involved in the incident –
40.	<ul> <li>→ If the perpetrator was an inmate, go to Section B on pages 4-5.</li> <li>What was the nature of the incident? (Mark all that apply.)</li> </ul>	<ul> <li>o1 ■ Full- or part-time paid employee</li> <li>o2 □ Contract employee or vendor</li> <li>o3 □ Volunteer or intern</li> <li>o4 □ Other - Specify </li> </ul>
	o1 ☐ Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature  o2 ☐ Wrote letters, showed pictures, talked on the phone, or through video conferencing  o3 ☐ Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates  o4 ☐ Indecent exposure, invasion of privacy, or voyeurism  o5 ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts  o6 ☑ Any touching or kissing for sexual gratification  o7 ☐ Pressure or abuse of power resulting in a sexual act  o8 ☐ Physical force resulting in a sexual act	46. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?  01
41.	How many staff perpetrators were involved in the incident?  Number of staff 1	os ☐ Member of the clergy of chaptainty  os ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions  or ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support  os ☐ Other program staff  os ☐ Volunteers or interns
	→ If more than two staff were involved, please complete this form online.	10 ☐ Other staff – Specify ⊋
42.	Staff #1: What was the staff perpetrator's gender?	47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?  01  Less than 6 months
43.	o2 ■ Female  Staff #1: What was the staff perpetrator's age at the time of the incident?  74  years	02
44.	Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)  101 White 102 Black or African American 103 Hispanic or Latino 104 American Indian or Alaska Native 105 Asian 106 Native Hawaiian or Pacific Islander	perpetrator – (Mark all that apply.)  o1 Sent to training or counseling  o2 Reprimanded or disciplined  o3 Transferred to another facility or unit  o4 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave  o5 Discharged, terminated, or contract not renewed  o6 Staff resigned (prior to completion of investigation)  o7 Staff resigned (after investigation was completed)

07 Middle Eastern or North African

08 Other racial category in your information system –

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08 Arrested or referred to law enforcement agency

10 Convicted, plead guilty, sentenced, or fined

09 Referred for prosecution or indicted

11 ☐ Awaiting a legal outcome12 ☐ Other – Specify

13 No action taken

	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	on ☐ Correctional officer, supervisory or security staff, or direct care staff  □ ☐ Maintenance and other facility support staff,
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	o1 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03  Hispanic or Latino 04  American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03 🗌 1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	o1 ☐ Full- or part-time paid employee  o2 ☐ Contract employee or vendor  o3 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	04 ☐ Other – Specify ✓	01 $\square$ Sent to training or counseling
		02 ☐ Reprimanded or disciplined 03 ☐ Transferred to another facility or unit
		03 ☐ Transferred to another facility or unit 04 ☐ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		$_{05}$ Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		or Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 ☐ Convicted, plead guilty, sentenced, or fined
		11 Awaiting a legal outcome
		12 ☐ Other – Specify ⊋
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.



13 Location unknown

or virtual communication)

14 Location not applicable (e.g., involved written, phone,



### **SURVEY OF SEXUAL VICTIMIZATION: 2023**

**Substantiated Incident Form (Adult)** 

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_ Did the incident take place in an area subject to video monitoring? (Mark all that apply.) Did the incident include repeated behaviors? 01 Yes 02 No 01 Yes 03 Unknown If "Yes" → a. On approximately, how many 02 No different dates did it occur? → b. On what date did the most 5. What time did the incident occur? recent incident occur? (Mark all that apply.) Month Dav Year 01 Morning (6 a.m. to 11:59 a.m.) 02 Afternoon (noon to 5:59 p.m.) 03 Evening (6 p.m. to 11:59 p.m.) If "No" → a. On what date did the incident 04 Overnight (midnight to 5:59 a.m.) occur? 05 Time unknown Month Dav Year 9 2|0|2|3 6. Who reported the incident? 11 (Mark all that apply.) 01 Victim 2. In what facility did the incident occur? 02 Another inmate (nonvictim) 03 Victim's family, friend, or legal guardian Name Manning 04 Correctional officer or front-line staff 05 Administrative staff City State 06 Medical, healthcare, or mental health staff SC Columbia o7 Instructor, teacher, or counselor 08 Other staff (e.g., kitchen worker, maintenance staff) 3. Where did the incident occur? (Mark all that apply.) 09 Chaplain or other religion official 01 In the victim's cell or room (If the victim and perpetrator 10 Perpetrator share a cell or room, count as the victim's cell) 11 Perpetrator's family, friend, or legal guardian 02 In the perpetrator's cell or room 12 Grievance coordinator, grievance process, or ombuds 03 In a dormitory or other multiple housing unit 13 Attorney (victim's or perpetrator's) 04 In a common area (e.g., shower, dayroom, bathroom) 14 Confidential informant, anonymous tip, hotline, or 05 In a temporary holding cell or intake area within the through monitoring (e.g., camera, telephone, or mail) facility 15 ☐ Other – Specify ~ 06 In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway) 07 In an instructional area (e.g., classroom, school, library, conference room) 7. What was the type of sexual victimization incident? os In a recreation area (e.g., yard, courtyard, gymnasium) (See definitions on page 9.) 09 In a medical area (e.g., infirmary, health clinic) 01 Inmate-on-inmate sexual abuse 10 In a staff area (e.g., office, break room, counselor's office) → Complete sections A and B 11 U Off-site or while in transit 02 Inmate-on-inmate sexual harassment → Complete sections A and B 12 ☐ Other - Specify → 03 L Staff-on-inmate sexual abuse → Complete sections A and C

### **Burden Statement**

04 Staff-on-inmate sexual harassment

→ Complete sections A and C

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

### Section A - VICTIMS OF SEXUAL VICTIMIZATION

8.	How many victims were involved in the incident?  Number of victims 1  → If more than two victims were involved, please complete this form online.	14.	Victim #1: Was the victim identified as having any of the following disabilities at the time of the incident? (Mark all that apply.)  Disability is defined as (a) A physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (b) a record of such an
	Victim #1: What was the victim's sex assigned at birth?  Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.  1 Male  2 Female		<ul> <li>impairment, or (c) being regarded as having such an impairment.</li> <li>A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia, etc.)</li> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
10.	Victim #1: What was the victim's gender identity?  Gender identity is a person's core internal understanding or sense of who they are regardless of sex assigned at birth.  o1 Male  o2 Female  o3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)  o4 Intersex (A person whose sexual or reproductive	15.	<ul> <li>O3  A physical impairment or disability (e.g., back or spinal injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)</li> <li>Victim #1: Did the victim sustain any physical injury during the incident?</li> <li>O1  Yes</li></ul>
11.	anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)  05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)  06 Other – Specify  07 Unknown  Victim #1: What was the victim's age at the time of the incident?		01 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts 02 ☐ Bites 03 ☐ Burns 04 ☐ Chipped or knocked out teeth 05 ☐ Anal or vaginal tearing 06 ☐ Knocked unconscious 07 ☐ Broken bones 08 ☐ Knife or stab wounds 09 ☐ Internal injuries 10 ☐ Other − Specify ✓
12.	years  Victim #1: What was the victim's race or ethnicity? (Mark all that apply.)  o1  White		→ b. Did the victim receive medical treatment for these injuries?  01 □ Yes 02 □ No 03 □ Unknown
	<ul> <li>02 ✓ Black or African American</li> <li>03 ☐ Hispanic or Latino</li> <li>04 ☐ American Indian or Alaska Native</li> <li>05 ☐ Asian</li> <li>06 ☐ Native Hawaiian or Pacific Islander</li> <li>07 ☐ Middle Eastern or North African</li> <li>08 ☐ Other racial category in your information system – Specify ✓</li> </ul>	16.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)  01 ✓ Provided with counseling or mental health treatment  02 ☐ Given a medical examination  03 ☐ Administered a sexual assault kit  04 ☐ Given post-exposure prophylaxis (PEP) and/or emergency contraception  05 ☐ Given a pregnancy test  06 ☐ Tested for HIV/AIDS  07 ☐ Tested for other sexually transmitted infections
13.	Victim #1: What was the victim's citizenship?  1 ■ A U.S. citizen  1 ■ Not a U.S. citizen  1 ■ Of unknown citizenship status		<ul> <li>Offered but declined testing or treatment and/or counseling</li> <li>□ Already released/discharged</li> <li>None of the above</li> </ul>

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### Section A - VICTIMS OF SEXUAL VICTIMIZATION

17.	Victim #1: After the incident was reported, was the victim – (Mark all that apply.)	22. Victim #2: What was the victim's citizenship?
	01 Separated from perpetrator	01 ∐ A U.S. citizen 02 ☐ Not a U.S. citizen
	O2 Confined to own cell or room	03 ☐ Of unknown citizenship status
	o3 Placed in or returned to restrictive housing	oo or annanonn onizononip orange
	04 Placed in a medical unit, ward, or hospital	23. Victim #2: Was the victim identified as having
	of Placed in camera room, under close surveillance, or increased supervision	any of the following disabilities at the time of the incident? (Mark all that apply.)
	Of Transferred to another housing unit or dorm, or given a single room or cell	01 A serious and persistent mental illness (e.g., bipolar disorder, major depression, obsessive compulsive disorder, post-traumatic stress disorder, schizophrenia,
	or Given a higher custody level or different unit within the facility	etc.)
	OR Transferred to another facility OR Issued disciplinary report or loss of privileges	<ul> <li>A cognitive impairment or intellectual disability (e.g., ADHD, autism spectrum disorder, dementia, a learning disability, etc.)</li> </ul>
	10 ☐ Other – Specify 🖟	03 A physical impairment or disability (e.g., back or spinal
		injury, blindness or low vision, deafness or hearing loss, diabetes, epilepsy, heart disease, mobility impairments, etc.)
	11 None of the above	<b>'</b>
	→ If there were no other victims, please skip	24. Victim #2: Did the victim sustain any physical injury during the incident?
	to Section B on pages 4-5 if the incident involved an inmate perpetrator or Section C	01 🗌 Yes 02 🔲 No 03 🗌 Unknown
	on pages 6-7 if the incident involved a staff perpetrator.	If "Yes" → a. What injuries occurred? (Mark all that apply.)
18.	Victim #2: What was the victim's sex assigned	01 Bruises, black eye, sprains, cuts,
	at birth?	scratches, swelling, welts 02 Bites
	oı ☐ Male	02   Bites 03   Burns
	02 Female	04 Chipped or knocked out teeth
		05 Anal or vaginal tearing
19.	Victim #2: What was the victim's gender identity?	06 Knocked unconscious
		07 Broken bones
	01 Male 05 Gender nonconforming	08 Knife or stab wounds
	02 ☐ Female 06 ☐ Other – Specify ₹	09 Internal injuries
	03 Transgender	10 Other – Specify <sub>▼</sub>
	04 Intersex 07 Unknown	
20.	Victim #2: What was the victim's age at the time of the incident?	→ b.Did the victim receive medical treatment for these injuries?
	years	01 ☐ Yes 02 ☐ No 03 ☐ Unknown
21.	Victim #2: What was the victim's race or	25. Victim #2: After the incident was reported, was the victim – (Mark all that apply.)
	ethnicity? (Mark all that apply.)	
	01 White	on Provided with counseling or mental health treatment Given a medical examination
	02 Black or African American	03 Administered a sexual assault kit
	03 Hispanic or Latino	04 Given post-exposure prophylaxis (PEP)
	04 American Indian or Alaska Native	and/or emergency contraception
	05 Asian	05 Given a pregnancy test
	Native Hawaiian or Pacific Islander	06 Tested for HIV/AIDS
	07 Middle Eastern or North African	07 Tested for other sexually transmitted infections
	08 Other racial category in your information system – Specify ∠	08 Offered but declined testing or treatment and/or
	Choon's K	counseling op Already released/discharged
		10 None of the above
		10 NOTE OF THE ADOVE

			<b>\</b>
26.	Victim #2: After the incident was reported, was the victim – (Mark all that apply.)	28.	What types of pressure, coercion, or force occurred as part of the incident? (Mark all that apply.)
	01 Separated from perpetrator		
	02 Confined to own cell or room		01 Persuasion or talked into sexual activity
	03 Placed in or returned to restrictive housing		02 Bribery or blackmail
	04 Placed in a medical unit, ward, or hospital		03 Gave the victim drugs or alcohol
	of Increased supervision		04 ☐ Offered protection from other inmates 05 ☐ Threats made (e.g., threatened harm to victim or
	of ☐ Transferred to another housing unit or dorm, or given a single room or cell		victim's family, threatened with a weapon)  oo Unwanted touching, grabbing, or groping of the victim by
	o7 Given a higher custody level or different unit within the facility		surprise or while the victim was asleep or incapacitated  or   Physically held victim down or restrained in some way,
	08 ☐ Transferred to another facility 09 ☐ Issued disciplinary report or loss of privileges		including having other inmates restrain the victim  OB Physical harm or injury
	10 ☐ Other – Specify ✓		
	io E editor eposity g		09 ☐ Other – Specify ⊋
	11 None of the above		10 None of the above
		29.	How many inmate perpetrators were involved in the incident?
	Section B – INMATE PERPETRATORS OF SEXUAL VICTIMIZATION		Number of inmate perpetrators
			→ If more than two inmate perpetrators were involved, please complete this form online.
	→ If the perpetrator was a staff member, go to Section C on pages 6-7.		
	Section C on pages 6-7.	30.	Perpetrator #1: What was the inmate perpetrator's
27.	What was the nature of the incident?		sex assigned at birth?
	(Mark all that apply.)		Sex is a multidimensional construct based on a cluster of anatomical and physiological traits that include external
	on Repeated verbal comments or gestures of a derogatory or offensive sexual nature		genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.
	o2 Repeated and unwelcome sexual advances or requests for sexual favors		01 ☐ Male 02 ☐ Female
	os Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature	31.	Perpetrator #1: What was the inmate perpetrator's
	04 Intentional and nonconsensual or coerced touching of the genitalia, anus, groin, breast, inner thigh, or the buttocks		<b>gender identity?</b> Gender identity is a person's core internal understanding
	05 Nonconsensual sexual act that resulted from		or sense of who they are regardless of sex assigned at birth.
	pressure or coercion (without force)  oo Nonconsensual sexual act that resulted from		01 Male
	physical force (or the threat of force)		02 Female
	07 ☐ Other – Specify ✓		O3 Transgender (A person whose gender identity is different from the person's sex assigned at birth.)
			o4 Intersex (A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development.)
			05 Gender nonconforming (A person whose gender identity does not conform to traditional societal gender expectations.)
			06 Other – Specify
			07 ☐ Unknown

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32.	Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?	36.	Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 9.)
	years		
	·		
33.	Perpetrator #1: What was the inmate perpetrator's race or ethnicity?		02 ☐ Female 06 ☐ Other – Specify ₹
	(Mark all that apply.)		03 Transgender
	01 ☐ White		04 ☐ Intersex 07 ☐ Unknown
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	03 Hispanic or Latino	27	Perpetrator #2: What was the inmate
	04 American Indian or Alaska Native	۱۳٬۰	perpetrator's age at the time of the incident?
	05 ☐ Asian		
	06		years
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	Specify	00.	perpetrator's race or ethnicity? (Mark all that apply.)
			01 ☐ White 02 ☐ Black or African American
			03 ☐ Hispanic or Latino
34.	Perpetrator #1: After the incident, was the inmate perpetrator – (Mark all that apply.)		04 American Indian or Alaska Native
	on Confined to own cell or room		05 Asian
	02 Placed in or returned to restrictive housing		06 Native Hawaiian or Pacific Islander
	03 Placed in higher custody level, restricted unit or		07 Middle Eastern or North African
	program, within the same facility		08 ☐ Other racial category in your information system – Specify
	04 Transferred to other unit/cell or separated from victim		
	os Transferred to another facility		
	oe Sent to counseling or mental health treatment		
	o7 Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)	39.	Perpetrator #2: After the incident, was the inmate perpetrator – (Mark all that apply.)
	os Loss of "good/gain" time, increase in "bad" time or delayed release		01 ☐ Confined to own cell or room
	09 Given extra work		02 Placed in or returned to restrictive housing
	10 Loss of privileges, disciplinary report or conduct violation, or other reprimand		03 Placed in higher custody level, restricted unit or program, within the same facility
	11 Arrested or referred to law enforcement agency		04 Transferred to other unit/cell or separated from victim
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	13 Convicted, plead guilty, given new sentence, or fined		$_{06}$ $\square$ Sent to counseling or mental health treatment
	14 Awaiting a legal outcome		or ☐ Given medical treatment or testing (e.g., post-exposure prophylaxis (PEP), HIV/AIDS)
	15 ☐ Other – Specify <del></del> ✓		08 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
			09 Given extra work
	→ If there were no other perpetrators, please		10 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
	skip to Notes on page 8 (if applicable) or		11 Arrested or referred to law enforcement agency
	submit form.		12 Referred for prosecution or indicted
35			13 Convicted, plead guilty, given new sentence, or fined
JJ.	Perpetrator #2: What was the inmate perpetrator's sex assigned at birth?		14 Awaiting a legal outcome
	01 ☐ Male		15 ☐ Other – Specify 🖟
	02 ☐ Female		
	oz 🗀 i omalo		

Section C – STAFF PERPETRATORS OF SEXUAL VICTIMIZATION	45. Staff the in
→ If the perpetrator was an inmate, go to Section B on pages 4-5.	01 <b>1</b> 02 <b>1</b> 03 <b>1</b>
40. What was the nature of the incident? (Mark all that apply.)	04 🗌
on Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature	46. Staff
02 Wrote letters, showed pictures, talked on the phone, or through video conferencing	desci the ir
03 Exhibited grooming or manipulative behaviors such as providing gifts, special privileges, or favors to inmates	01 🔳
04 Indecent exposure, invasion of privacy, or voyeurism	02 📙
os ☐ Any attempt, threat, or request related to abusive sexual contact or sexual acts  oo ☐ Any touching or kissing for sexual gratification	03 🗌
or ☐ Pressure or abuse of power resulting in a sexual act	04 🖂
08 Physical force resulting in a sexual act	04 🗀
09 ☐ Other – Specify ⊋	05 📙 1
	06 📙 i
	07 🗍

41.	<b>How many</b>	staff	perpetrators	were	involved in	
	the incider					

Number of staff . . . . 1

 $\rightarrow$  If more than two staff were involved, please complete this form online.

## 42. Staff #1: What was the staff perpetrator's gender?

01 Male

02 Female

### 43. Staff #1: What was the staff perpetrator's age at the time of the incident?

37

years

# **44.** Staff #1: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)

01 White

02 Black or African American

03 Hispanic or Latino

04 American Indian or Alaska Native

05 Asian

06 Native Hawaiian or Pacific Islander

07 Middle Eastern or North African

45.	Staff #1: Was	the	staff	perpetrator	involved	in
	the incident -					

01	Full- or part-time paid employee
02 🗌	Contract employee or vendor
	Volunteer or intern
04 🗌	Other – <i>Specify</i> <sub> </sub>

#### 16. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?

01 🔳	Correctional officer, supervisory or security staff, or direct care staff
02 🗌	Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
03 🗌	Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social

	workers, nurses, and medical assistants
04 🗌	Education staff, including instructors, teachers,
	librarians, and education assistants

05 Member of the clergy or chaplaincy

06 🗌	Administrator,	including	wardens,	superi	intendents	s,
	assistants and	l others in	administr	ative p	ositions	

07 🗌	Clerical staff including secretaries, clerks,
	receptionists, and other administrative support

08 Other program staff

09 Volunteers or interns

10 ☐ Other staff – Specify 📈

# 47. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?

02 
6 months to 1 year

03 1 to 5 years

04 \( \subseteq 6 \) to 10 years

05 11 or more years

### 48. Staff #1: After the incident, was the staff perpetrator – (Mark all that apply.)

) 1 C	_ Sent	to	training	or	counse	ling
-------	--------	----	----------	----	--------	------

02 Reprimanded or disciplined

03 Transferred to another facility or unit

04 Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave

05 Discharged, terminated, or contract not renewed

06 Staff resigned (prior to completion of investigation)

o7 Staff resigned (after investigation was completed)

08 Arrested or referred to law enforcement agency

09 Referred for prosecution or indicted

10 Convicted, plead guilty, sentenced, or fined

11 Awaiting a legal outcome

12 ☐ Other – Specify ~

13 No action taken

/	→ If there were no other staff perpetrators involved, please skip to Notes on page 8 (if applicable) or submit form.	53. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
49.	Staff #2: What was the staff perpetrator's gender?	<ul> <li>○1 ☐ Correctional officer, supervisory or security staff, or direct care staff</li> <li>○2 ☐ Maintenance and other facility support staff,</li> </ul>
	01 Male	including groundskeepers, janitors, cooks, and drivers
	02 🗌 Female	03 Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
50.	Staff #2: What was the staff perpetrator's age at the time of the incident?	04  Education staff, including instructors, teachers, librarians, and education assistants
	years	05 Member of the clergy or chaplaincy
	·	06 Administrator, including wardens, superintendents, assistants and others in administrative positions
51.	Staff #2: What was the staff perpetrator's race or ethnicity? (Mark all that apply.)	07 Clerical staff including secretaries, clerks, receptionists, and other administrative support
	01 White	08 U Other program staff
	02 Black or African American	09 Volunteers or interns
	03 ☐ Hispanic or Latino 04 ☐ American Indian or Alaska Native	10 ☐ Other staff – Specify ⊋
	04 American Indian of Alaska Native	
	06 ☐ Native Hawaiian or Pacific Islander	
	07 Middle Eastern or North African	54. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the
	08 ☐ Other racial category in your information system – Specify ∠	facility?
		01 ☐ Less than 6 months
		02 🔲 6 months to 1 year
		03  1 to 5 years
52.	Staff #2: Was the staff perpetrator involved in	04 ☐ 6 to 10 years 05 ☐ 11 or more years
	the incident -	05 🔲 11 of filore years
	01 ☐ Full- or part-time paid employee 02 ☐ Contract employee or vendor 03 ☐ Volunteer or intern	55. Staff #2: After the incident, was the staff perpetrator – (Mark all that apply.)
	03 ☐ Volumeer of linerin	01 Sent to training or counseling
	or El Guior Spoony	02 Reprimanded or disciplined
		$_{03}$ $\square$ Transferred to another facility or unit $_{04}$ $\square$ Demoted, diminished responsibilities, or
		suspended temporarily, or placed on administrative leave
		05 Discharged, terminated, or contract not renewed
		06 ☐ Staff resigned (prior to completion of investigation)
		o7 Staff resigned (after investigation was completed)
		08 ☐ Arrested or referred to law enforcement agency 09 ☐ Referred for prosecution or indicted
		10 Convicted, plead guilty, sentenced, or fined
		11 ☐ Awaiting a legal outcome
		12 ☐ Other – Specify <sub>▼</sub>
		13 ☐ No action taken

NOTES

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### **DEFINITIONS**

#### Sexual victimization

**Inmate-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

**Inmate-on-inmate sexual harassment:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another

**Staff-on-inmate sexual abuse:** Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1) (5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

**Staff-on-inmate sexual harassment:** Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

### Sex and gender identity

**Sex:** A multidimensional construct based on a cluster of anatomical and physiological traits that include external genitalia, secondary sex characteristics, gonads, chromosomes, and hormones.

Gender identity: A person's core internal understanding or sense of who they are regardless of sex assigned at birth.

**Intersex:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as differences in sex development (DSD).

**Gender nonconforming:** A person whose gender indentity does not conform to traditional societal gender expectations.

**Transgender:** A person whose gender identity is different from the person's sex assigned at birth.

### **Disability**

**Disability:** A (1) physical or mental impairment that substantially limits one or more of the major life activities of [an] individual, (2) a record of such an impairment, or (3) being regarded as having such an impairment.